

the right majors, minors & concentrations
education?

for students' academic and career success
ing for many students - Many students struggle
uring college!

the prediction of student success & MMC could
dual students
d their right MMC
chieve their academic goals

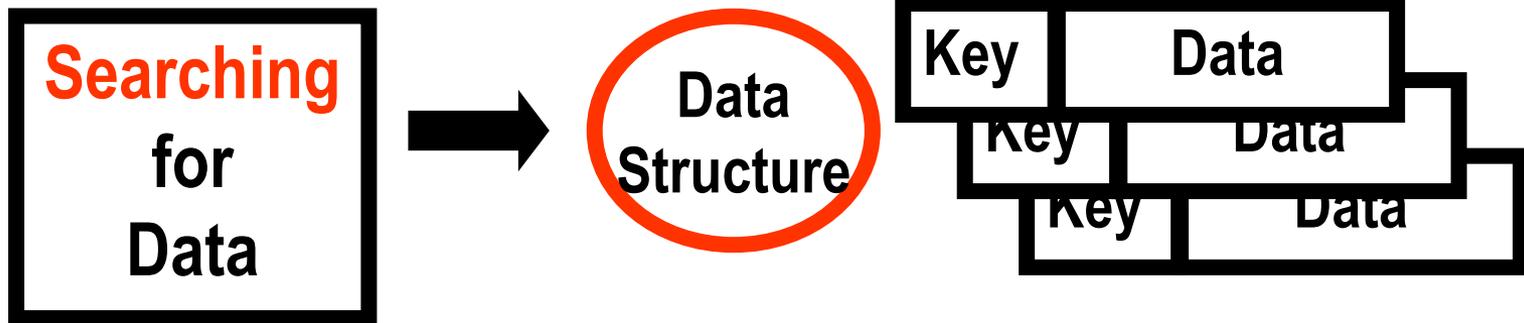
Advanced Binary Search Trees AVL Trees & Splay Trees



Binary Search Trees (BST)

The Searching Problem

- Fundamental to a variety of computer problems!



A Search Tree?

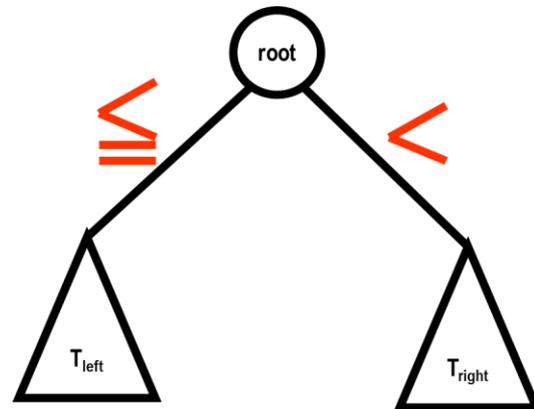
- A tree that maintains its data some **sorted order** and supports **efficient search operations**.
 - By constraining the relative positions of the nodes in the tree!
 - **All data items are kept in sorted order!**

A Binary Search Tree?

- **A binary tree + A search tree**
- **An ordered (sorted) binary tree**
- A special kind of binary tree with the ordering condition
 - Between every node and the nodes in its left subtree.
 - Between every node and the nodes in its right subtree.
 - **BST Order Property!**

The Order Condition of BST

- BST order property - For any node N
 - The key value in every node in N's **left subtree** is **less than or equal to** the key value K in N.
 - The key value in every node in N's **right subtree** is **greater than** the key value K in N.



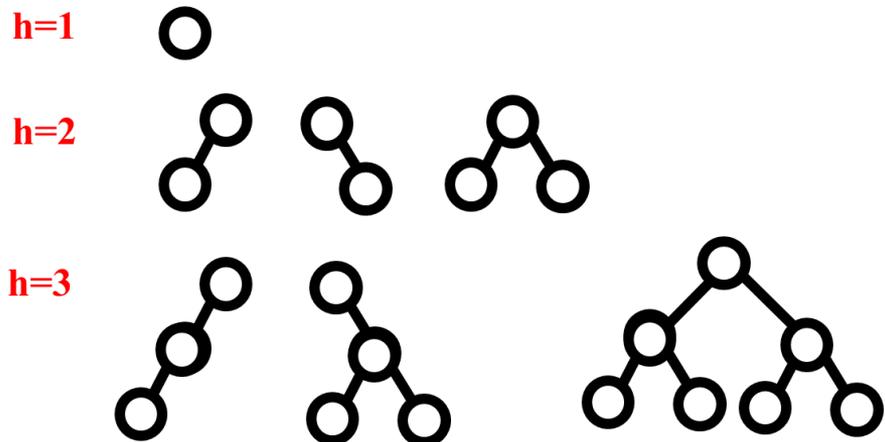
Properties of Binary Search Trees

- What is the **minimum number of nodes** that a **BST** of height **h** can have?

→ **h**

- What is the **maximum number of nodes** that a **BST** of height **h** can have?

→ **$2^h - 1$**



Properties of Binary Search Trees

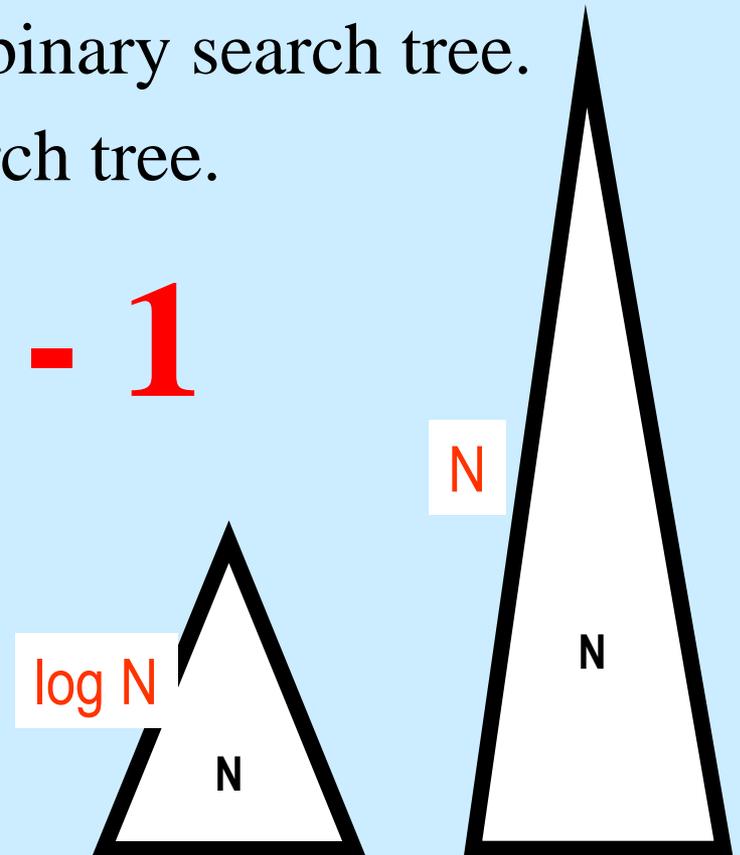
- N = The number of nodes in a binary search tree.
- h = The height of a binary search tree.

$$\rightarrow h \leq N \leq 2^h - 1$$

$$\rightarrow \log N \leq \log(N+1) \leq h \leq N$$

$$\rightarrow \text{Lower Bound: } h = \Omega(\log N)$$

$$\rightarrow \text{Upper Bound: } h = O(N)$$



BST Representation

- Pointer-based representation
- Array-based representation

BST Operations

- Insert
- Search/Retrieve
- Delete
- ...

Insert Operation

- **Insert (BST, newitem)**
 - If $BST == NULL$ (empty tree) then
 - ☞ Create a new node; let BST point to this new node; copy $newitem$ into new node's data portion; set the pointers in the new node to $NULL$.
 - else if $newitem.Key < BST \rightarrow Key$ then
 - ☞ Insert ($BST \rightarrow LchildPtr$, $newitem$)
 - else
 - ☞ Insert ($BST \rightarrow RchildPtr$, $newitem$)
- **Worst case**
 - $O(N)$
- **Average Case**
 - **$O(\log N)$**

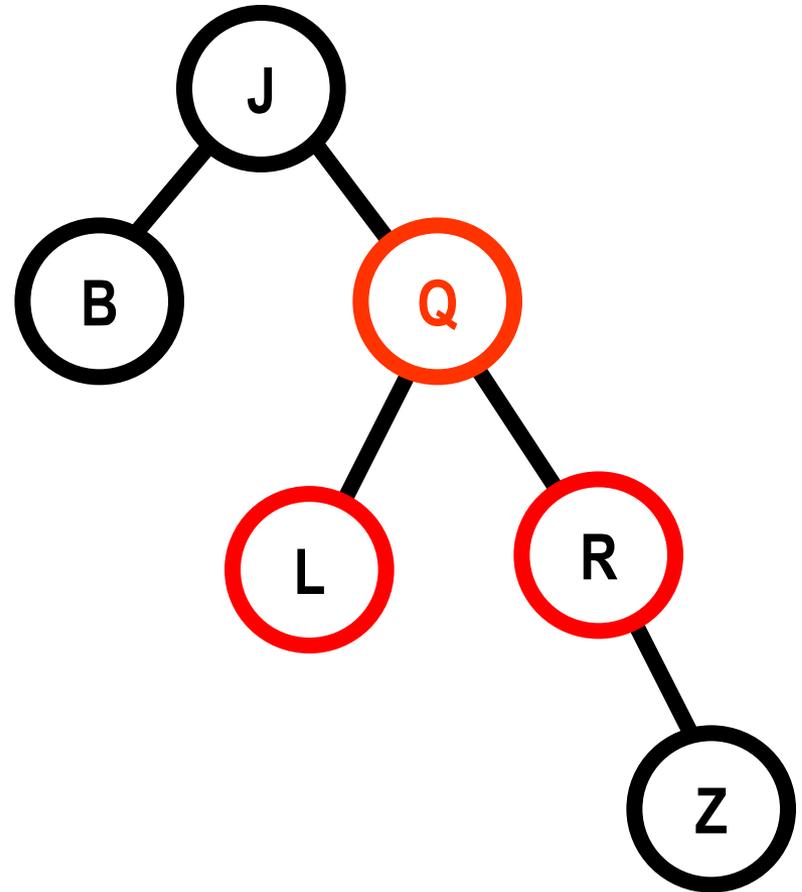
Search/Retrieve Operation

- **Search(BST, SearchKey):**
 - If $BST == NULL$ (empty tree) then
 - ☞ Not Found (Unsuccessful search)
 - else if $SearchKey == BST \rightarrow Key$ then
 - ☞ Found (Successful search)
 - else if $SearchKey < BST \rightarrow Key$ then
 - ☞ Search ($BST \rightarrow LchildPtr$, SearchKey)
 - else
 - ☞ Search ($BST \rightarrow RchildPtr$, SearchKey)
- Worst case
 - $O(N)$
- Average Case
 - **$O(\log N)$**

Delete Operation

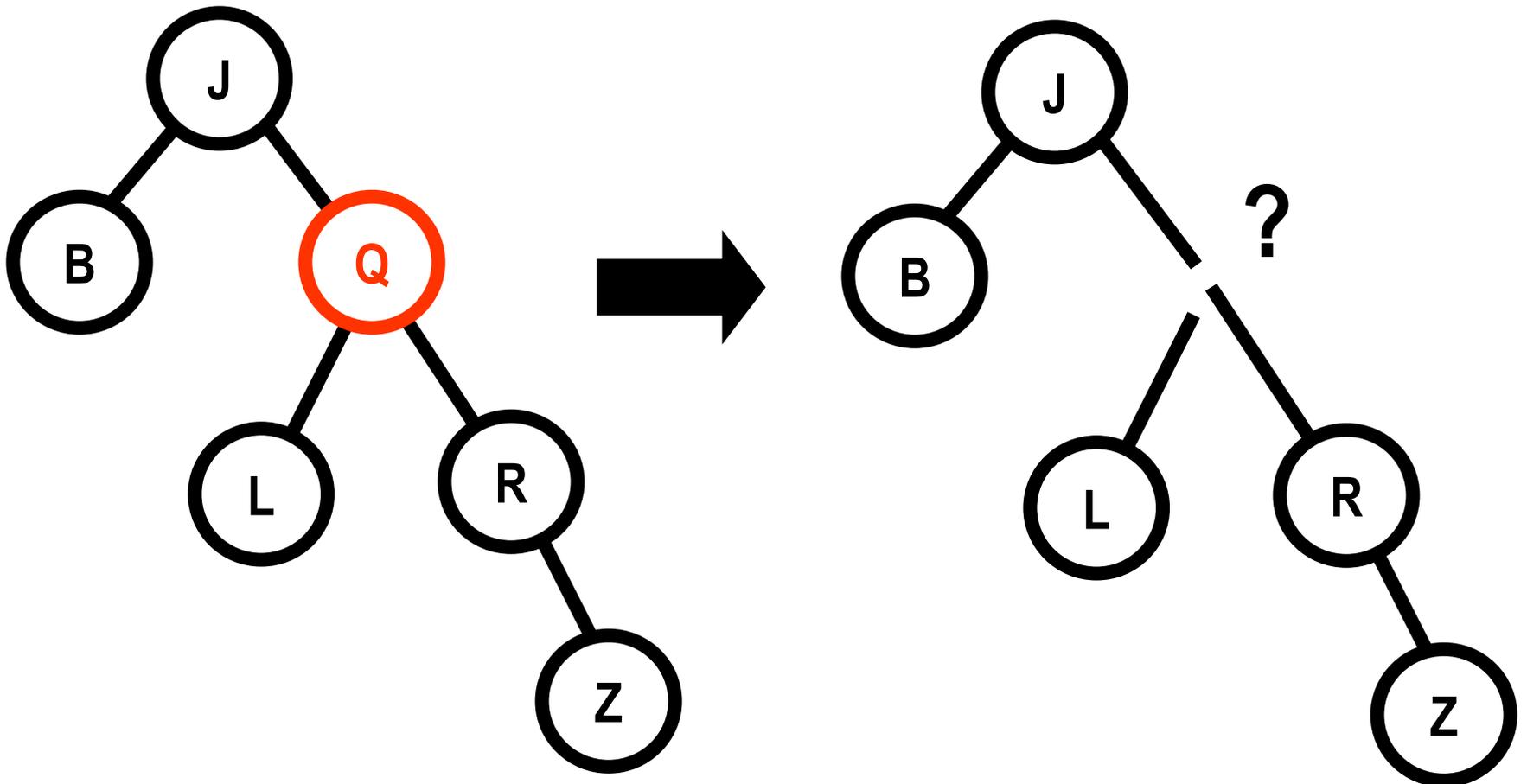
Three cases:

1. Node has no children (leaf node)
2. Node has one child
3. Node has two children



Delete Operation

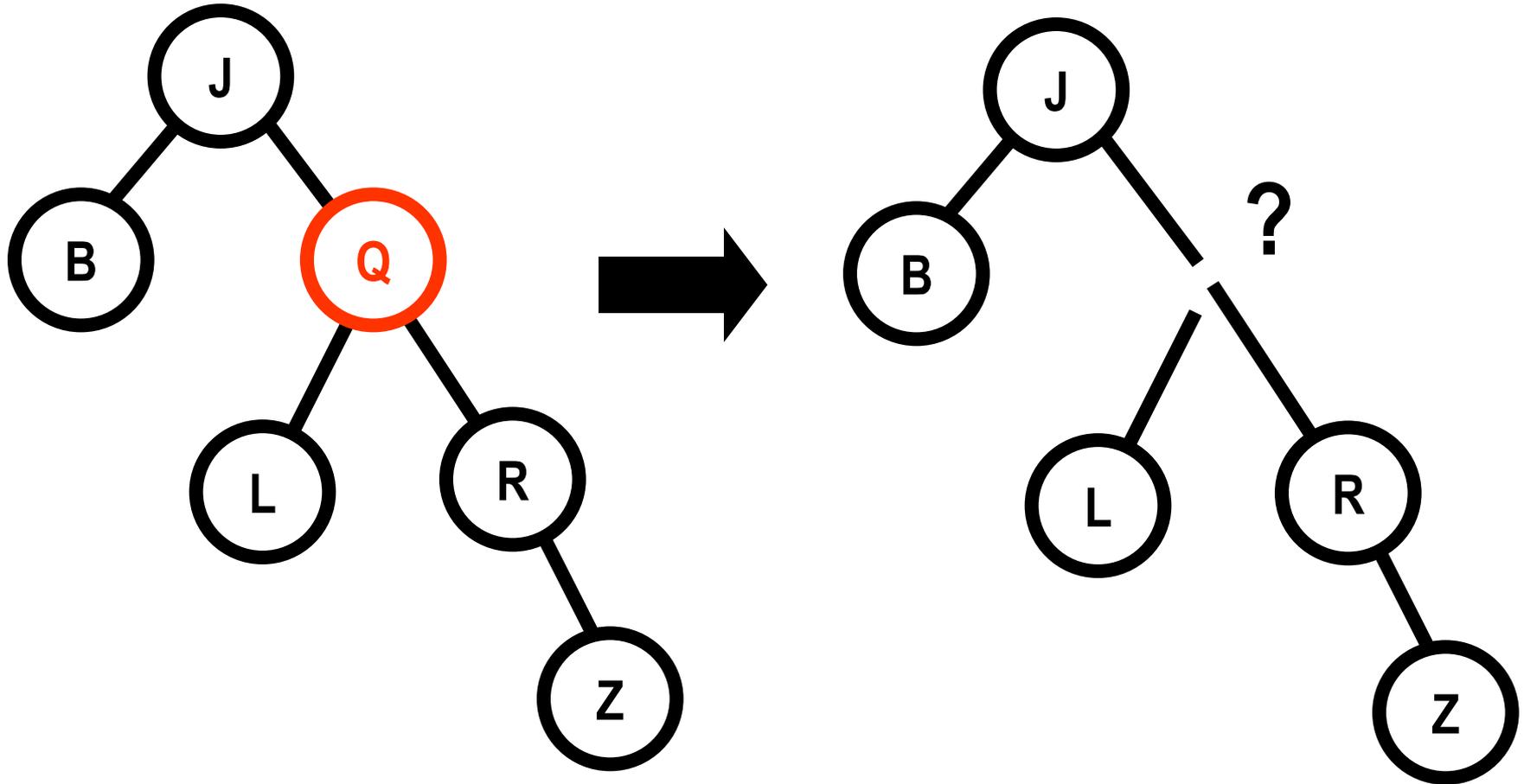
3. Node has two children



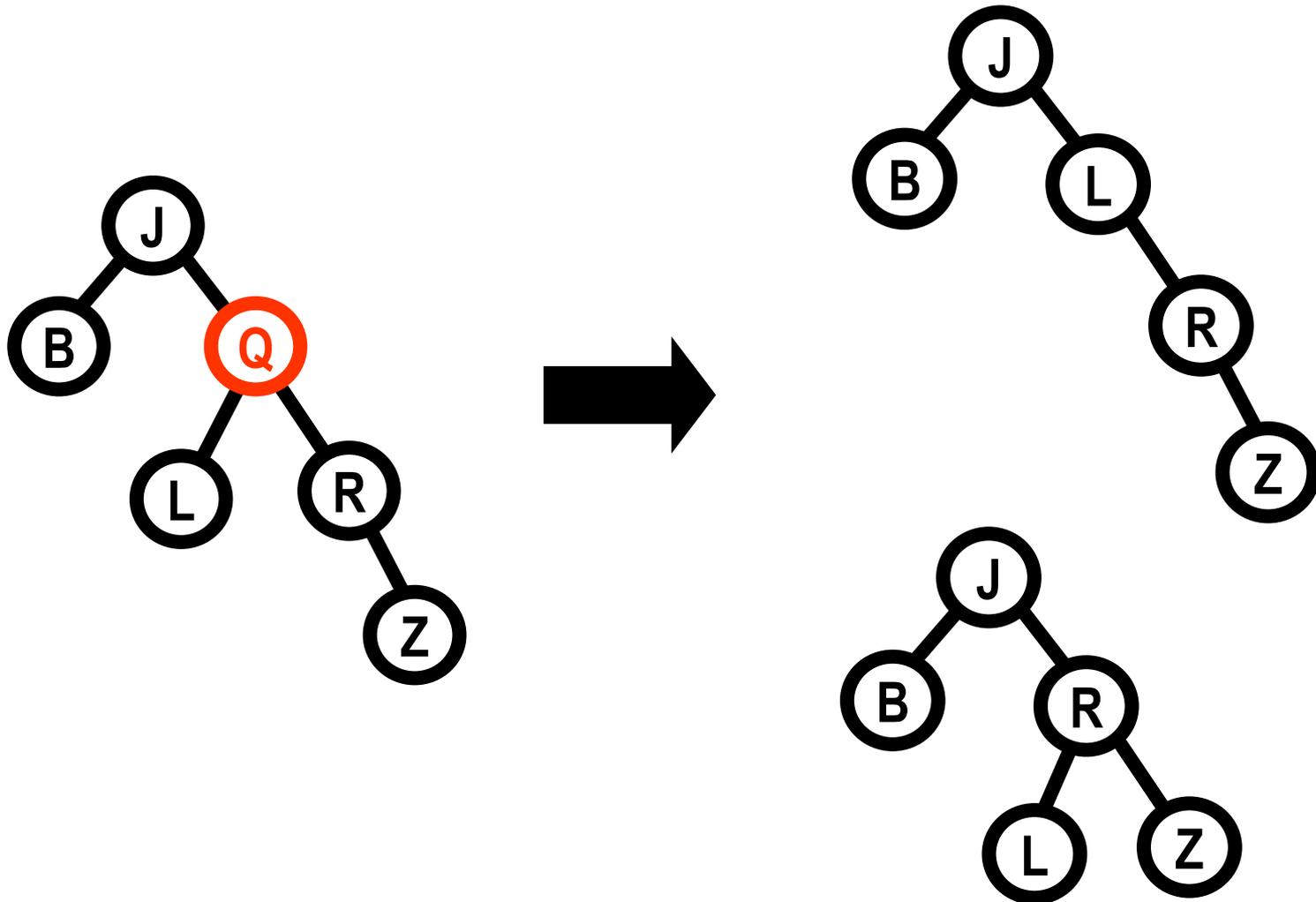
Delete Operation

- **Delete by Merging**

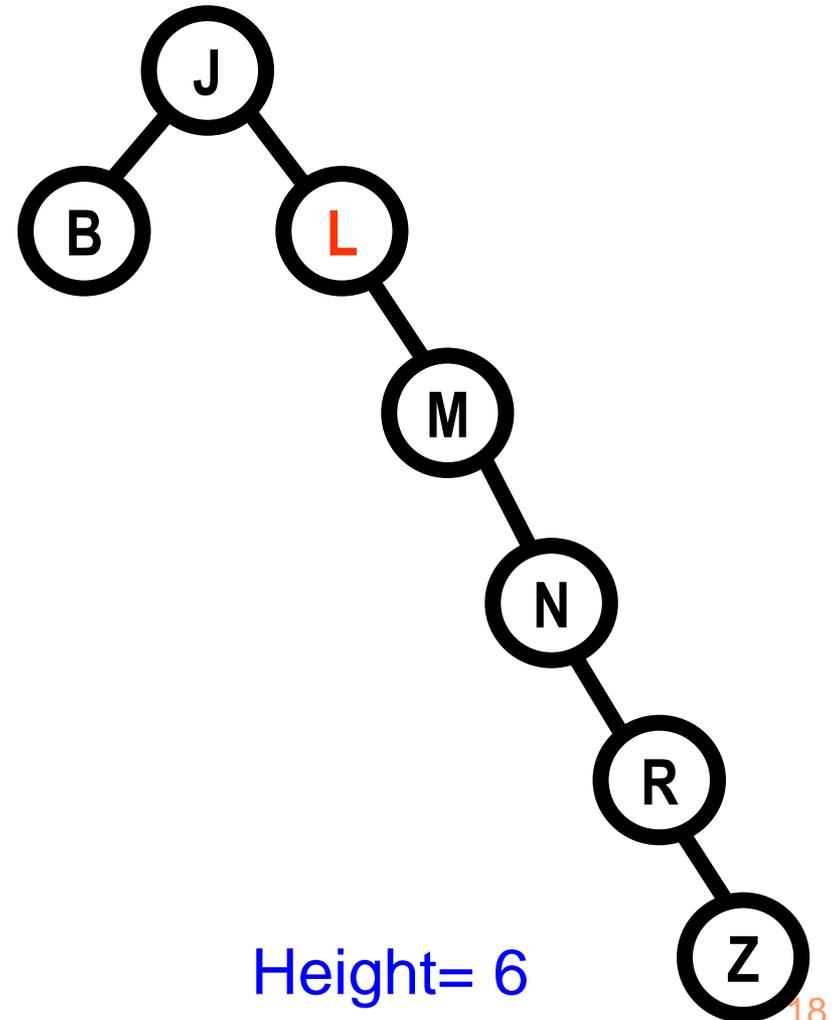
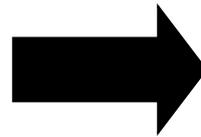
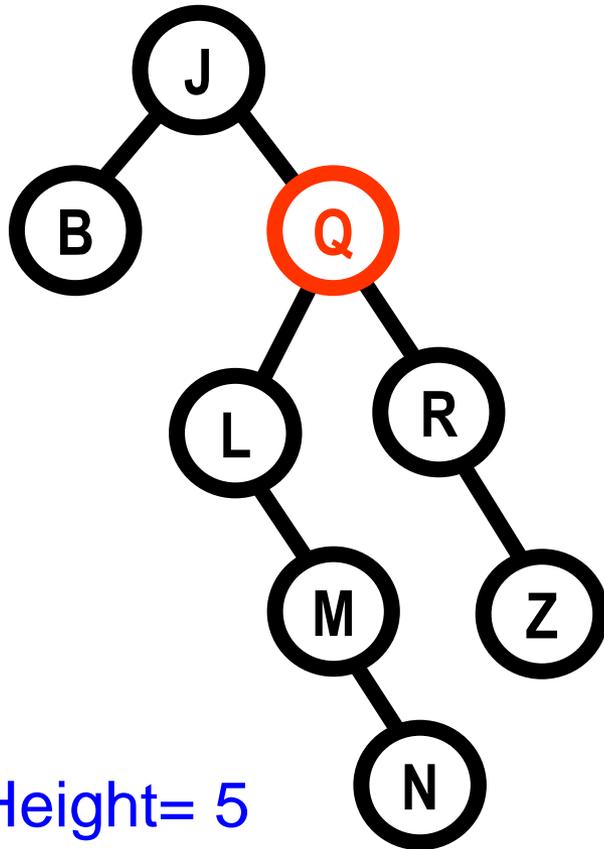
Example: Delete Q by Merging



Example: Delete Q by Merging



► QUIZ? Delete Q by Merging



Delete By Merging

- **Delete by Merging** can increase the height of **BST** even though we delete a data item!

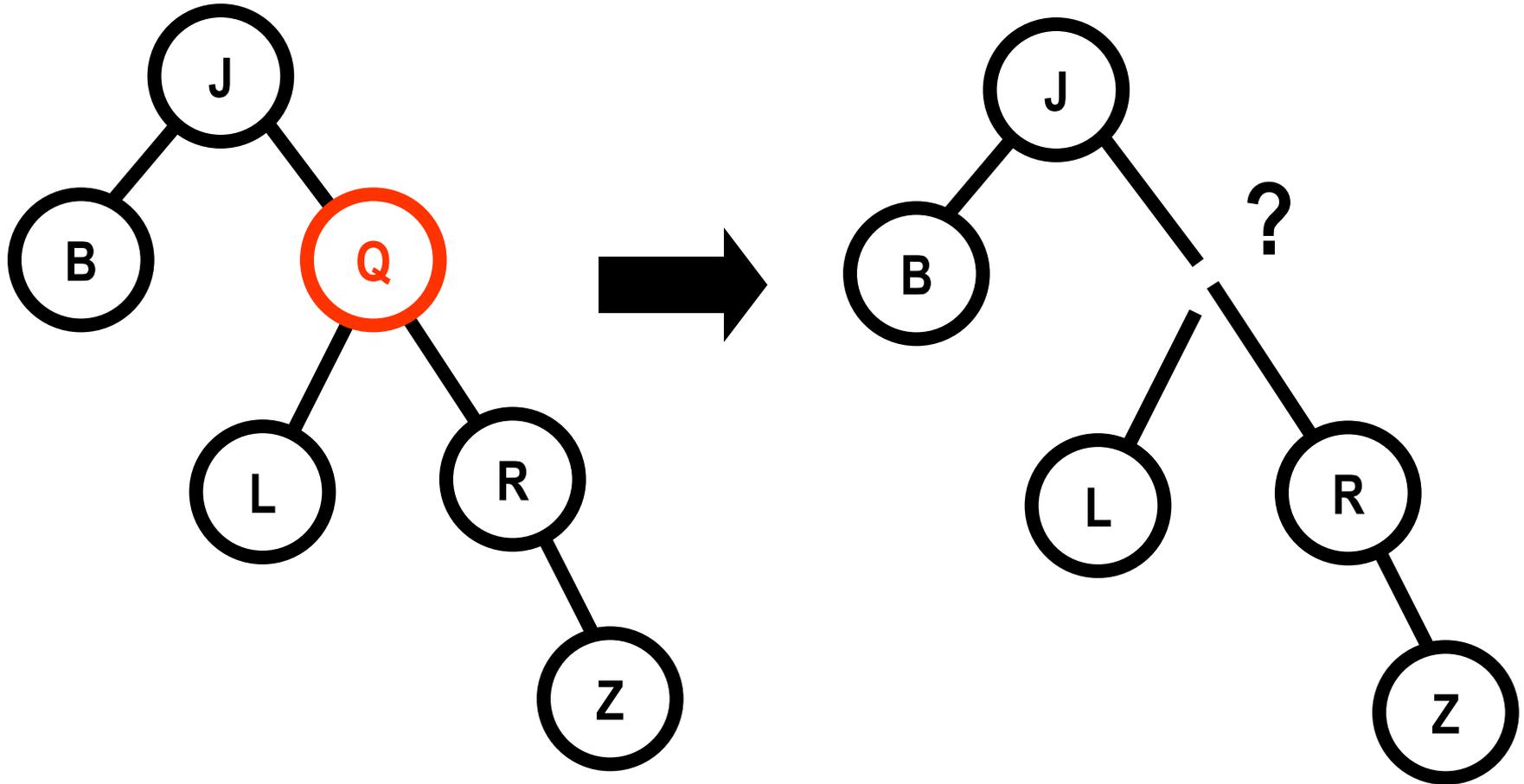
Delete Operation

- **Delete by Copying**
 - By copying IOP (In-Order Predecessor)
 - By copying IOS (In-Order Successor)

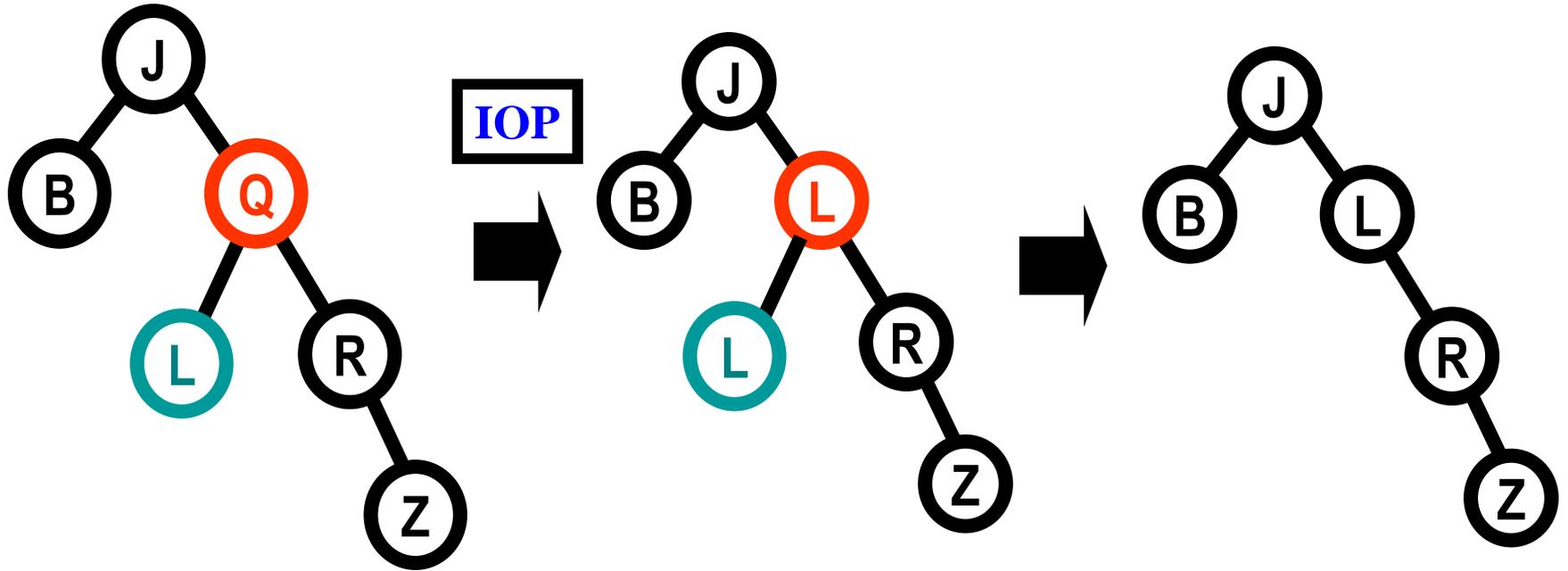
 - Case 3 => Case 1 or Case 2

“Transform-and-Conquer”

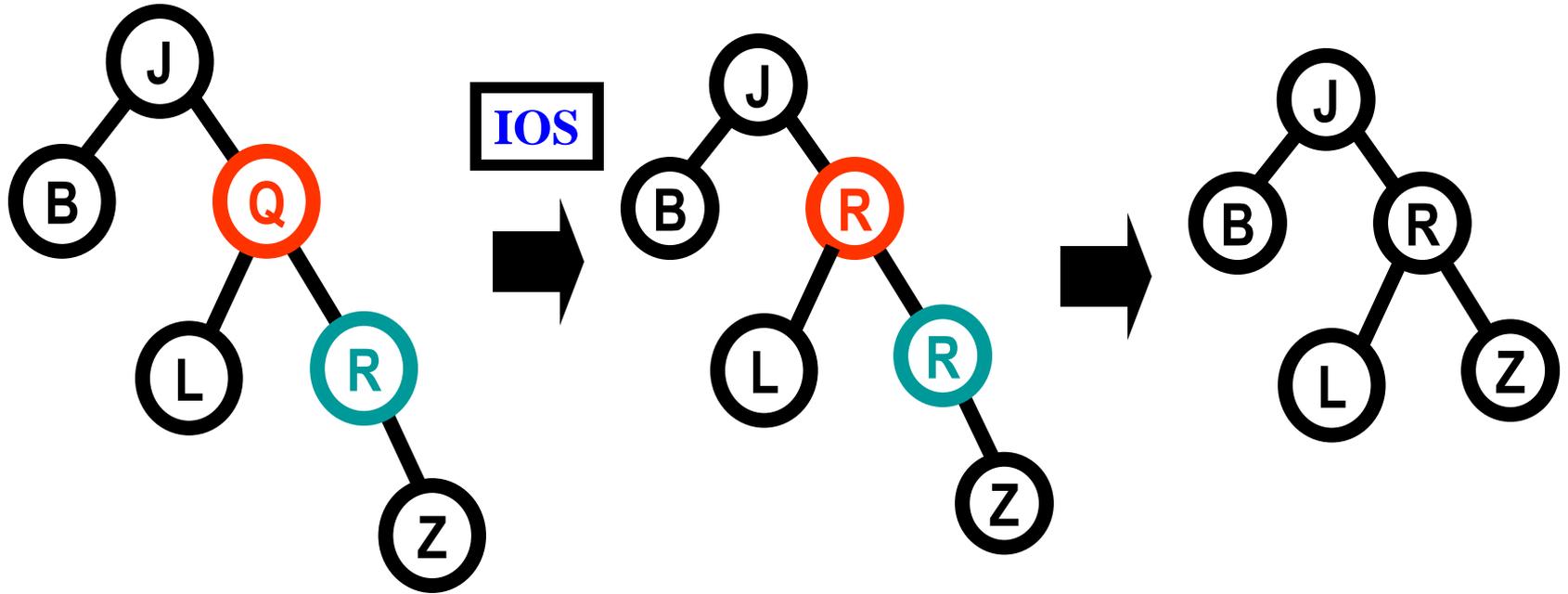
Example: Delete Q



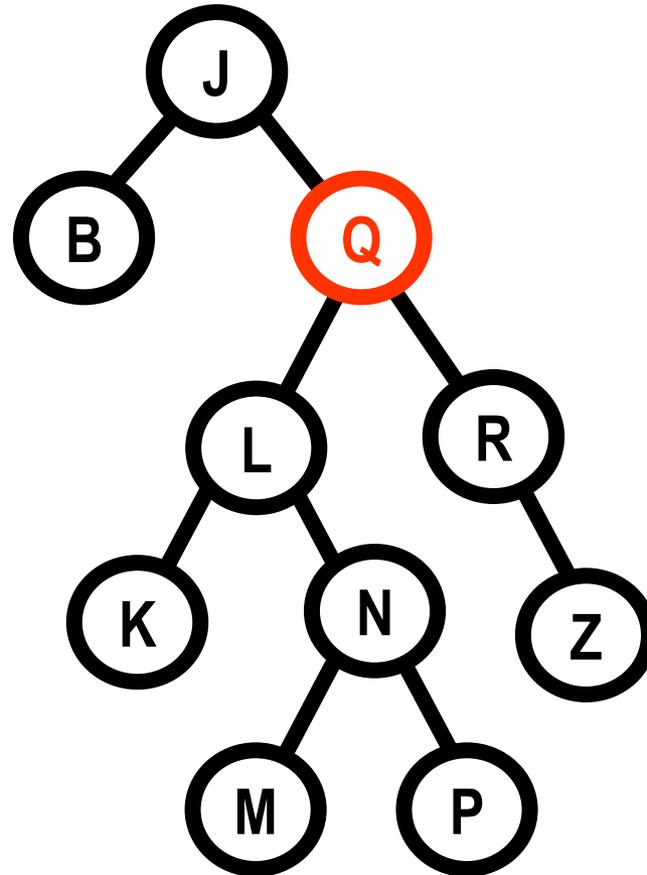
Example: Delete Q



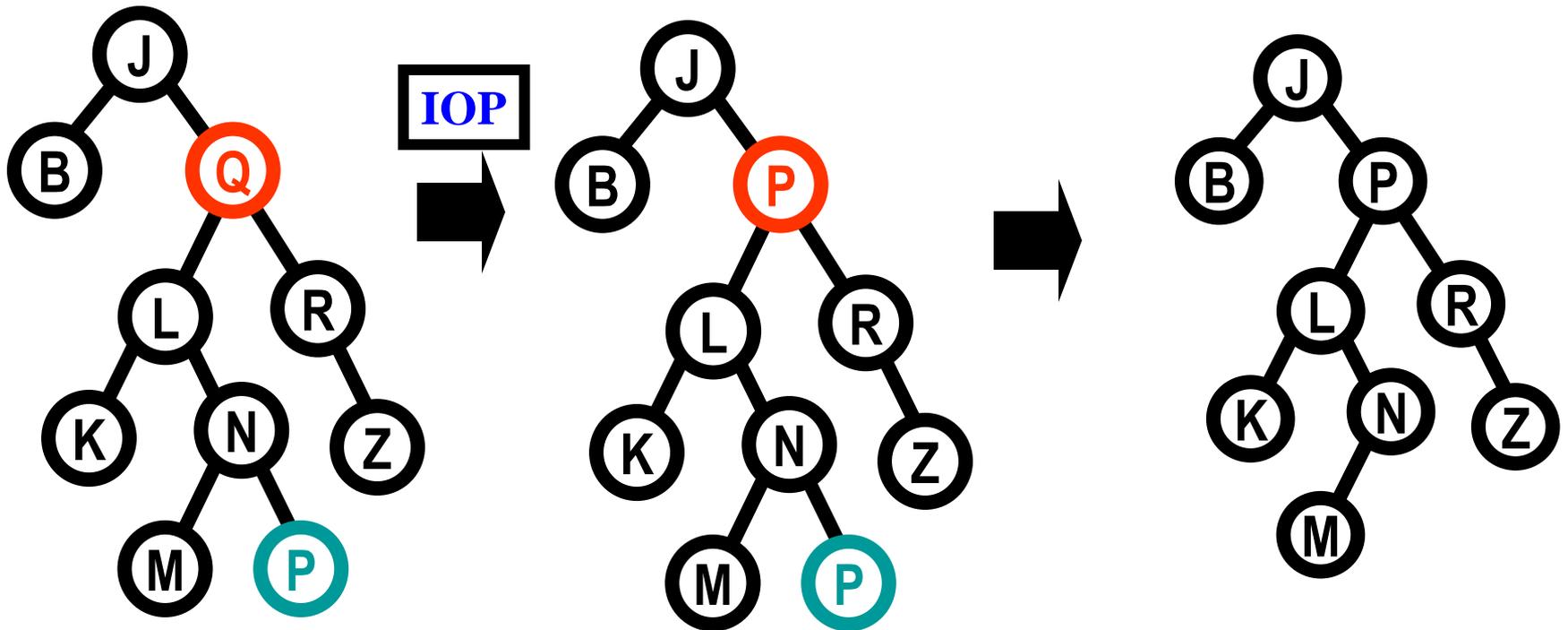
Example: Delete Q



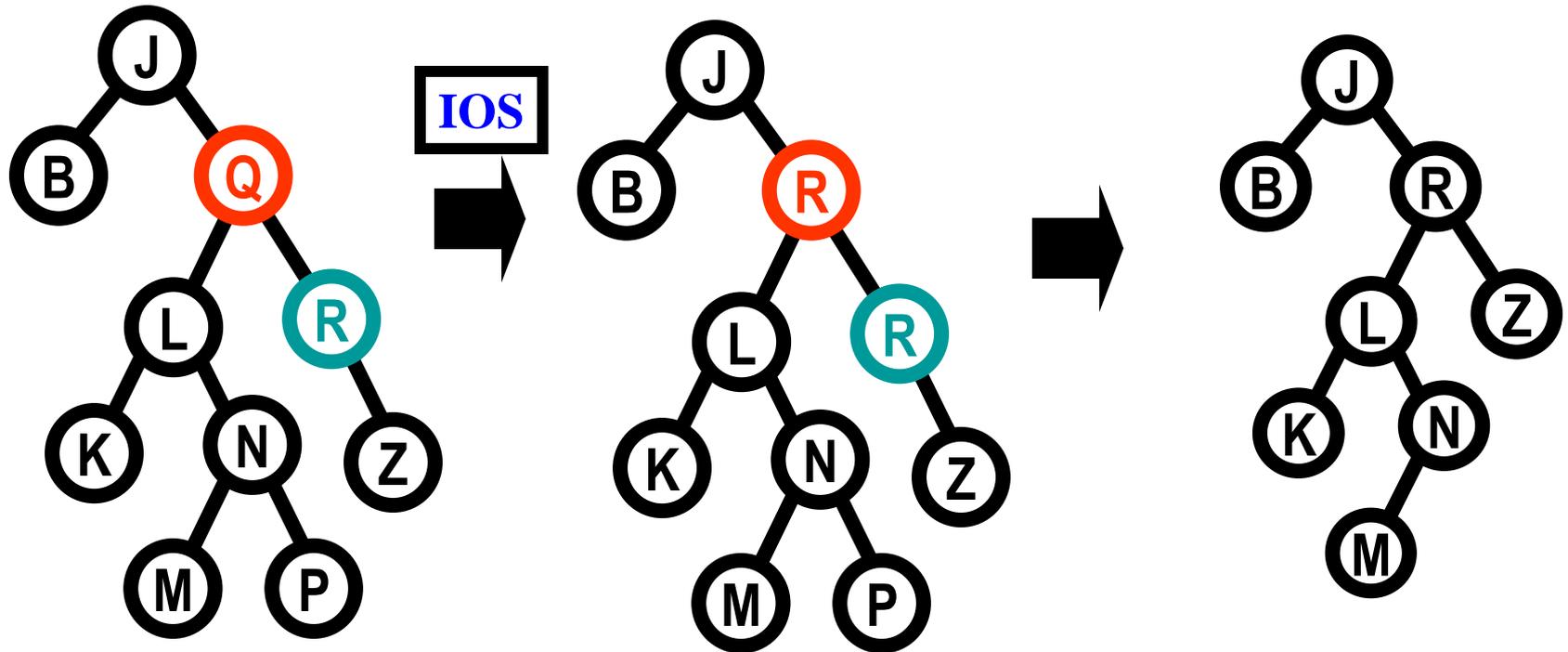
► QUIZ? Delete Q ?



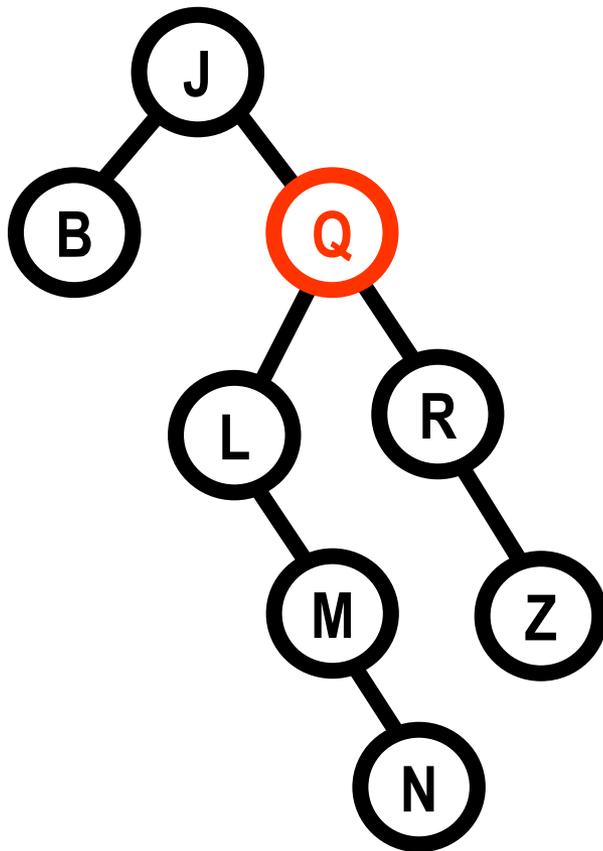
► QUIZ: Delete Q



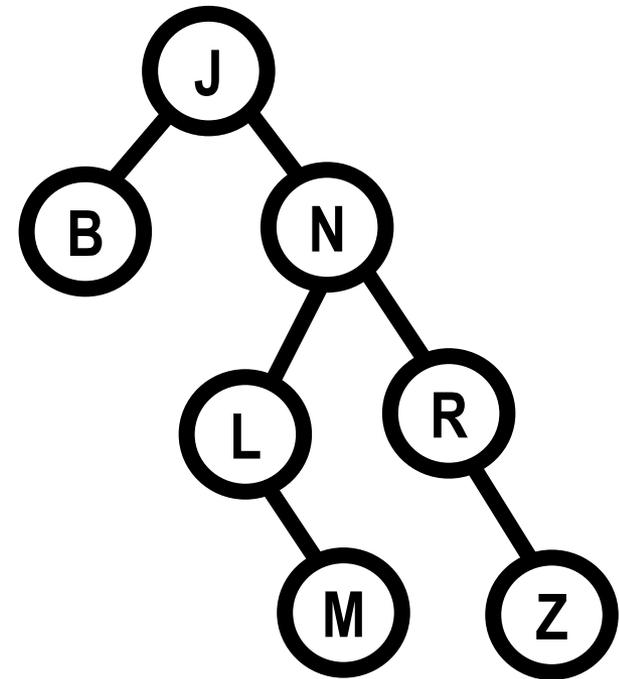
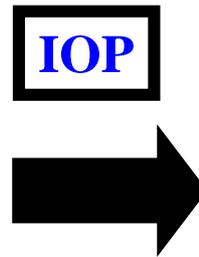
► QUIZ: Delete Q



► QUIZ? Delete Q by Copying

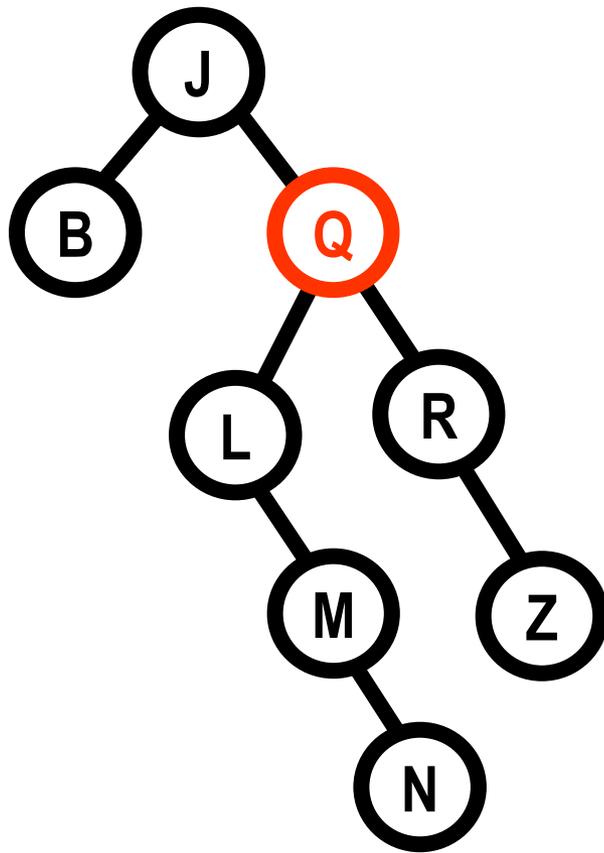


Height= 5



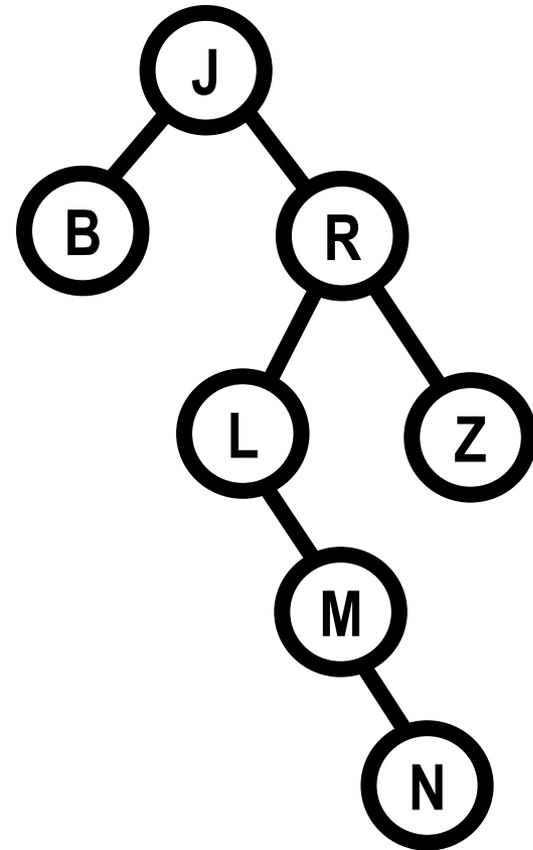
Height= 4

► QUIZ? Delete Q by Copying



Height= 5

IOS



Height= 5

Delete By Copying

- **Delete by Copying** never increase the height of **BST** while we delete a data item!

Delete Operation

- **Delete(BST, SearchKey):**
 - If SearchKey < BST->Key then
 - ☞ Delete (BST->LchildPtr, SearchKey)
 - else if SearchKey > BST->Key then
 - ☞ Delete (BST->RchildPtr, SearchKey)
 - else (SearchKey == BST->Key)
 - ☞ DeleteNode (BST)
 - Three cases:
 1. Node has no children (leaf node)
 2. Node has one child
 3. Node has two children - **Delete by Copying IOP or IOS!**
- Worst case
 - $O(N)$
- Average Case
 - $O(\log N)$

BST Implementation

```
template<class DataType>
class BSTnode
{
public:
    BSTnode();
    BSTnode(DataType D, BSTnode<DataType>* l,
            BSTnode<DataType>* r)
        : data(D), LchildPtr(l), RchildPtr(r) { }

private:
    DataType data;
    BSTnode<DataType>* LchildPtr;
    BSTnode<DataType>* RchildPtr;
};
```

BST Implementation

```
template<class DataType>
class BST
{
public:
    BST();
    // search;
    // insert;
    // delete;
    ...

private:
    BSTnode<DataType>* rootBT;
    ...

};
```

Binary Search Tree Visualization

- *Binary Search Tree Visualization*



▶ QUIZ?

- Compare **Delete-by-copying** with **Delete-by-merging** in BST?

▶ QUIZ?

- Compare **BST** with **Randomized Skip List**?
 - Insert
 - Search
 - Delete

Advanced Binary Search Trees

Why Advanced Binary Search Trees?

- Why?
 - The **search, insert, delete** operations on **BST**:
 - ☞ $O(n)$ time at worst-case
 - A better search, insert, delete operation?
 - ☞ **$O(\log N)$ worst & amortized time** rather than $O(N)$?

What Advanced Binary Search Trees?

- **$O(\log N)$ *worst time*** for search, insert, delete operations?
 - AVL (search) Trees
 - Red-Black (search) Trees
- **$O(\log N)$ *amortized time*** for search, insert, delete operations?
 - Self-Adjusting Binary Search Trees!
 - Splay (search) Trees

What Advanced M-way Search Trees?

- **$O(\log N)$ *worst time* for search, insert, delete operations?**
 - ➡ **2-3 (search) Trees**
 - ➡ **2-3-4 (search) Trees**

 - ➡ **B-trees**

Advanced Binary Search Trees

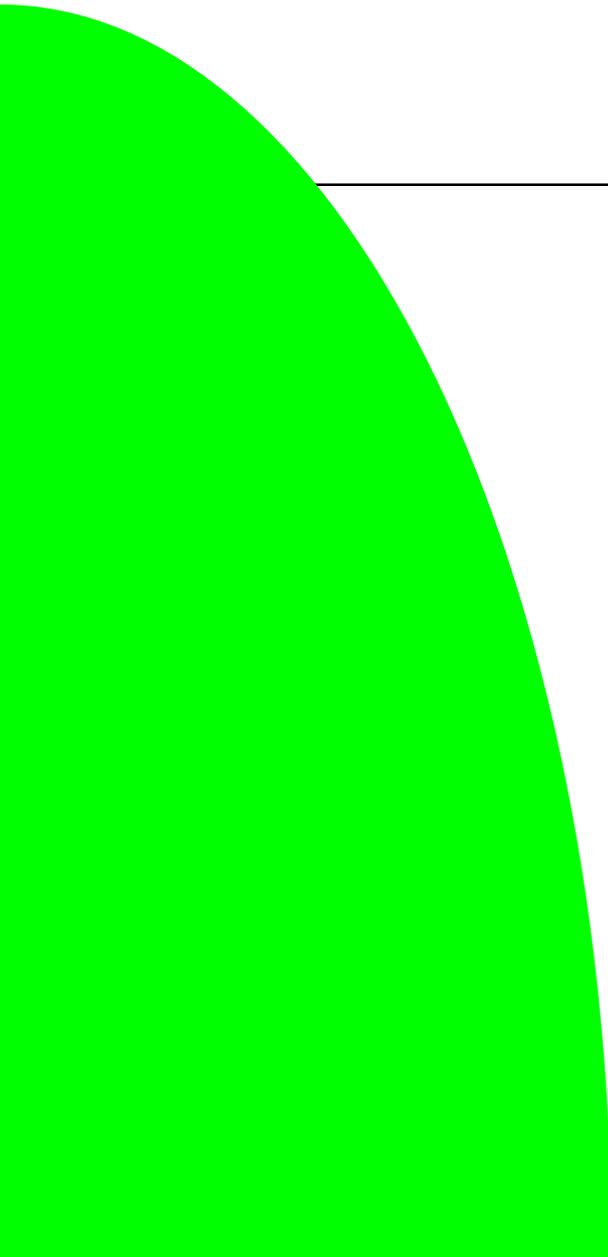
- How?
 - **Height Balanced Binary Search Trees**

A Balanced Tree?

- A tree with some balancing condition.
- A **height balanced** tree
 - A tree where no leaf is much farther away from the root than any other leaf.
 - Different balancing schemes allow different definitions of "much farther" and different amounts of work to keep them balanced.

A Balanced Search Tree?

- A **search tree** with some **balancing condition**.
- A **height balanced** search tree



AVL Trees

**(Height-Balanced
Binary Search Trees)**

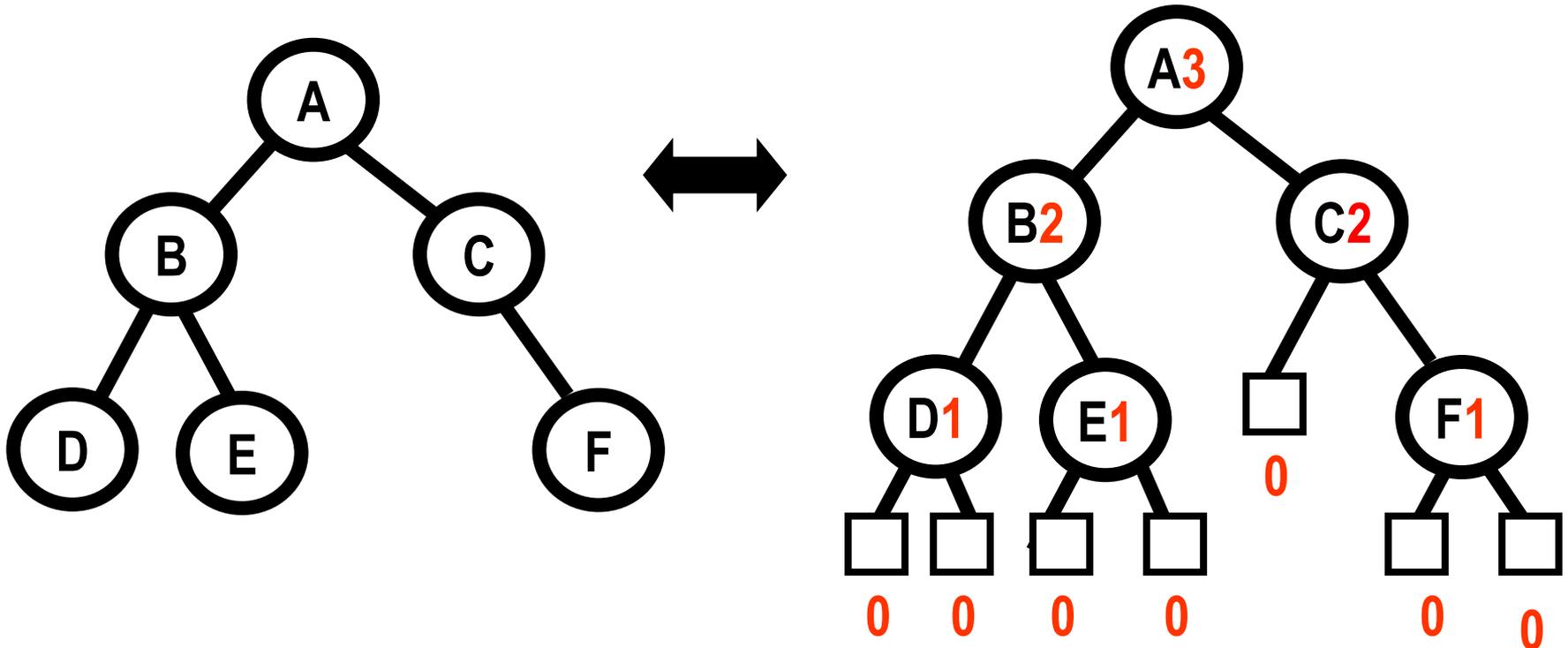
AVL (Search) Tree

- A **height-balanced** **binary search tree**
 - Height is balanced!
 - All data items are kept in sorted order!

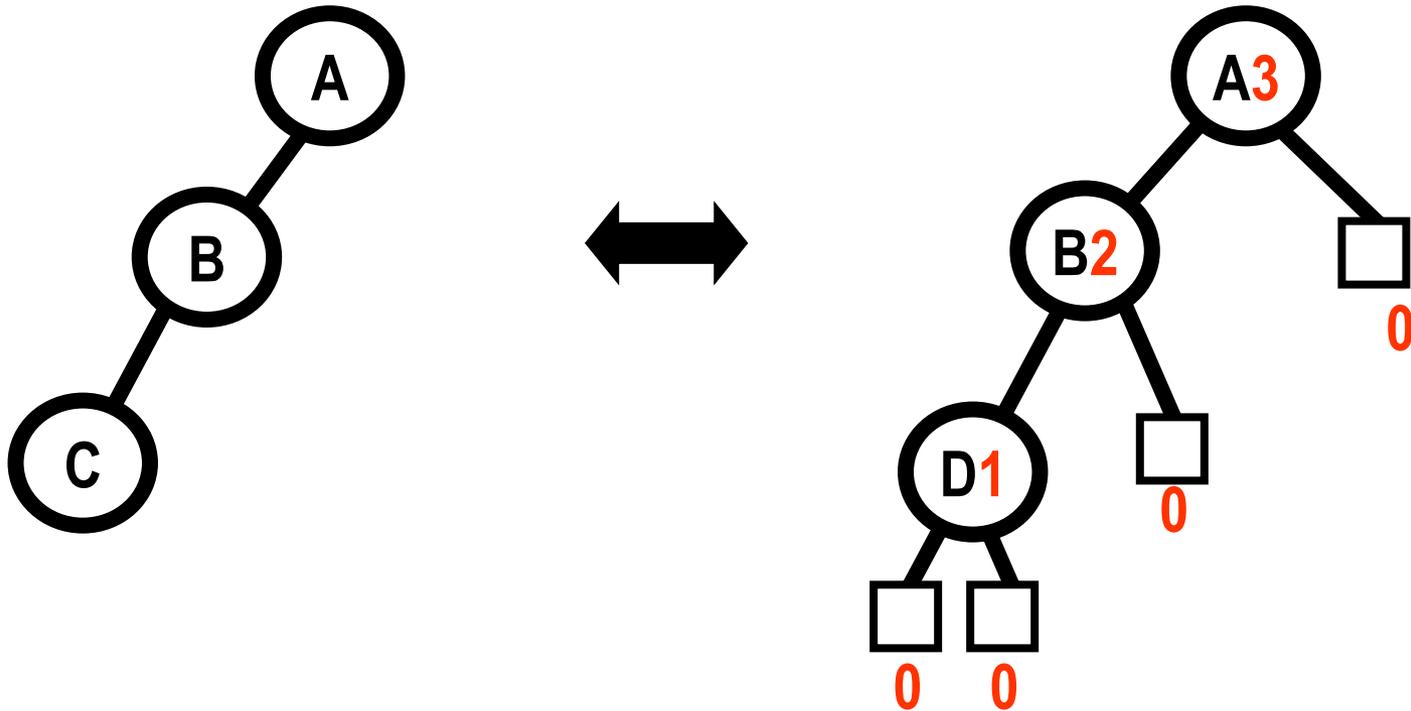
The Height in AVL Tree

- For a node x :
 - $\text{height}(x)$ = The longest path length from the node x to an external node.
- $\text{height}(x)$ =
 - 0 if x is an external node
 - $1 + \max(\text{height}(\text{LeftChild of } x), \text{height}(\text{RightChild of } x))$ if x is an internal node

Example: The Height in Tree



► QUIZ? The Height in Tree



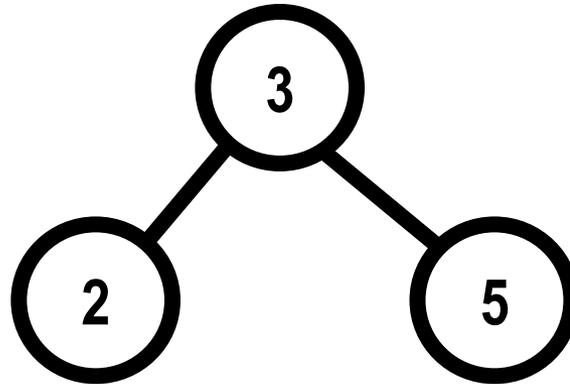
Height vs Shortest Path Length

- $\text{height}(x) =$
 - 0 if x is an external node
 - $1 + \max(\text{height}(\text{LeftChild of } x), \text{height}(\text{RightChild of } x))$ if x is an internal node
- $\text{spl}(x) = \text{shortest path length}$

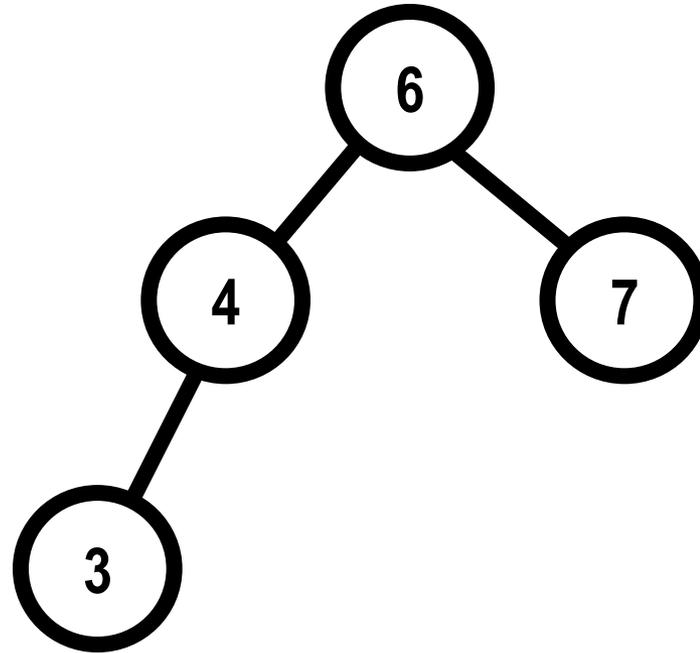
AVL Balancing Condition

- **The AVL Balancing Condition:**
 - An empty binary tree is AVL balanced.
 - A non-empty binary tree T with T_L and T_R as its left and right subtrees respectively is AVL balanced if
 - ☞ (1) Both T_L and T_R are **AVL balanced** &
 - ☞ (2) | The **height** of T_L - The **height** of T_R | \leq **1**.

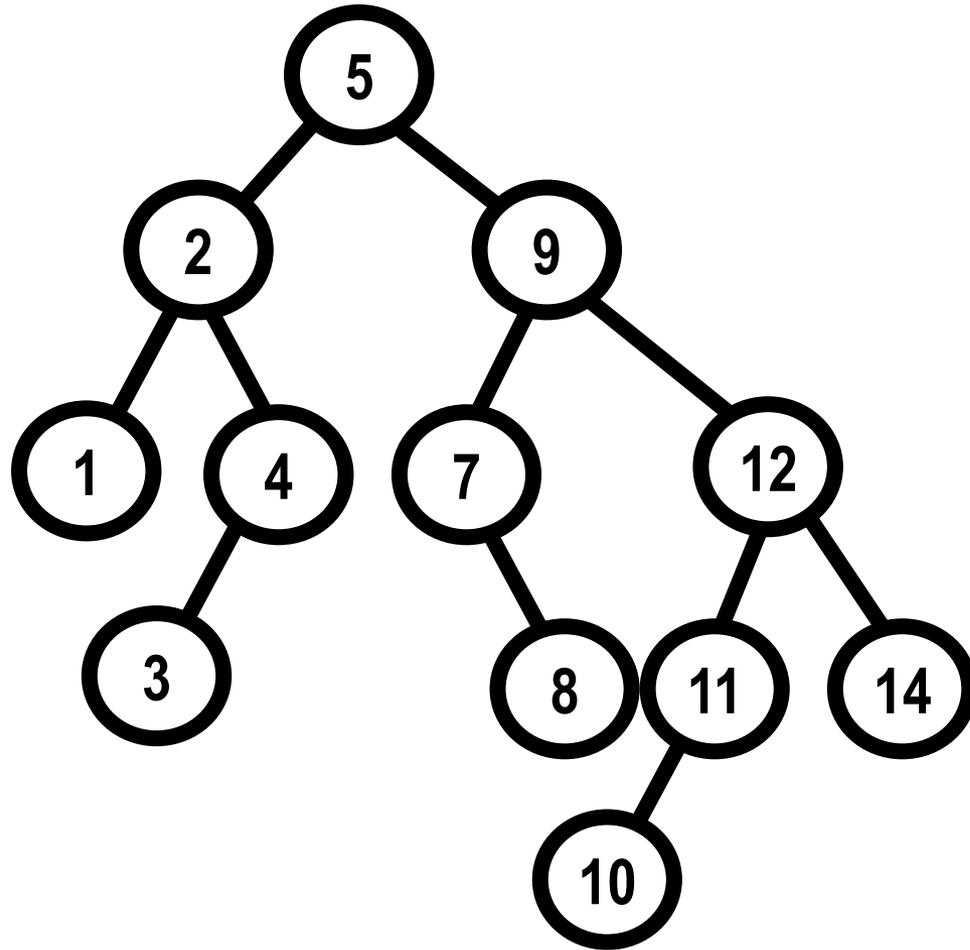
Example: AVL Tree?



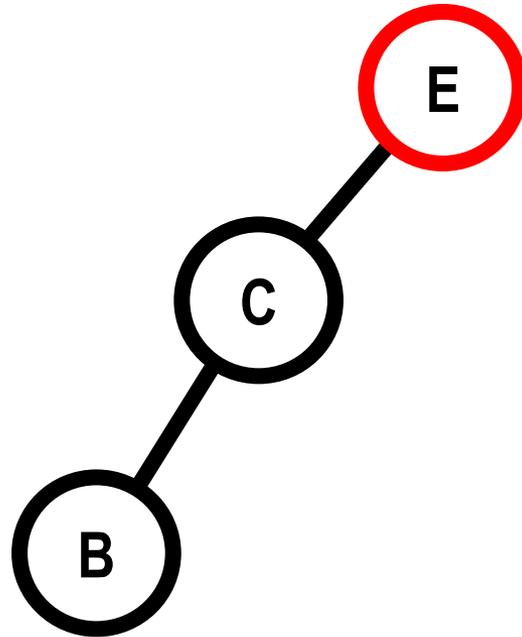
Example: AVL Tree?



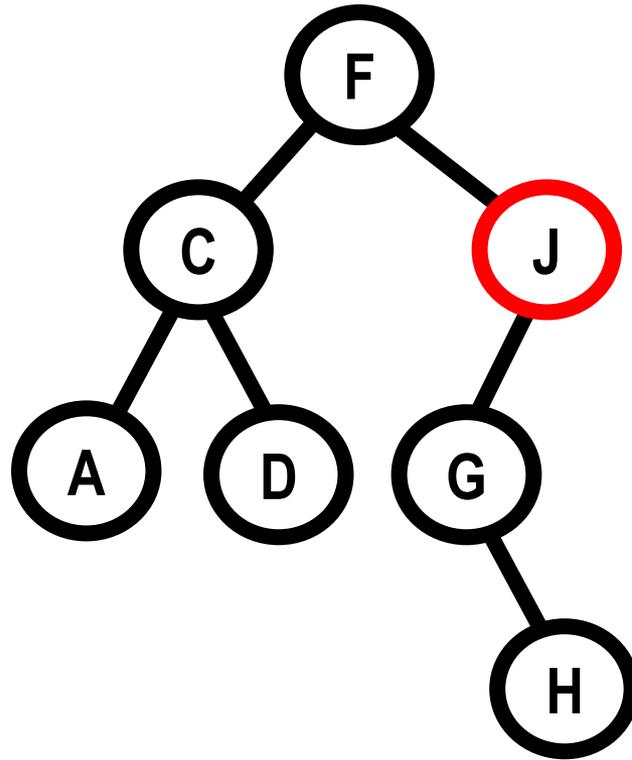
Example: AVL Tree?



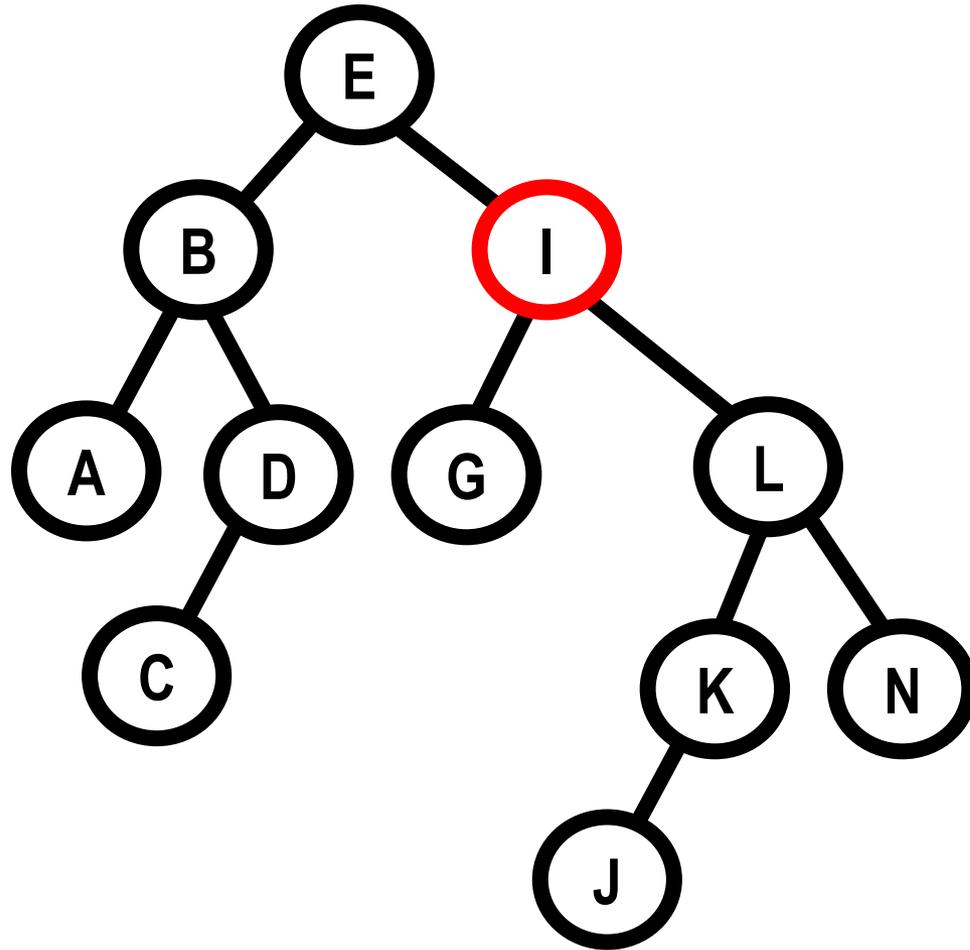
Example: AVL Tree?



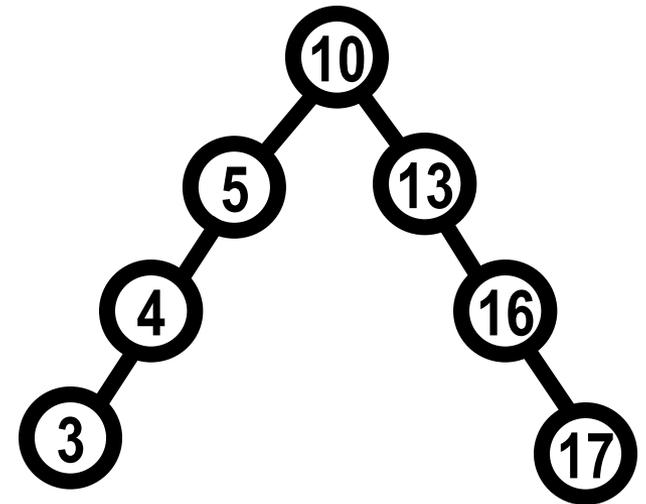
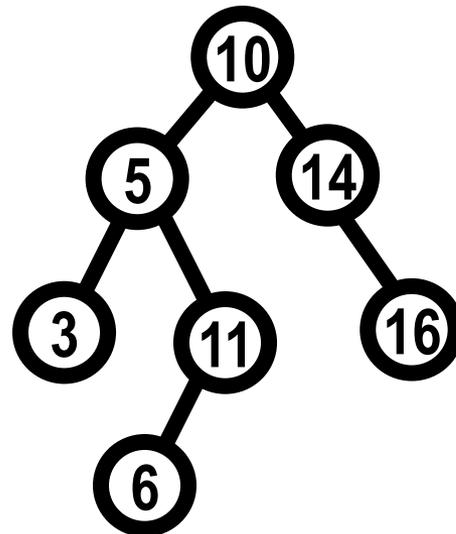
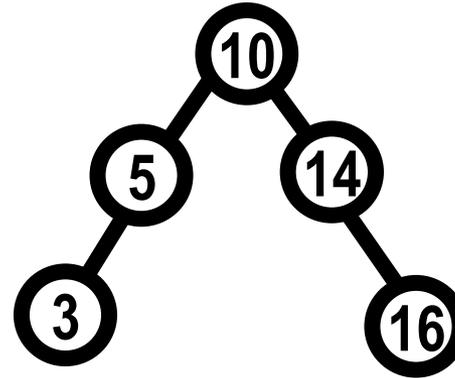
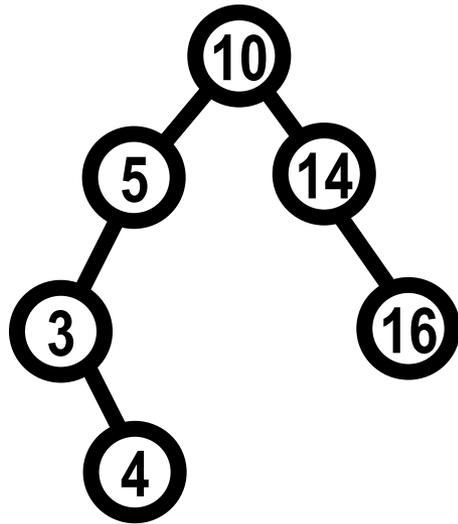
Example: AVL Tree?



Example: AVL Tree?



► QUIZ? AVL Tree?



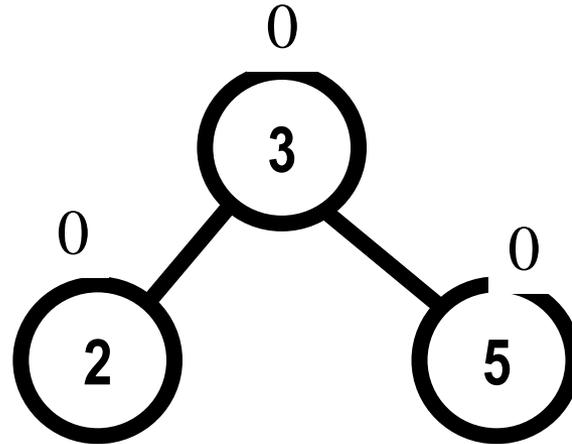
Balance Factor

- **Balance factor** of a node N in a binary tree is
 - **(The height of N_L - The height of N_R)** where N_L and N_R are left and right subtrees of N respectively.
 - $BF(N) > 0$
 - ☞ left subtree too high
 - $BF(N) = 0$
 - ☞ balanced
 - $BF(N) < 0$
 - ☞ right subtree too high

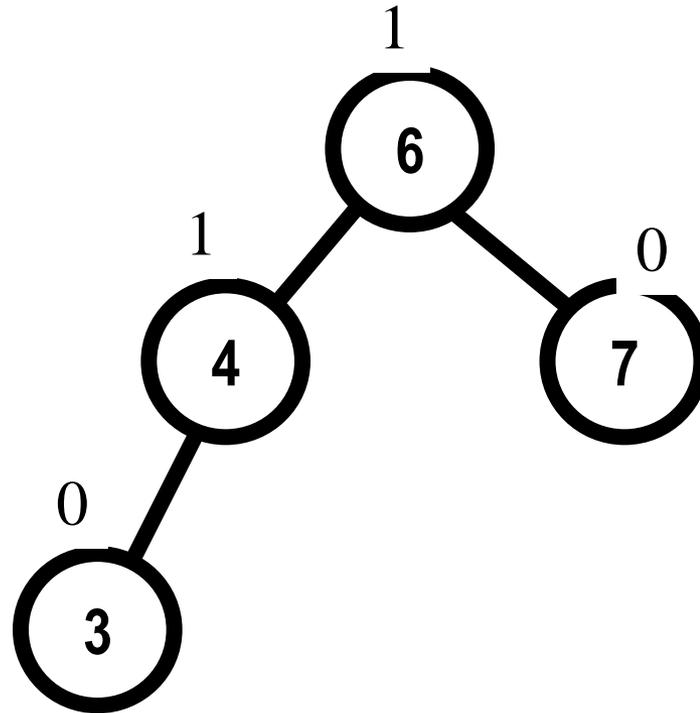
Balance Factors of Nodes in an AVL Tree

- For any node in an AVL tree:
 - $BF(N) = 1$
 - $BF(N) = 0$
 - $BF(N) = -1$

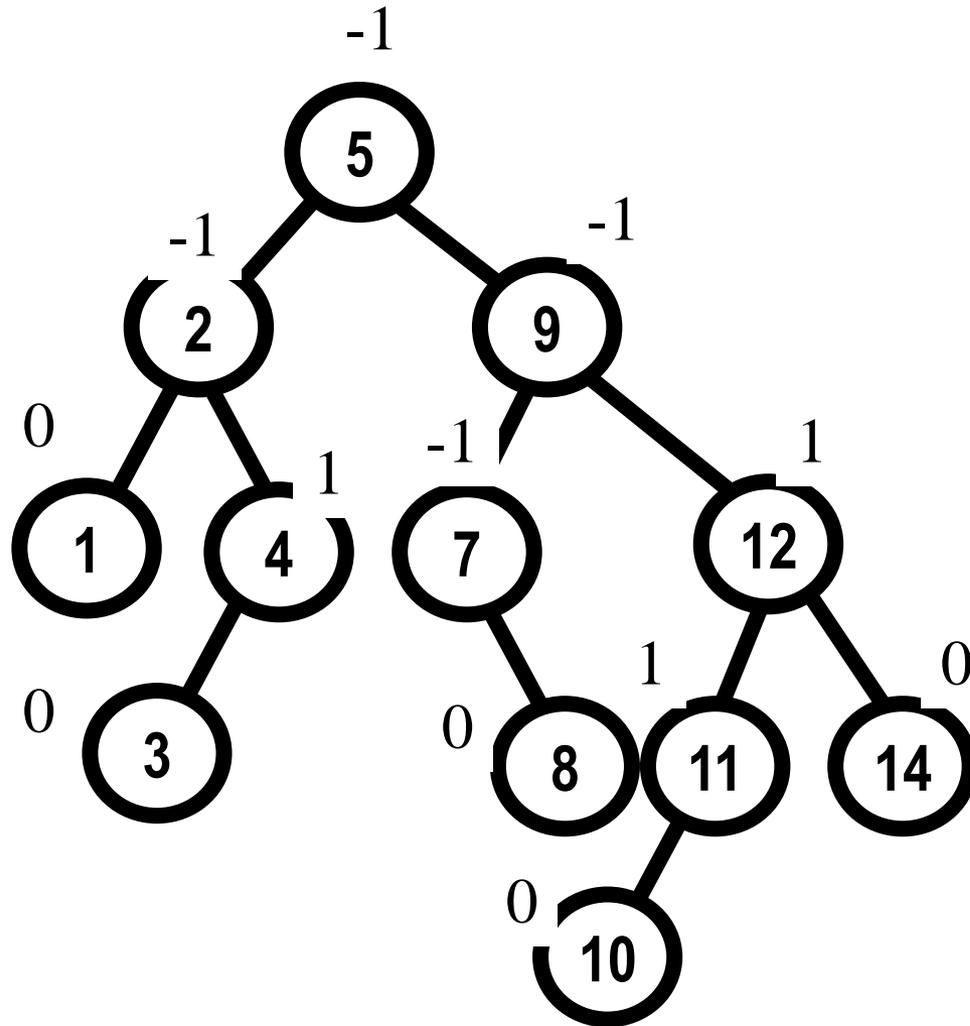
Example: AVL Tree?



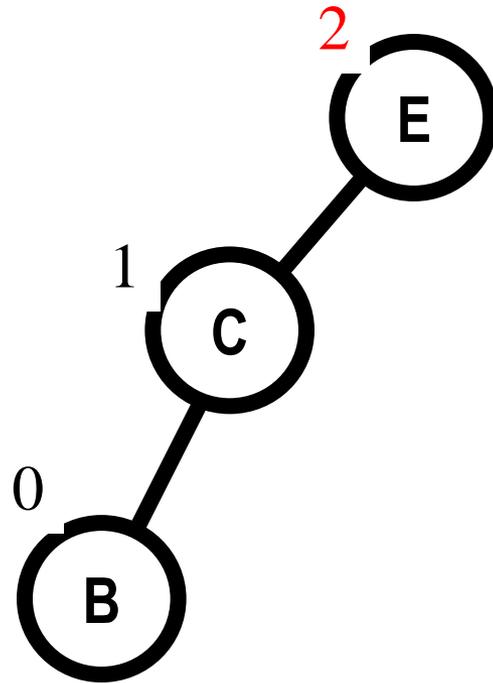
Example: AVL Tree?



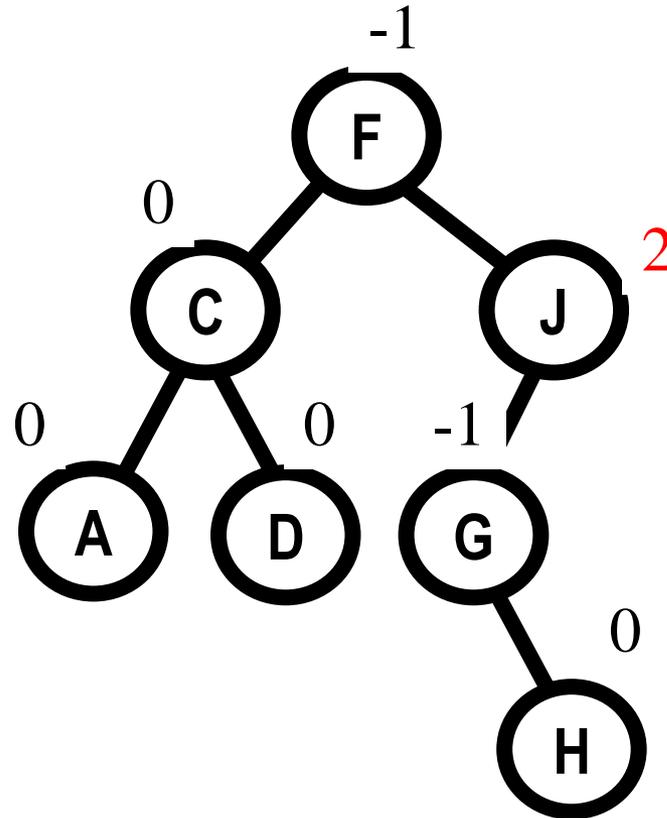
Example: AVL Tree?



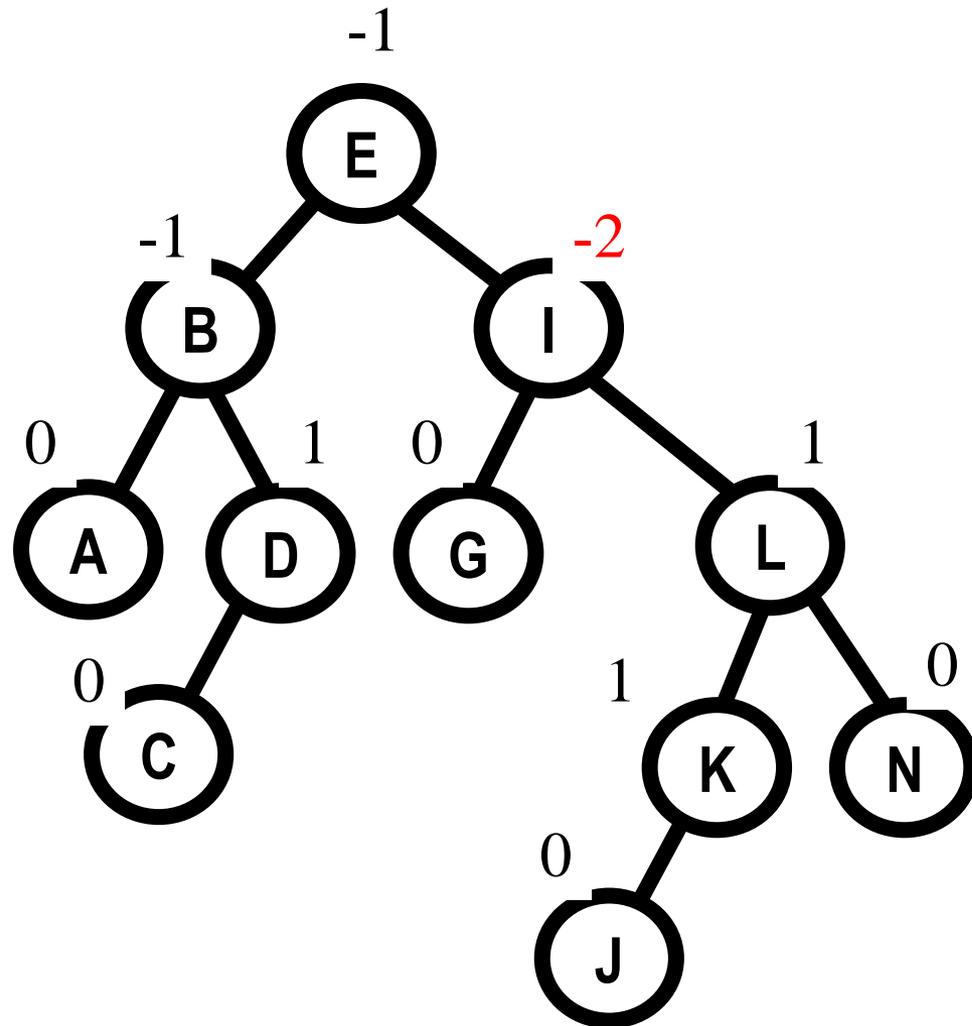
Example: AVL Tree?



Example: AVL Tree?



Example: AVL Tree?



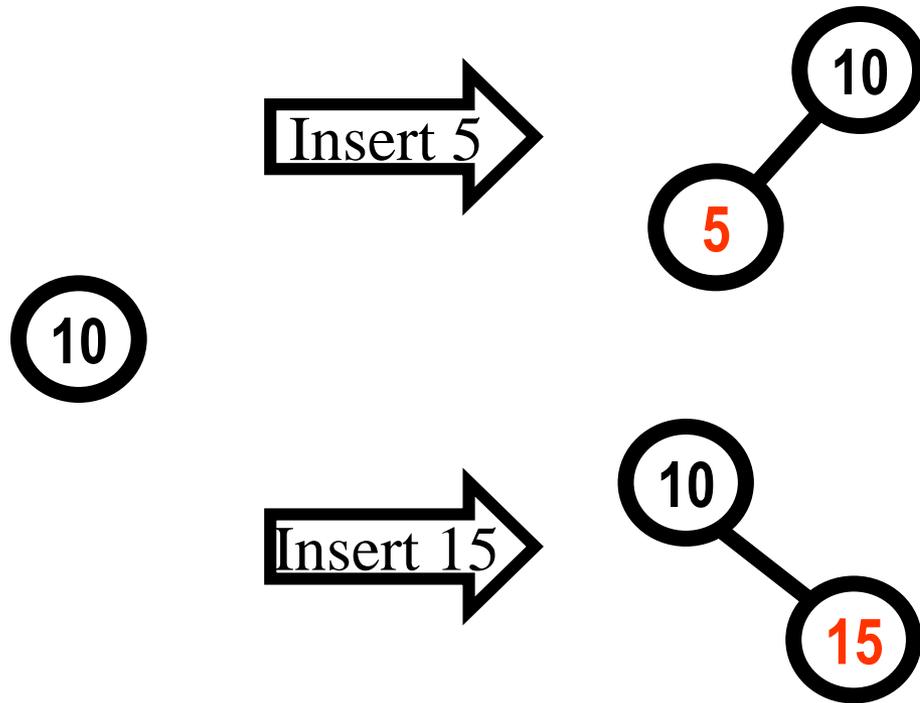
Searching a AVL tree

- Same as with binary search trees.
 - An AVL tree maintains a height close to the minimum.
 - We can search an AVL tree almost as efficiently as a minimum-height binary search tree.

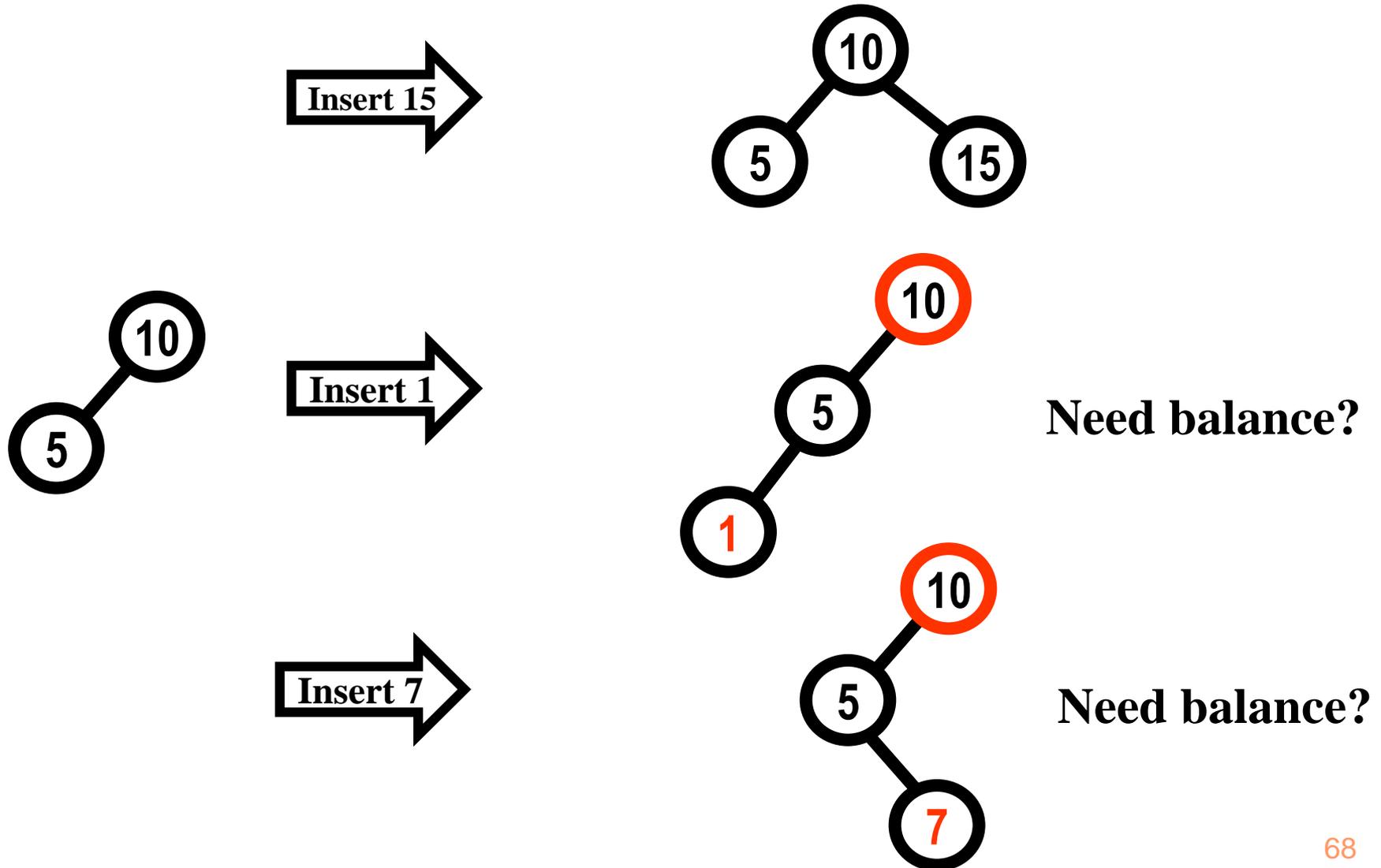
Inserting Items into an AVL tree

- Inserting an item into an AVL tree is a two-part process:
 - The item is inserted using the same method of insertion in binary search trees.
 - **Check the resulting tree is AVL balanced.**
 - ☞ Check whether any node in the tree has left and right subtrees whose heights differ by more than 1.
 - **If not, balance it!**

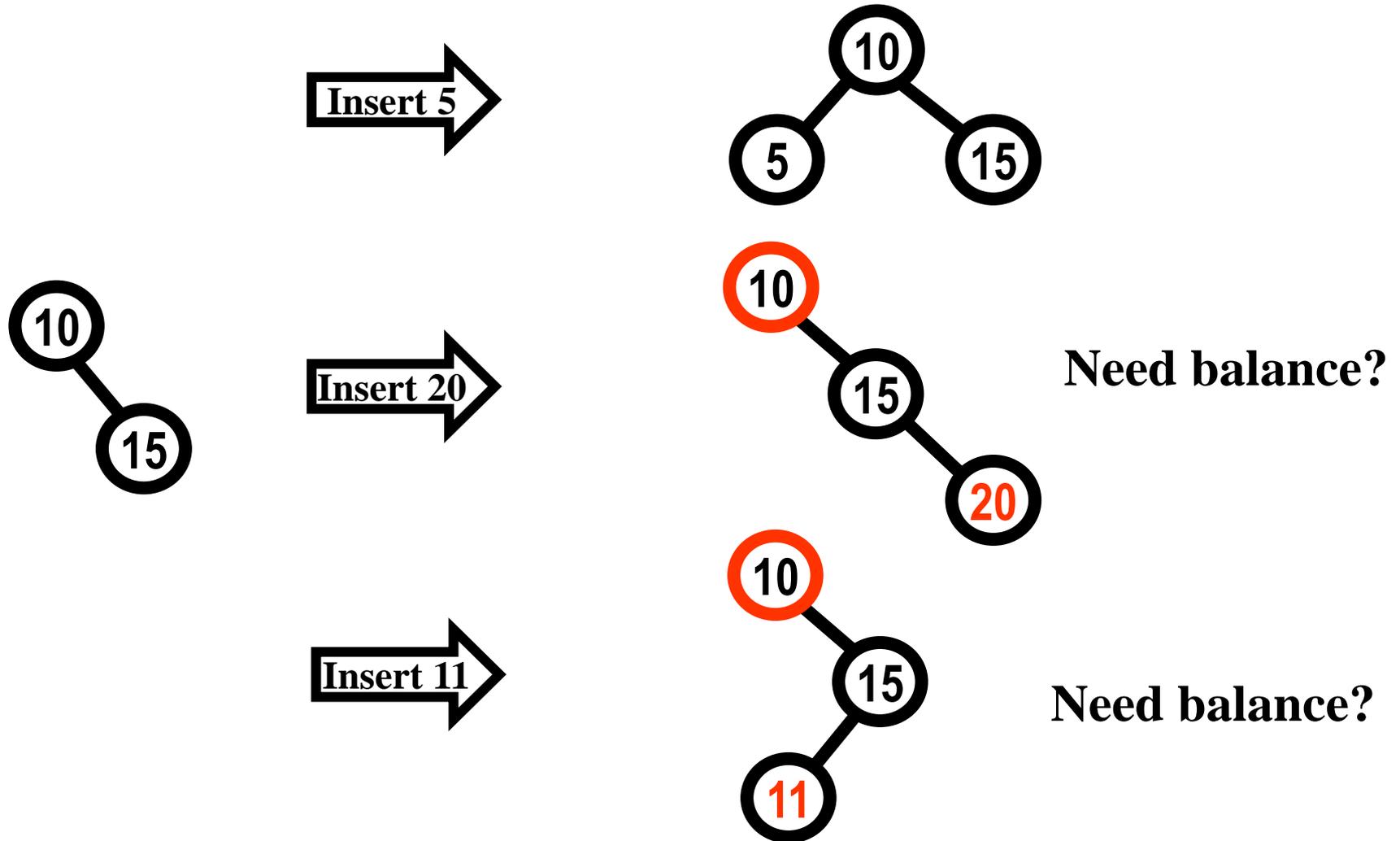
Example: Inserting Items into an AVL tree



Example: Inserting Items into an AVL tree



Example: Inserting Items into an AVL tree



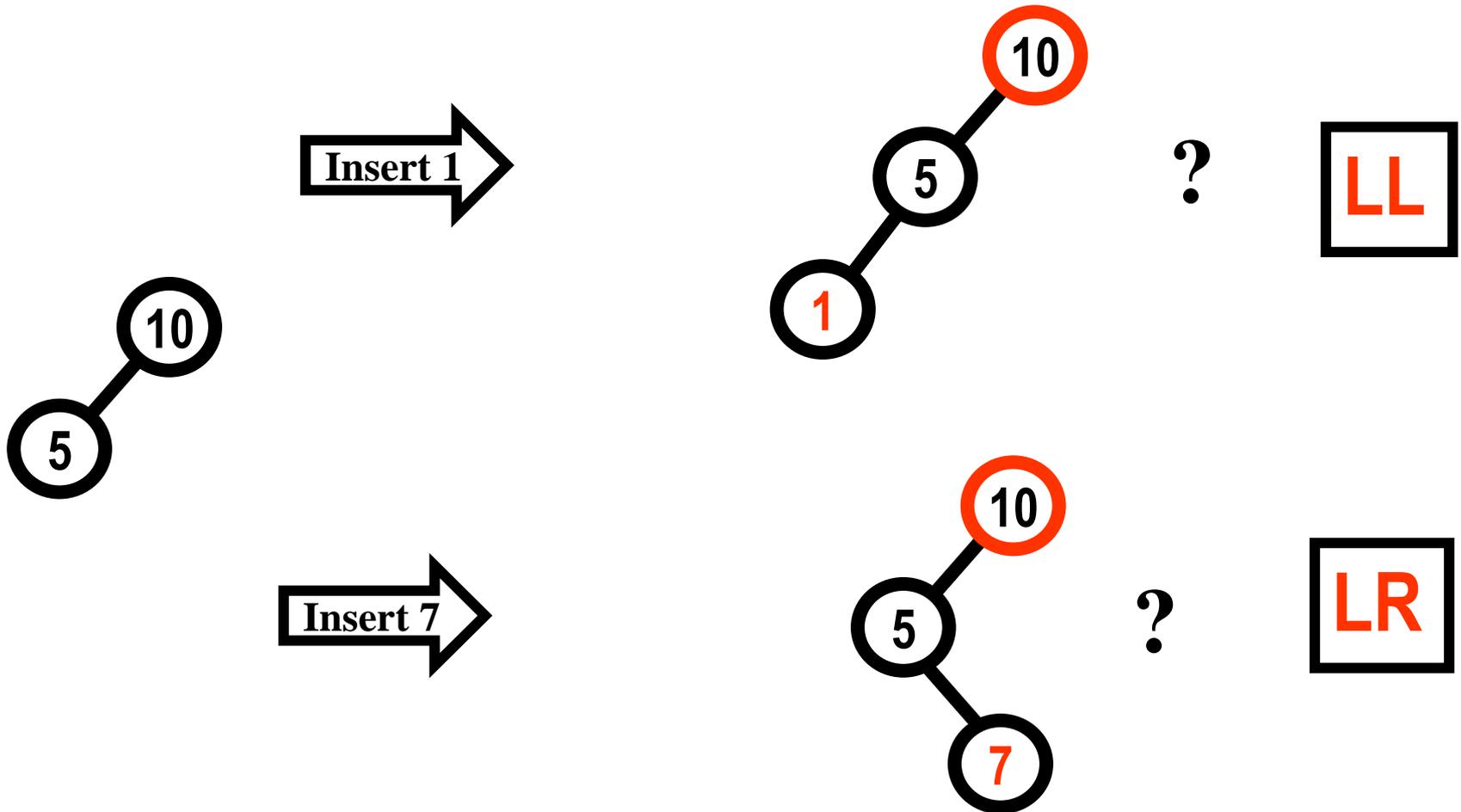
Rebalancing in Insertion

- Insertion may destroy the AVL balancing condition!
 - Need to balance it!

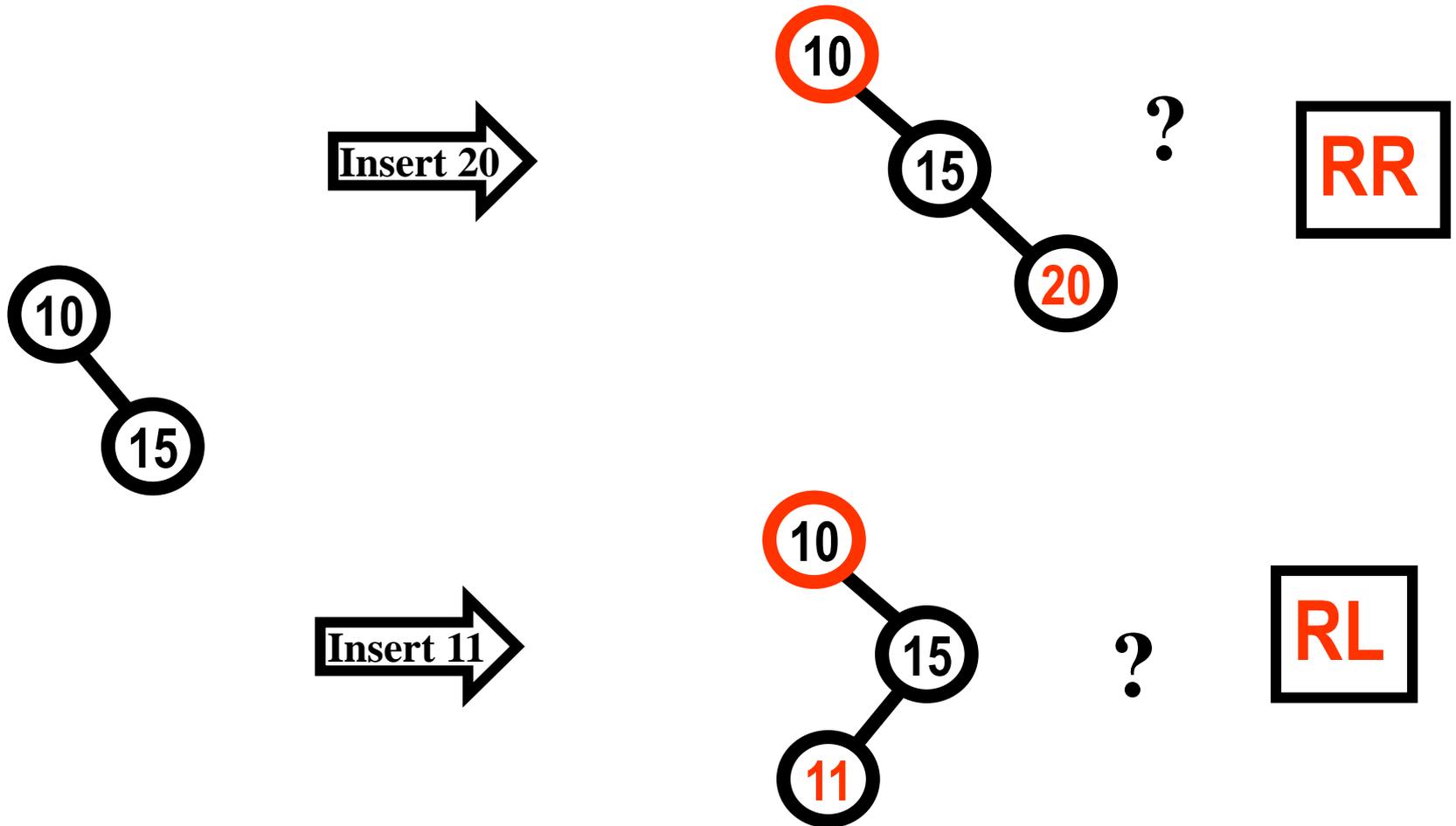
Four Cases for Rebalancing in Insertion

- Characterized by the nearest ancestor A of the inserted node whose balance factor becomes +2 or -2.
 - **LL**: Inserted in the left subtree of the left subtree of A.
 - **RR**: Inserted in the right subtree of the right subtree of A.
 - **LR**: Inserted in the right subtree of the left subtree of A.
 - **RL**: Inserted in the left subtree of the right subtree of A.

Example: Four Cases



Example: Four Cases



How to Check Four Cases for Rebalancing in Insertion?

- $X = A$ new data
- $T =$ Pointer to a node whose balance factor becomes $+2$ or -2 .
 - If $X < T \rightarrow \text{left} \rightarrow \text{data}$ then
 - ☞ **LL**: Inserted in the left subtree of the left subtree of A .
 - If $X > T \rightarrow \text{right} \rightarrow \text{data}$ then
 - ☞ **RR**: Inserted in the right subtree of the right subtree of A .

How to Check Four Cases for Rebalancing in Insertion?

- $X = A$ new data
- $T =$ Pointer to a node whose balance factor becomes $+2$ or -2 .
 - If $X > T \rightarrow \text{left} \rightarrow \text{data}$ then
 - ☞ **LR**: Inserted in the right subtree of the left subtree of A .
 - If $X < T \rightarrow \text{right} \rightarrow \text{data}$ then
 - ☞ **RL**: Inserted in the left subtree of the right subtree of A .

Re-Balancing AVL trees by Rotations

- When an AVL tree becomes unbalanced, bring it back into balance.
- How?
 - By performing an operation called

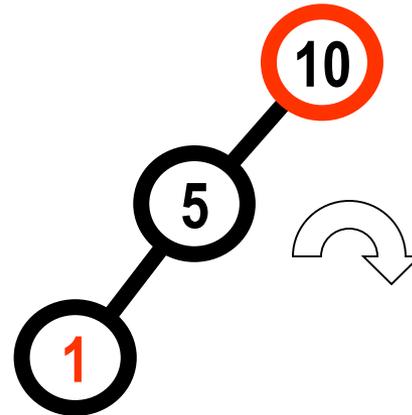
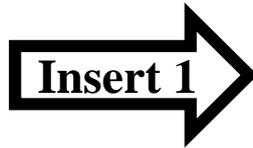
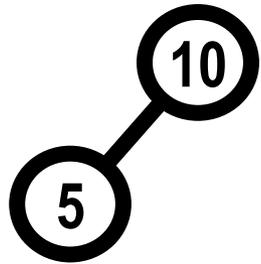
rotation.

Idea:
Restore the AVL balance by Rotation!

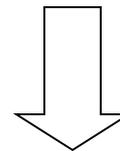
Four Cases for Rebalancing AVL trees

- There are **four cases**:
 - LL
 - RR
 - LR
 - RL
- Each case has its own **rotation**:
 - Case 1: **Single rotation** called **LL rotation**
 - Case 2: **Single rotation** called **RR rotation**
 - Case 3: **Double rotation** called **LR rotation**
 - Case 4: **Double rotation** called **RL rotation**

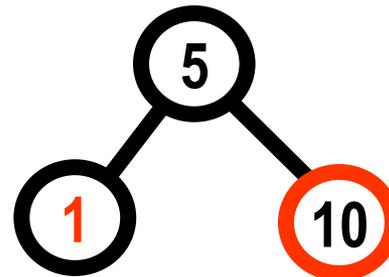
Example: Case 1



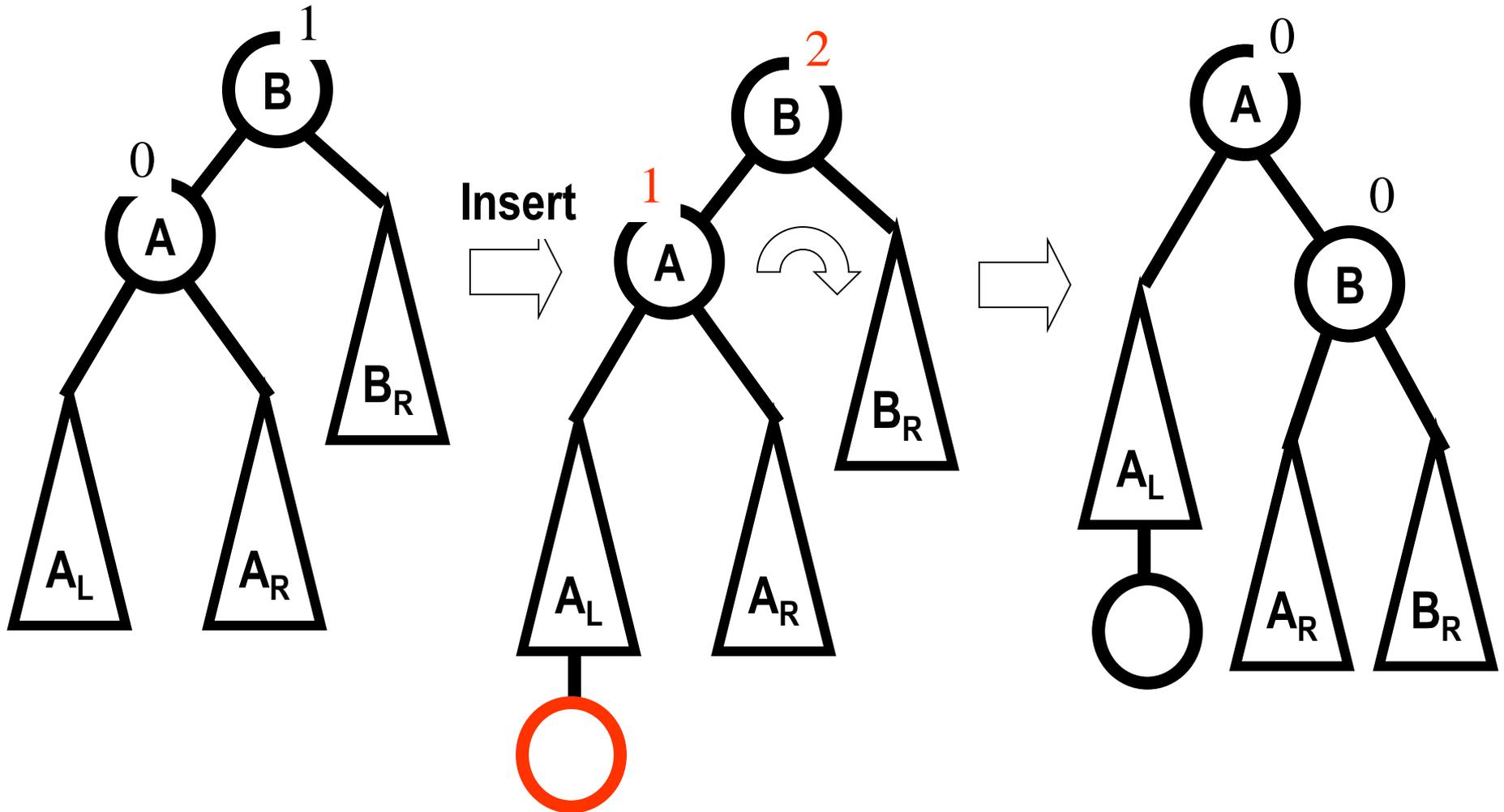
?



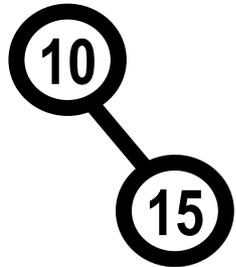
Single (right) Rotation



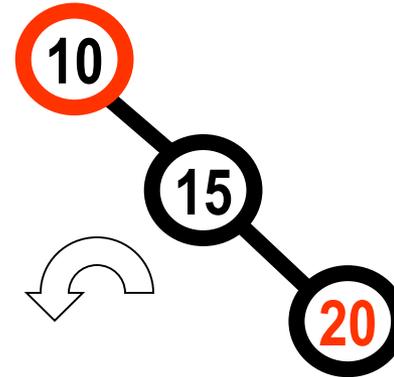
Case 1: Single rotation called LL rotation



Example: Case 2

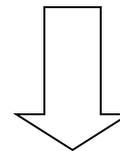


Insert 20

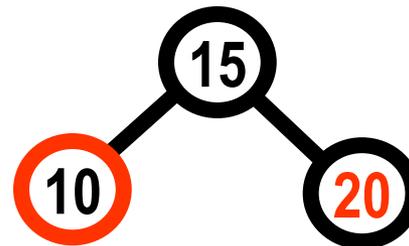


?

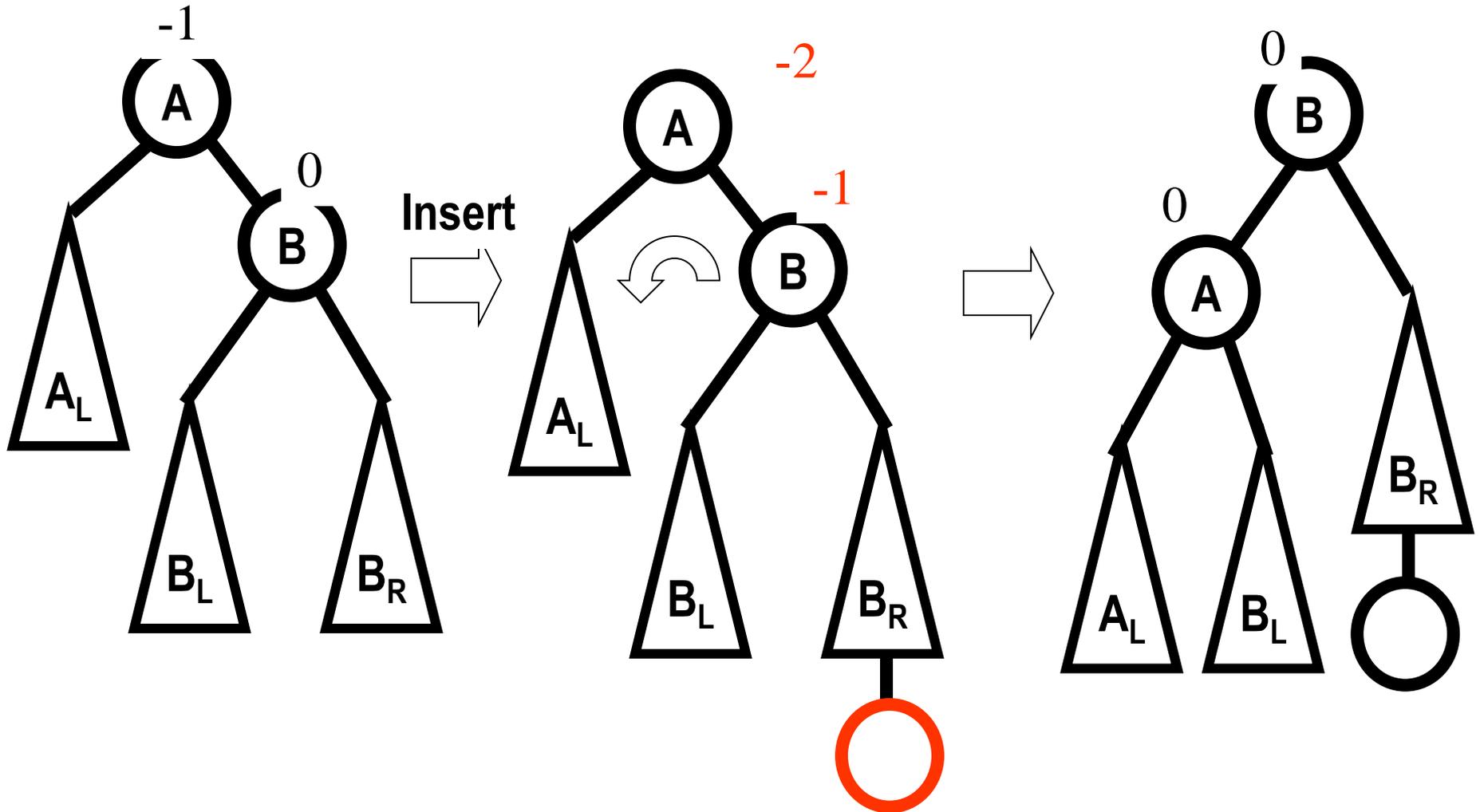
RR



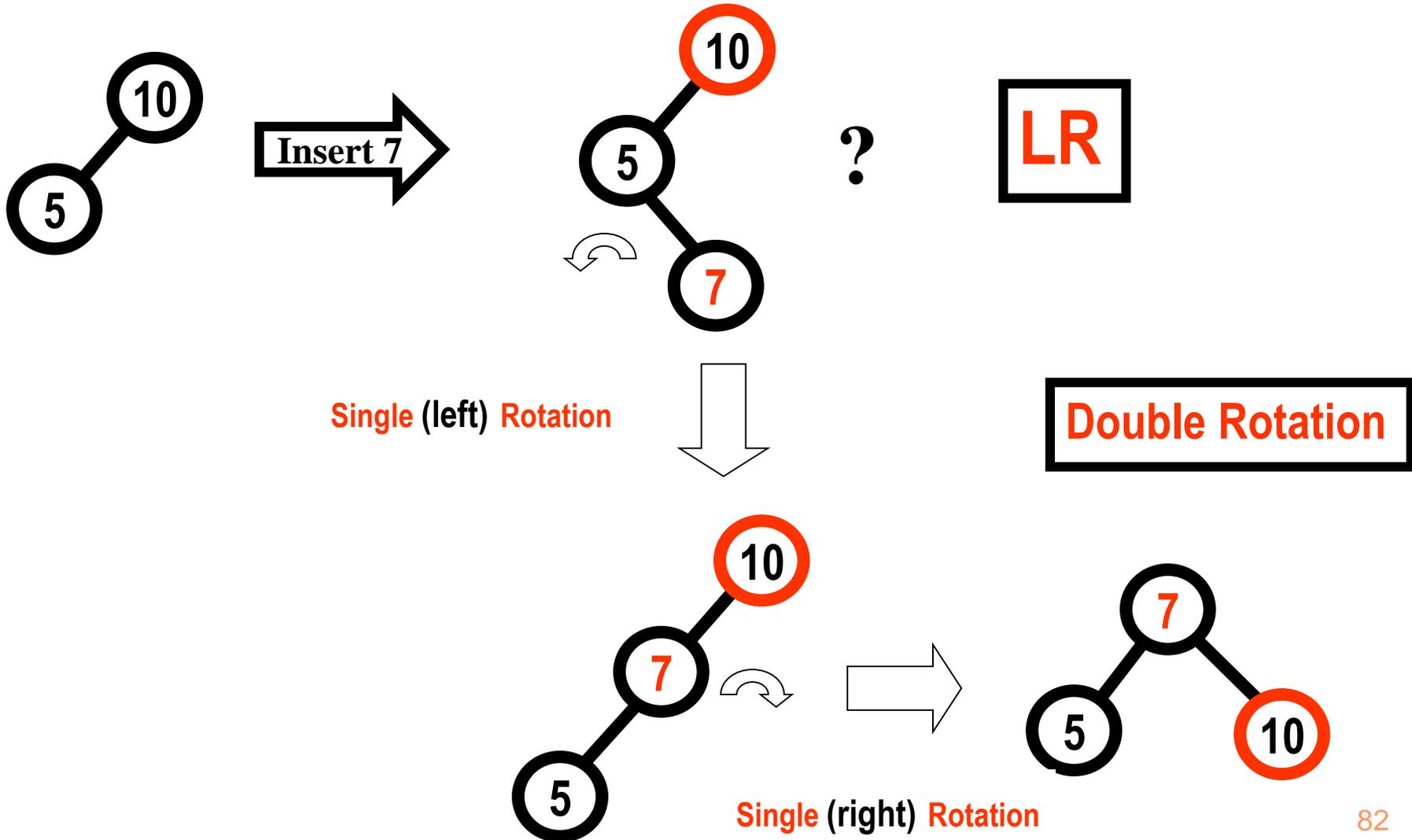
Single (left) Rotation



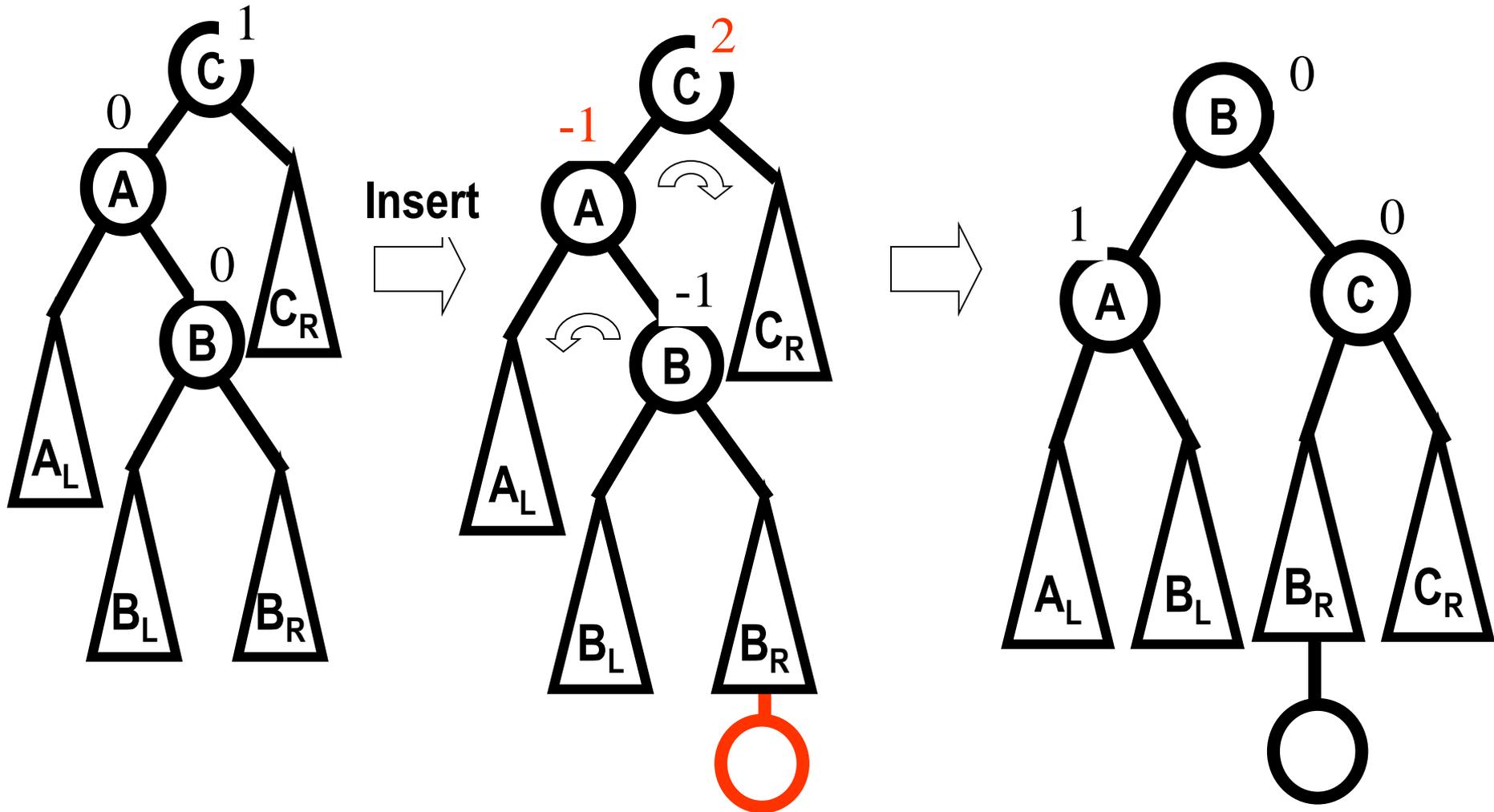
Case 2: Single rotation called RR rotation



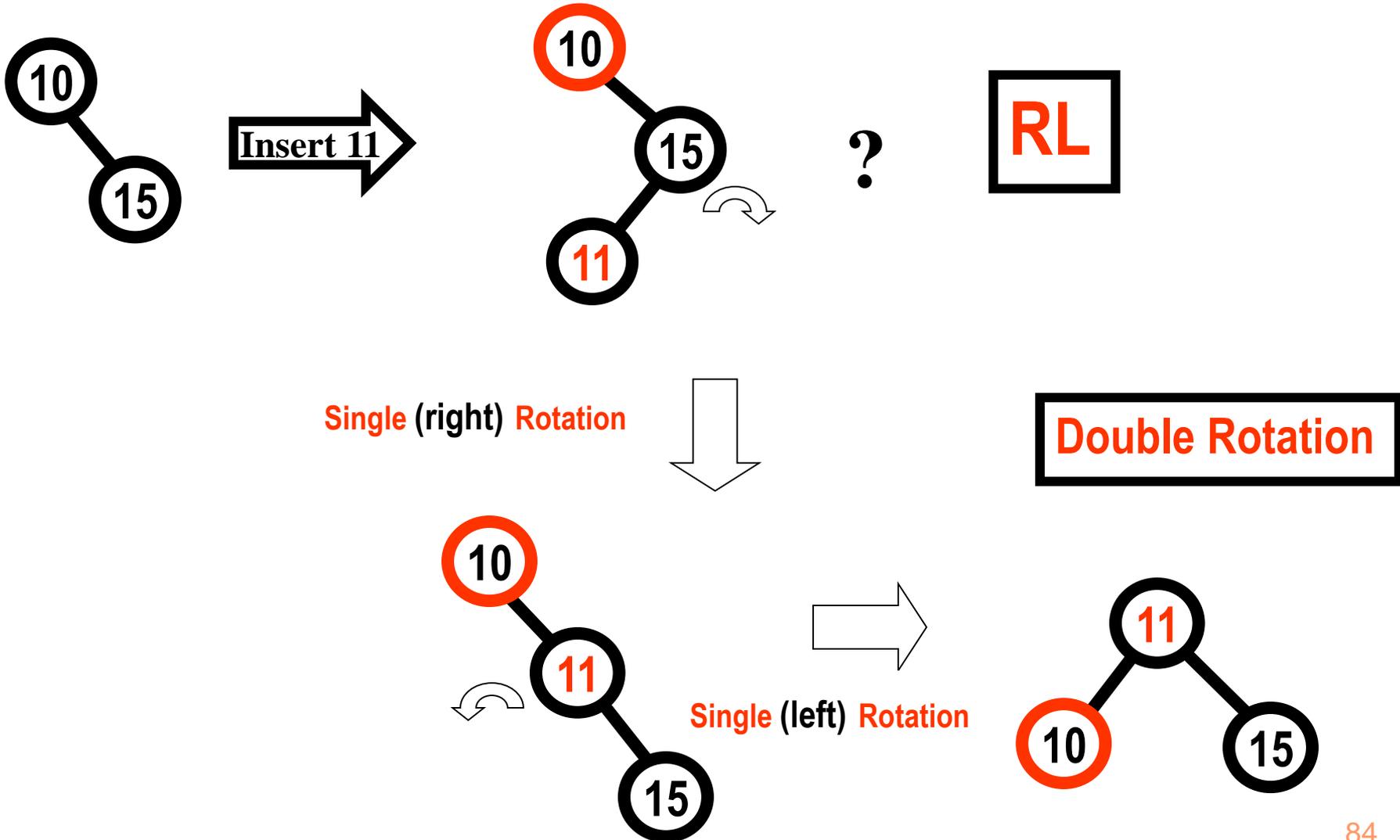
Example: Case 3



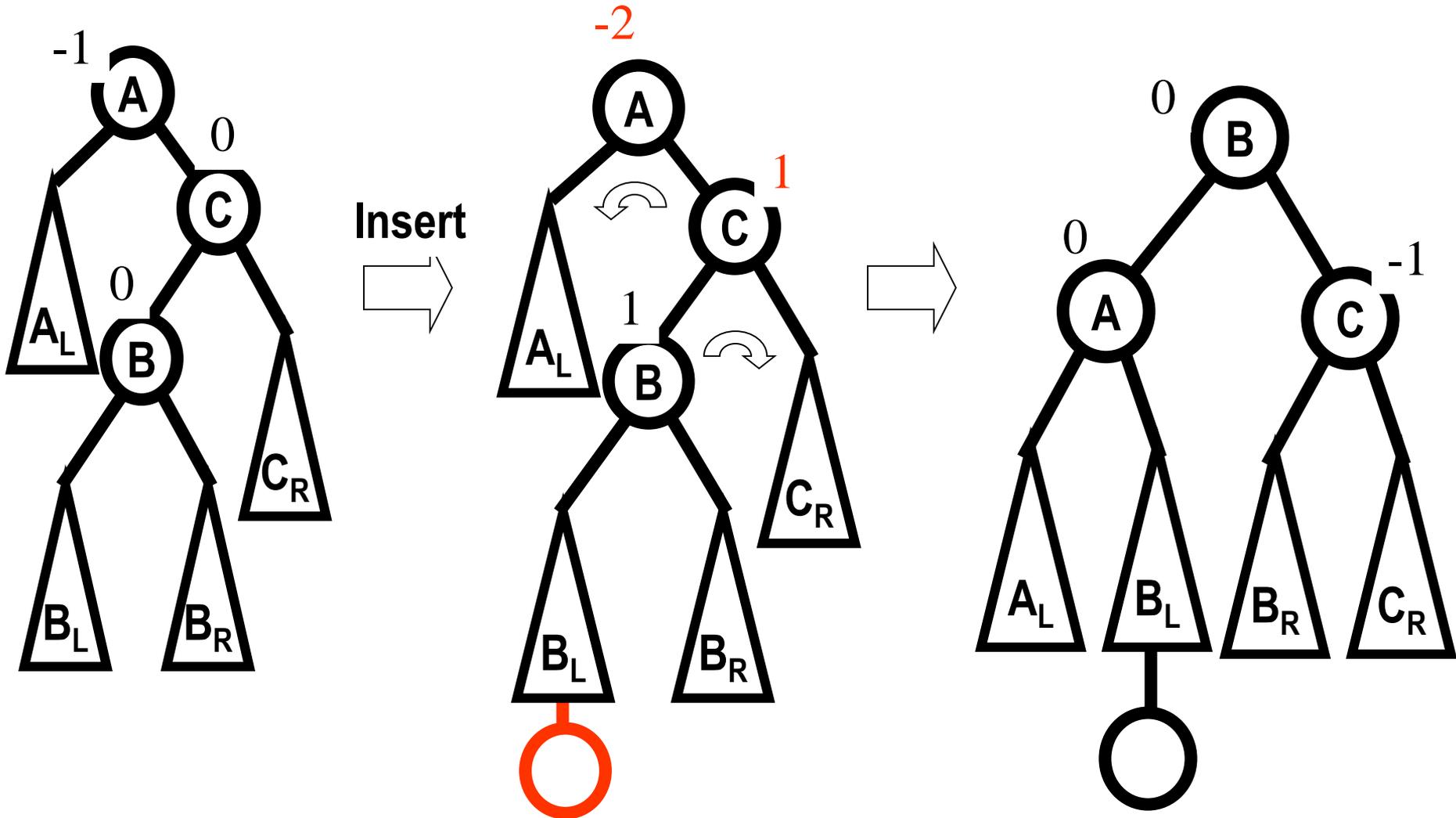
Case 3: Double rotation called LR rotation



Example: Case 4



Case 4: Double rotation called RL rotation



Inserting Items into an AVL tree

- Inserting an item into an AVL tree is a **two-part process**:
 - The item is inserted using the usual method of insertion in binary search trees.
 - Check the resulting tree is AVL balanced.
 - ☞ Check whether any node in the tree has left and right subtrees whose heights differ by more than 1.
 - If not, **balance it!**
 - ☞ Case 1: LL - Single rotation called LL rotation
 - ☞ Case 2: RR - Single rotation called RR rotation
 - ☞ Case 3: LR - Double rotation called LR rotation
 - ☞ Case 4: RL - Double rotation called RL rotation

Inserting Item x into an AVL tree whose root is pointed by T

- **Insert(x , T)**

- If T is an empty tree then

- ☞ ...

- else if ($x < T \rightarrow \text{data}$) then

- ☞ ...

- else if ($x > T \rightarrow \text{data}$) then

- ☞ ...

- Update the height of the node pointed by T .

Inserting Item x into an AVL tree whose root is pointed by T

- **Insert(x , T)**
 - If T is an empty tree then
 - ☞ Create a new node and make T point to this new node.
 - Update the height of the node pointed by T .

Inserting Item x into an AVL tree whose root is pointed by T

- **Insert(x, T)**
 - else if ($x < T \rightarrow \text{data}$) then
 - ☞ insert(x, T->left);
 - ☞ Check the node pointed by T is AVL balanced (the height difference of left and right subtrees is 2);
 - ☞ If not balanced, then determine the case and balance;
 - Case 1: **LL** - Single rotation called LL rotation
 - Case 3: **LR** - Double rotation called LR rotation
 - Update the height of the node pointed by T.

Inserting Item x into an AVL tree whose root is pointed by T

- **Insert(x, T)**
 - else if ($x > T \rightarrow \text{data}$) then
 - ☞ insert(x, $T \rightarrow \text{right}$);
 - ☞ Check the node pointed by T is AVL balanced (the height difference of left and right subtrees is 2);
 - ☞ If not balanced, then determine the case and balance;
 - Case 2: **RR** - Single rotation called RR rotation
 - Case 4: **RL** - Double rotation called RL rotation.
 - Update the height of the node pointed by T.

Rebalancing AVL trees after Insertion

- After an insertion, only nodes that are on the path from the insertion point to the root might have balance altered.

Rebalancing AVL trees after Insertion

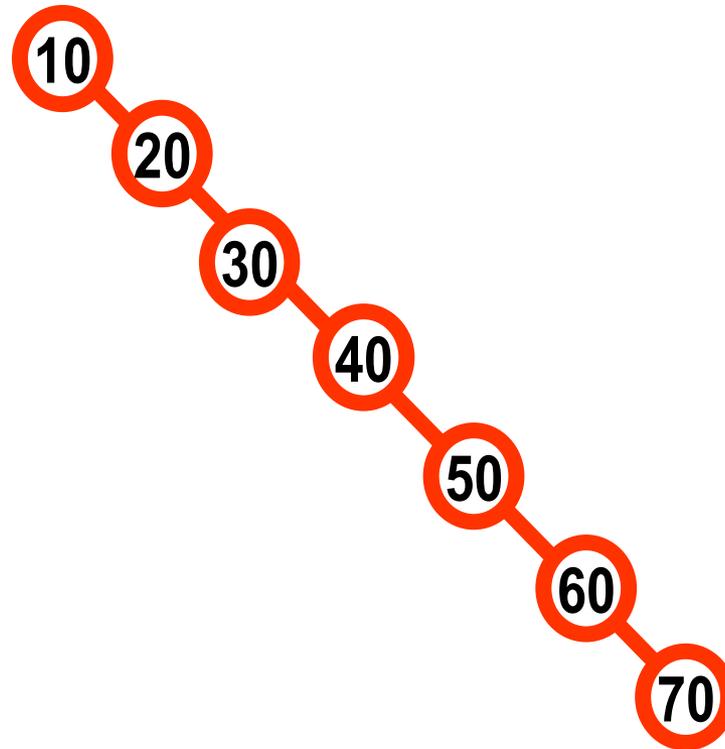
- Follow the path up to the root and check the balancing information.
- Find the first (nearest, deepest) such node.
- Rebalance the tree at that node.

Rebalancing AVL trees after Insertion

- This rebalance guarantees that the entire tree satisfies the AVL balancing condition.
 - **One rotation** (either single or double rotation)!

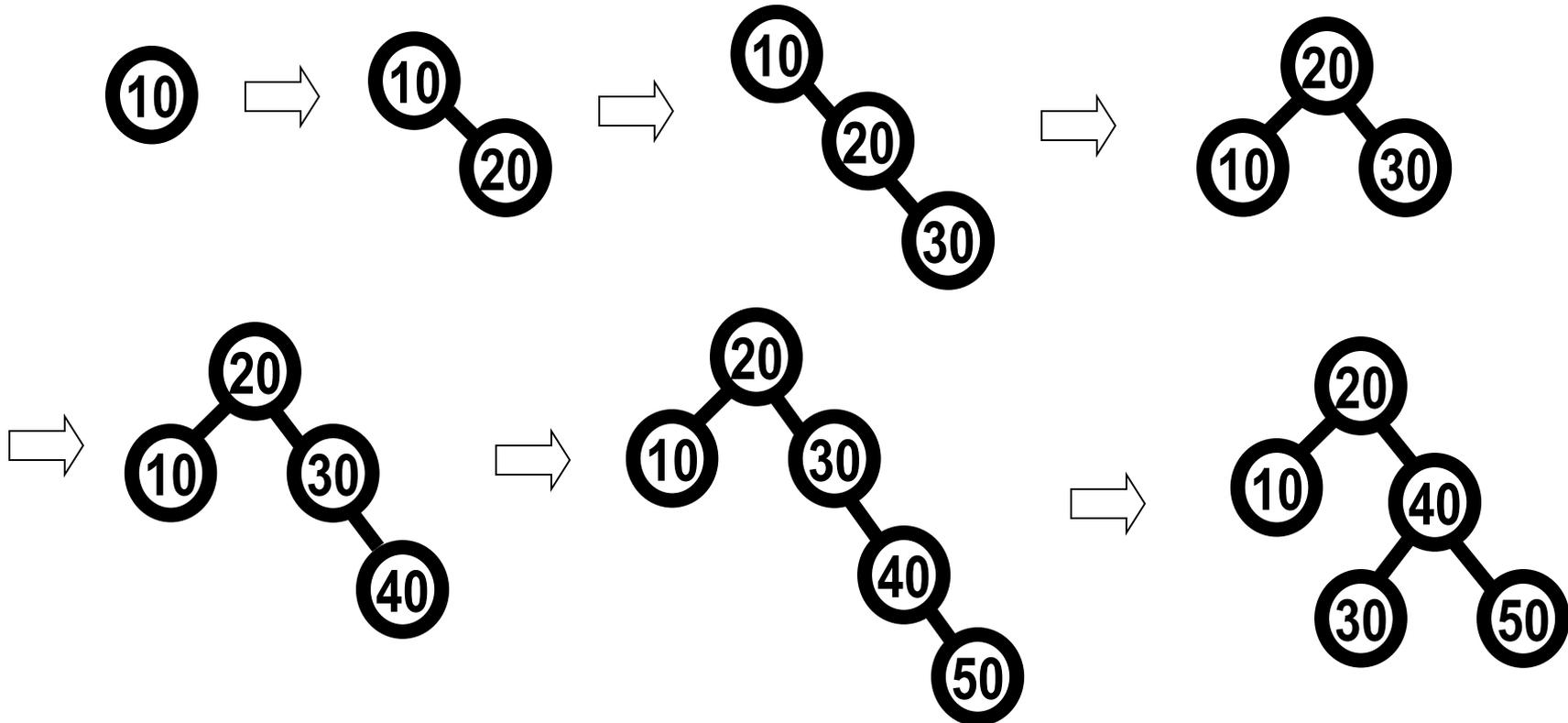
► QUIZ? Binary Search Tree?

Insert 10, 20, 30, 40, 50, 60 and 70



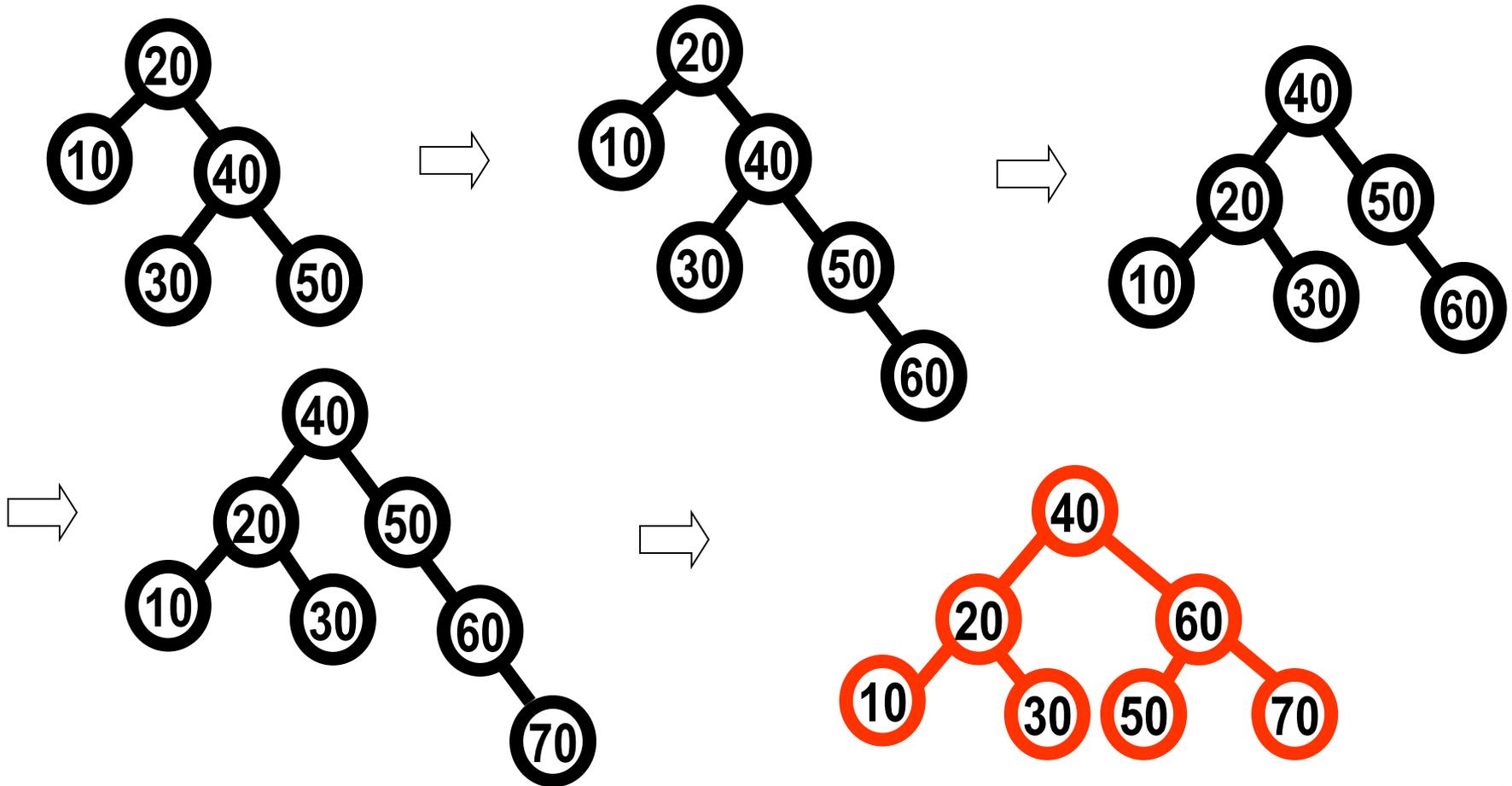
Example: AVL Tree

Insert 10, 20, 30, 40, 50, 60 and 70



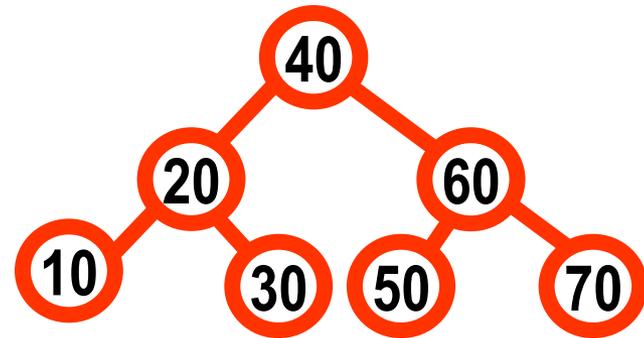
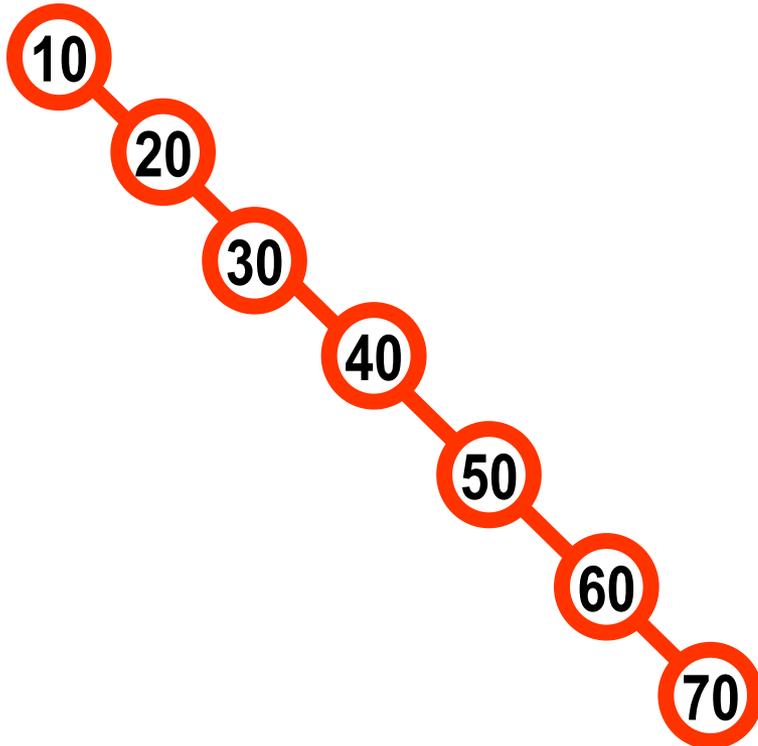
Example: AVL Tree

Insert 10, 20, 30, 40, 50, 60 and 70



BST vs AVL Tree

Insert 10, 20, 30, 40, 50, 60 and 70

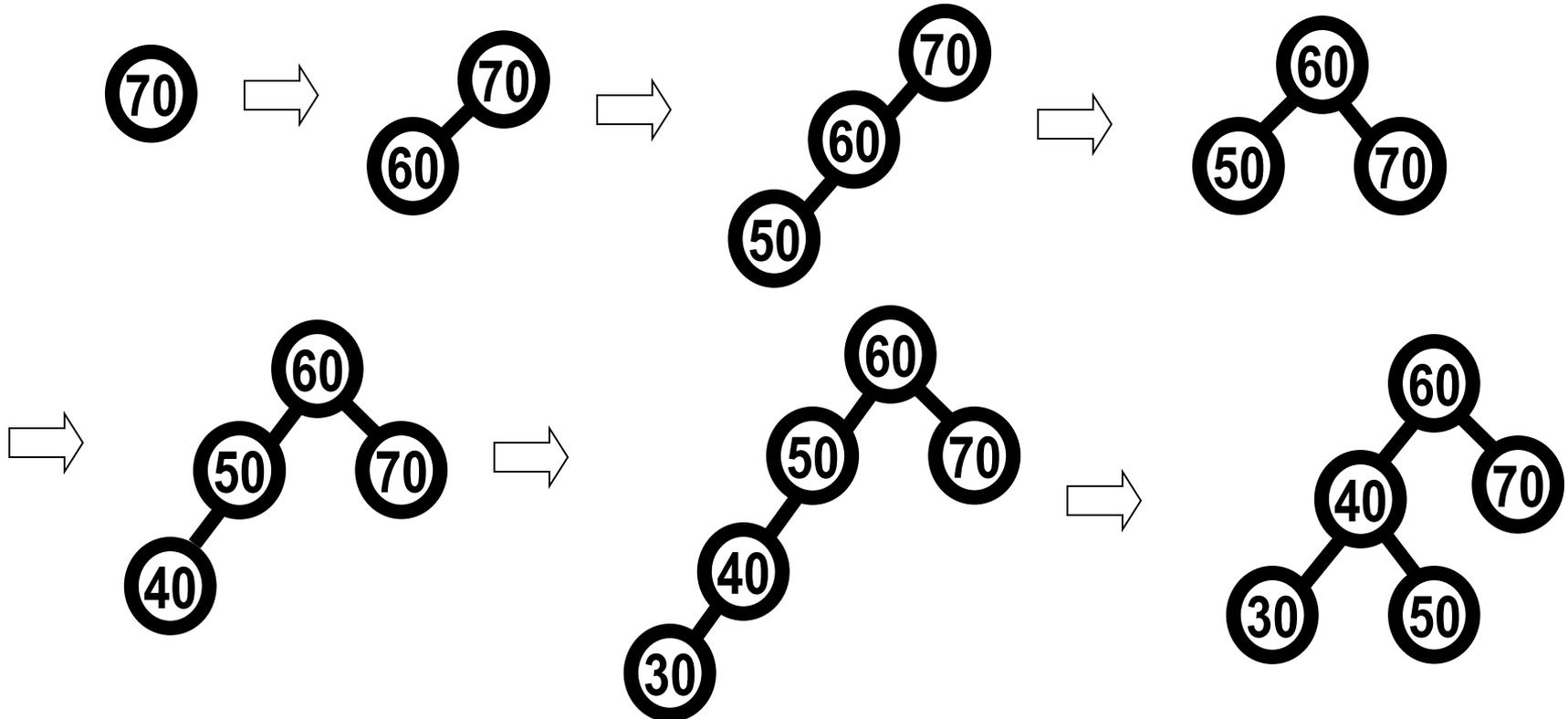


▶ QUIZ? AVL Tree?

Insert 70, 60, 50, 40, 30, 20 and 10

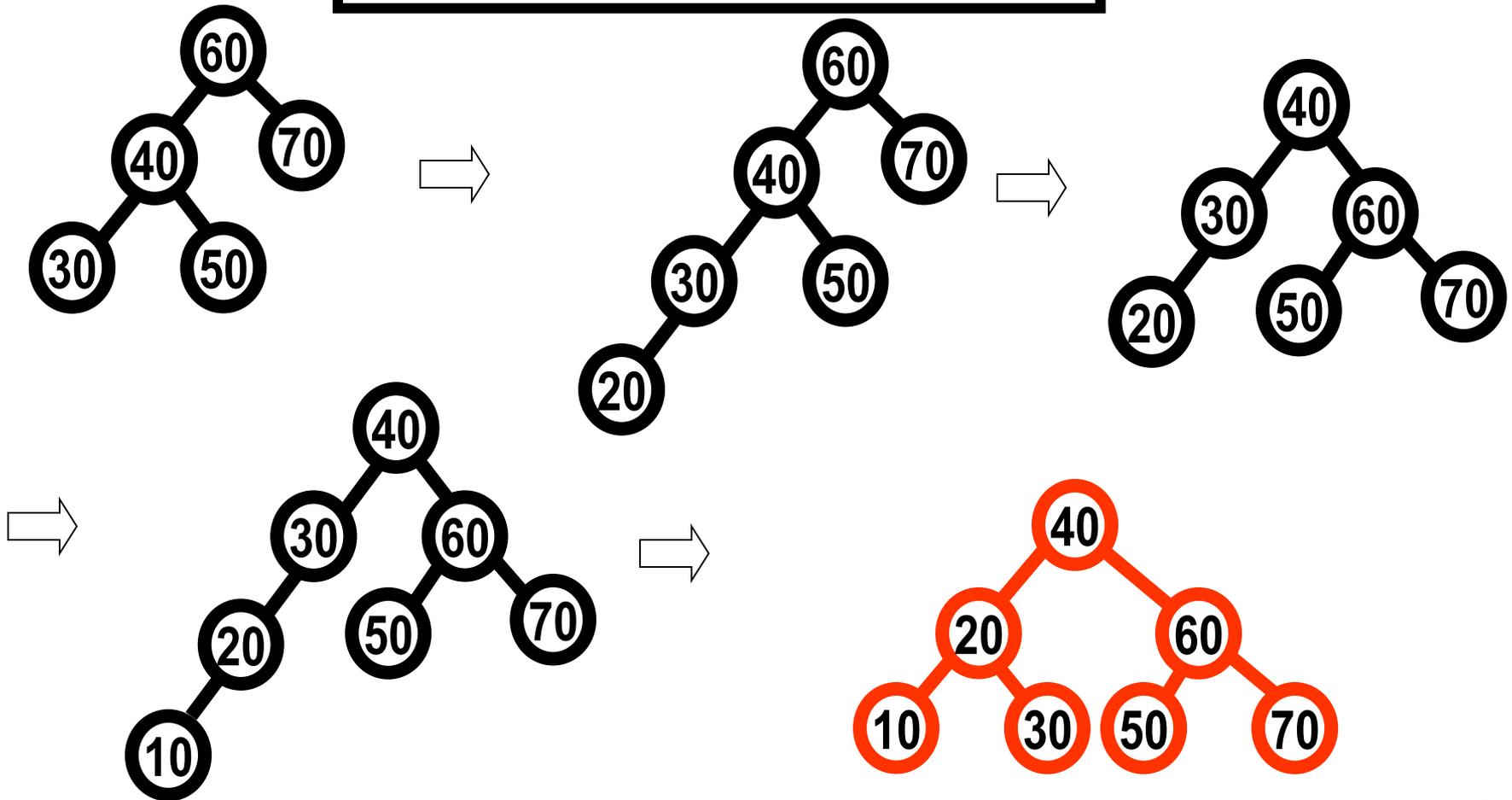
▶ QUIZ: AVL Tree

Insert 70, 60, 50, 40, 30, 20 and 10



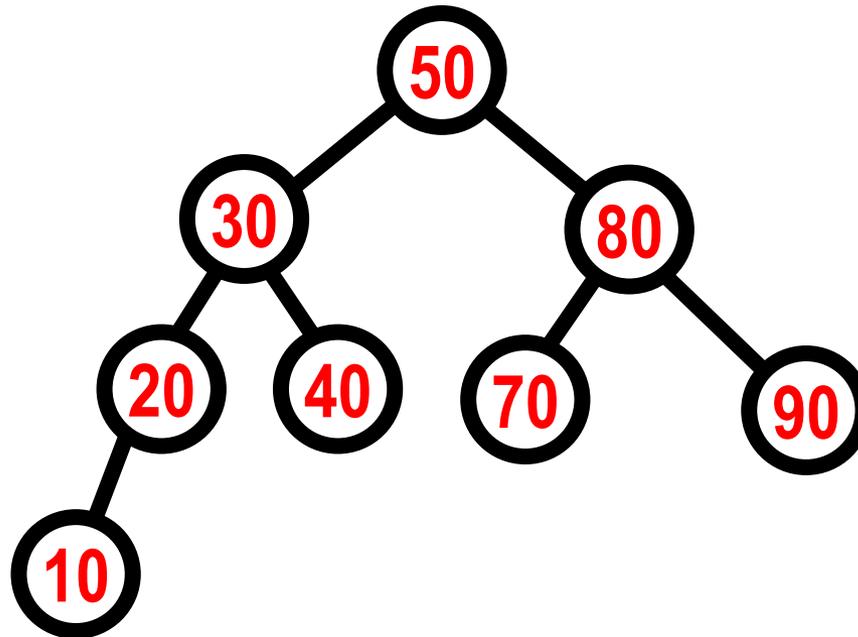
► QUIZ: AVL Tree

Insert 70, 60, 50, 40, 30, 20 and 10



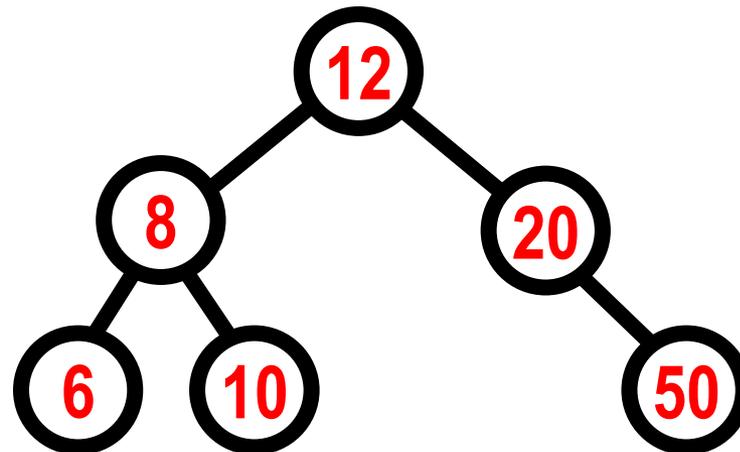
► QUIZ? AVL Tree?

Insert 50, 80, 90, 40, 20, 70, 30 and 10



► QUIZ? AVL Tree?

Insert 20, 8, 6, 12, 10 and 50



► QUIZ?

- **Inserting** an item into an AVL tree?
 - The item is inserted using the usual method of insertion in binary search trees.
 - Check the resulting tree is AVL balanced.
 - ☞ Check whether any node in the tree has left and right subtrees whose heights differ by more than 1.
 - If not, **balance it!**
 - ☞ Case 1: LL - Single rotation called LL rotation
 - ☞ Case 2: RR - Single rotation called RR rotation
 - ☞ Case 3: LR - Double rotation called LR rotation
 - ☞ Case 4: RL - Double rotation called RL rotation

Deleting Items from an AVL tree

- Similar to the Insertion operation.
- Deleting an item from an AVL tree is a **two-part process**:
 - The item is deleted using the usual method of deletion in binary search trees.
 - **Check the resulting tree is AVL balanced.**
 - ☞ Keep track of where a leaf node was (ultimately) removed and fix up the heights above that point.
 - **If not, balance it using rotations.**

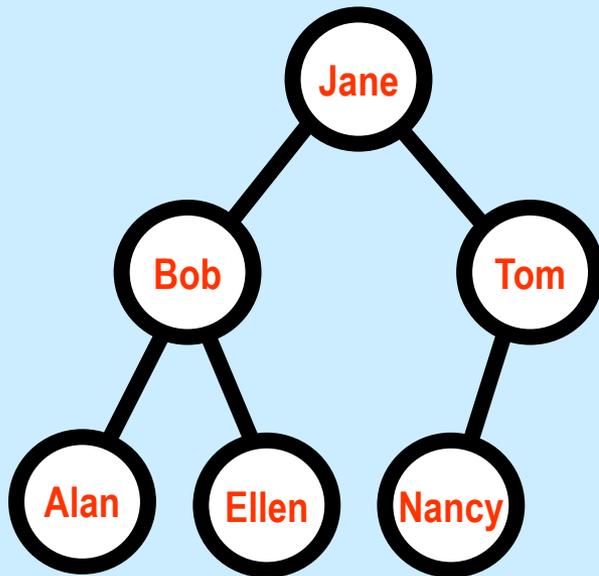
Rebalancing AVL trees after Deletion

- **$O(\log N)$ rotations** (single or double)!

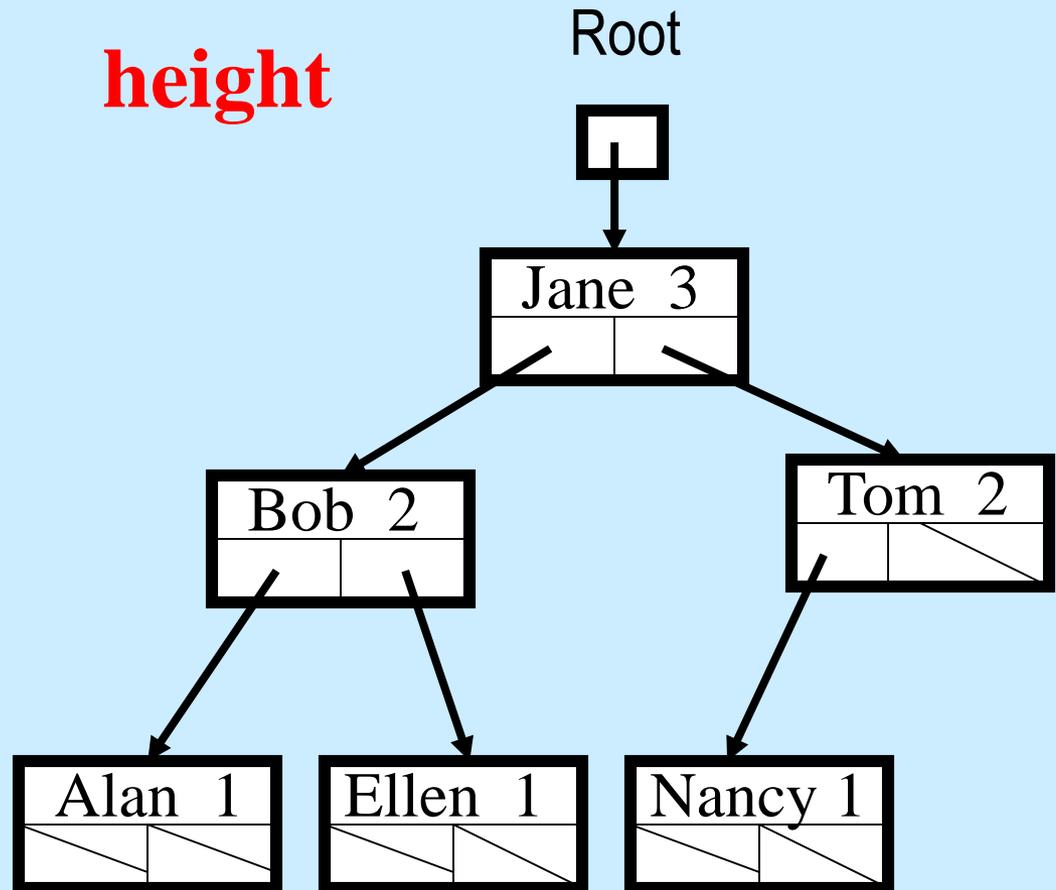
Representation of AVL Trees

- Similar to representation of binary trees and binary search trees
 - But, add **height** or **bf**.

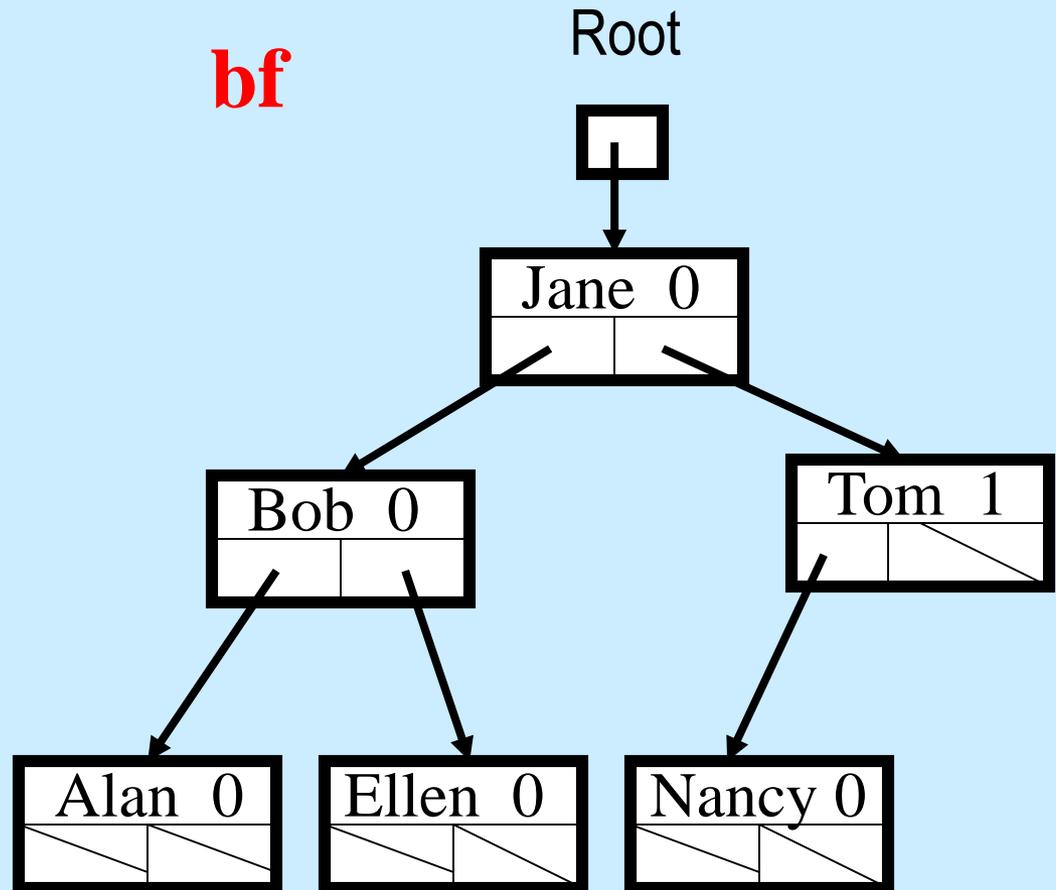
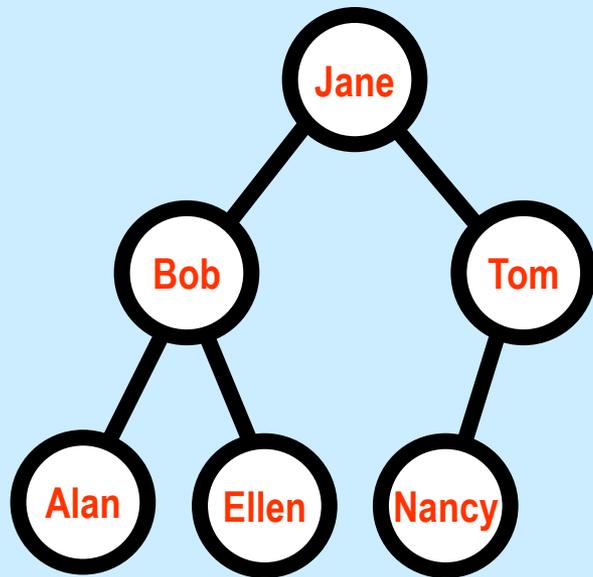
A Pointer-Based Representation of AVL Trees



height



A Pointer-Based Representation of AVL Trees



Implementation of AVL Trees

```
template <class DT>
class AvlTree;

template <class DT >
class AvlNode
{
    DT element;
    AvlNode *left;
    AvlNode *right;
    int height;

    AvlNode( const DT & theElement, AvlNode *lt, AvlNode *rt, int h = 1 )
        : element( theElement ), left( lt ), right( rt ), height( h ) { }

    friend class AvlTree<DT>;
};
```

Implementation of AVL Trees

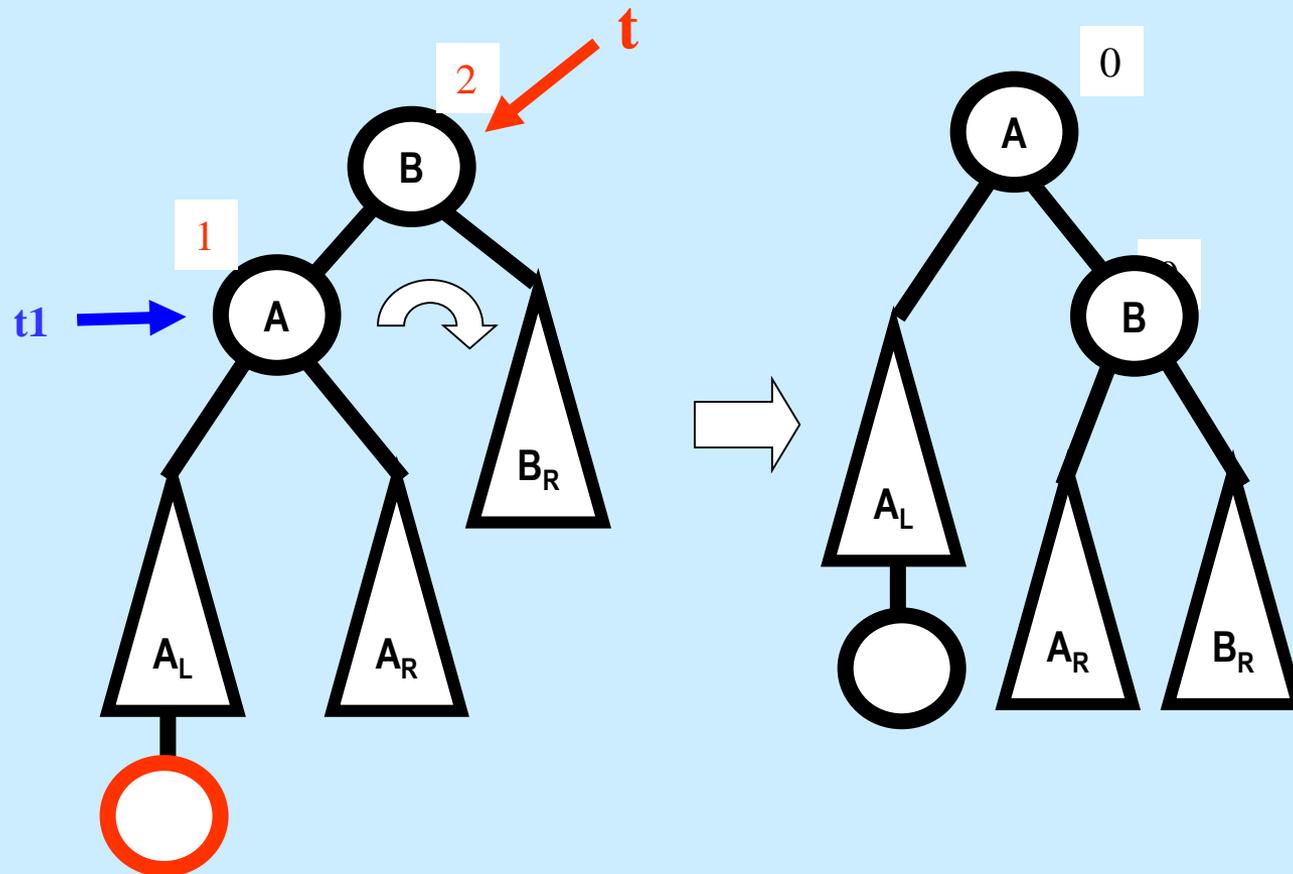
```
template <class DT>
class AvlTree
{
public:
    AvlTree();
    AvlTree( const AvlTree & rhs );
    ~AvlTree( );
    const AvlTree & operator=( const AvlTree & rhs );

    const DT & search( const DT & x ) const;
    void printAVLTree( ) const;
    void insert( const DT & x );
    void delete( const DT & x );

private:
    AvlNode<DT> *root;
    ...
};
```

Single Rotation (LL)

LL Case:



Single LL Rotation

```
template <class DT>
void AvlTree<DT>::
    LLrotateWithLeftChild( AvlNode<DT> * & t ) const
{
    AvlNode<DT> *t1 = t->left;
    // Single Rotate to the right.
    t->left = t1->right;
    t1->right = t;
    // Update height.
    t->height = max( height( t->left ), height( t->right ) ) + 1;
    t1->height = max( height( t1->left ), height( t1->right ) ) + 1;
    // Set new root
    t = t1;
}
```

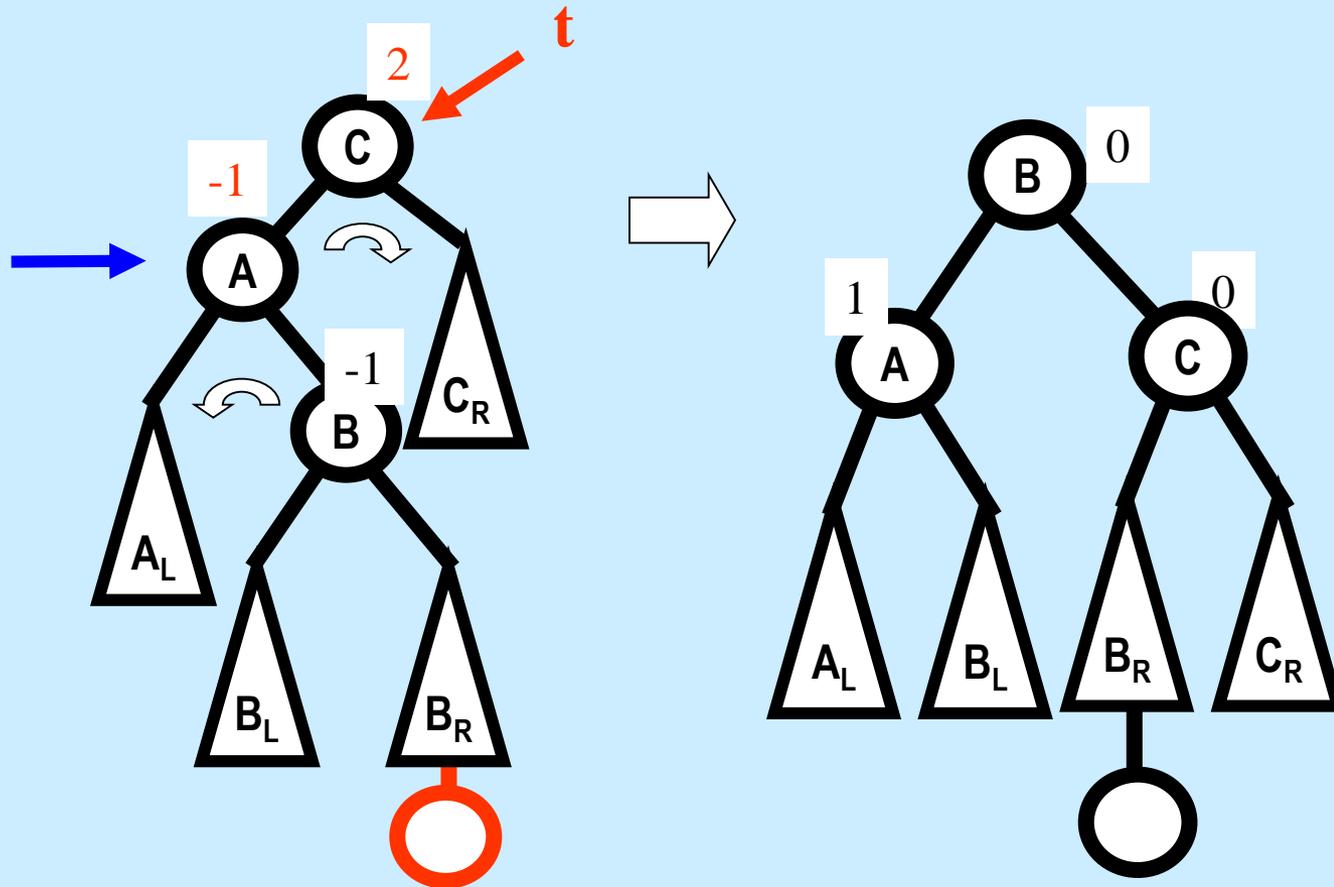
Single RR Rotation

```
template <class DT>
void AvlTree<DT>::
    RRrotateWithRightChild( AvlNode<DT> * & t ) const
{

}
}
```

Double Rotation (LR)

LR Case:



Double LR Rotation

```
template <class DT>
void AvlTree<DT>::
LRdoubleWithLeftChild( AvlNode<DT> * &t ) const
{
    // Single Rotate to the left.
    RRrotateWithRightChild( t->left );

    // Single Rotate to the right.
    LLrotateWithLeftChild( t );
}
```

Double RL Rotation

```
template <class DT>
void AvlTree<DT>::
RLdoubleWithRightChild( AvlNode<DT> * &t ) const
{

}
}
```

Analysis of AVL Tree Operations

- The number of comparisons for a search/retrieval, insertion or deletion is
 - The **level (depth) of the element** in the AVL tree.
- The maximum number of comparisons for a search/retrieval, insertion or deletion is
 - The **height** of the AVL tree!

Properties of AVL Trees

- What is the **minimum** number of nodes that an **AVL tree** of height **h** can have?

→ $N_h =$

→ 1 if $h = 1$

→ 2 if $h = 2$

→ $N_{h-1} + N_{h-2} + 1$ if $h > 2$

→ 1, 2, 4, 7, 12, 20, 33 ...

Fibonacci Numbers

- $F_0 F_1 F_2 \dots F_n$
- 0, 1, 1, 2, 3, 5, 8, 13, 21, 34 ...
- $F_n =$
 - 0 if $n = 0$
 - 1 if $n = 1$
 - $F_{n-1} + F_{n-2}$ if $n \geq 2$

Properties of AVL Trees

- What is the **maximum** number of nodes that an AVL tree of height h can have?
→ $2^h - 1$

Properties of AVL Trees

- N = The number of nodes in an AVL tree.
- h = The height of an AVL tree.

$$\rightarrow F_{h+2} - 1 \leq N \leq 2^h - 1$$

$$\rightarrow \log(N+1) \leq h \leq \approx 1.440 \log(N+2) - 0.328$$

$$\rightarrow \text{Lower Bound: } h = \Omega(\log N)$$

$$\rightarrow \text{Upper Bound: } h = O(\log N)$$

$$\rightarrow h = \Theta(\log N)$$

Properties of AVL Trees

The **height** of an AVL tree with **n** nodes
is
 $\Theta(\log N)$.

AVL Tree Operations -Analysis

- Rotation – Single & Double
→ $O(1)$
- Search, Insert & Delete
→ $O(\log N)$ worst-case time !!!

► QUIZ?

- **Rotate, DoubleRotate, Insert, Search & Delete** Worst-case time complexity of AVL trees?

AVL Tree History

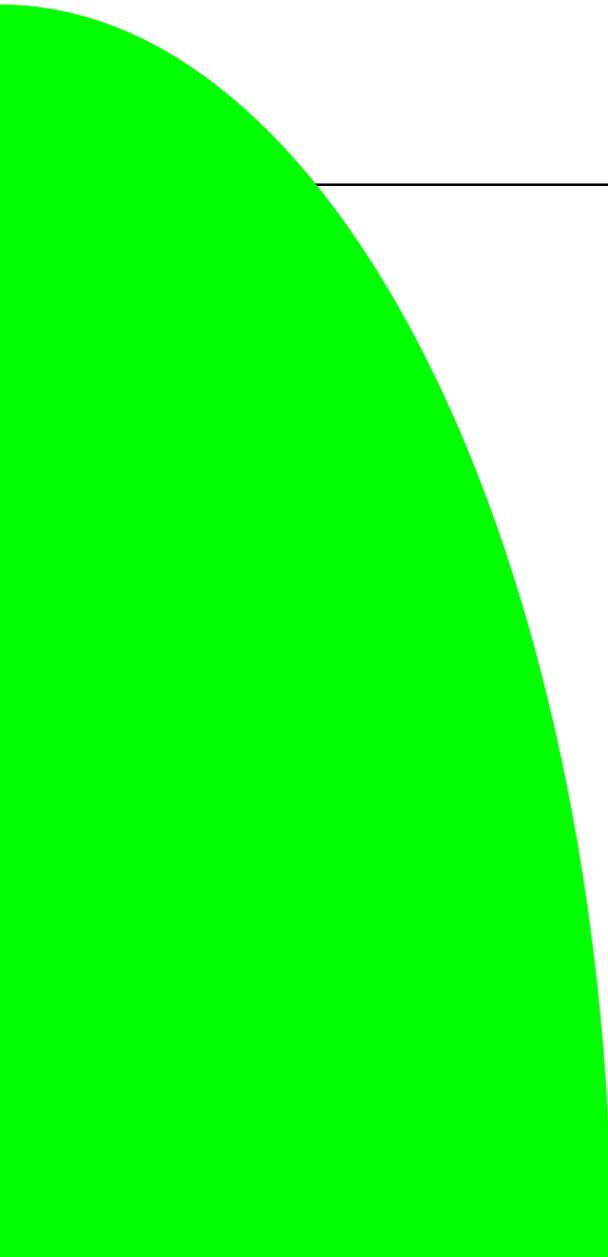
- Georgy Adelson-Velsky & Evgenii Landis (1962). "An algorithm for the organization of information". Proceedings of the USSR Academy of Sciences (in Russian). 146: 263–266.

*** AVL = Adelson-Velsky & Landis**

AVL Tree Visualization

- *AVL Tree Visualization*





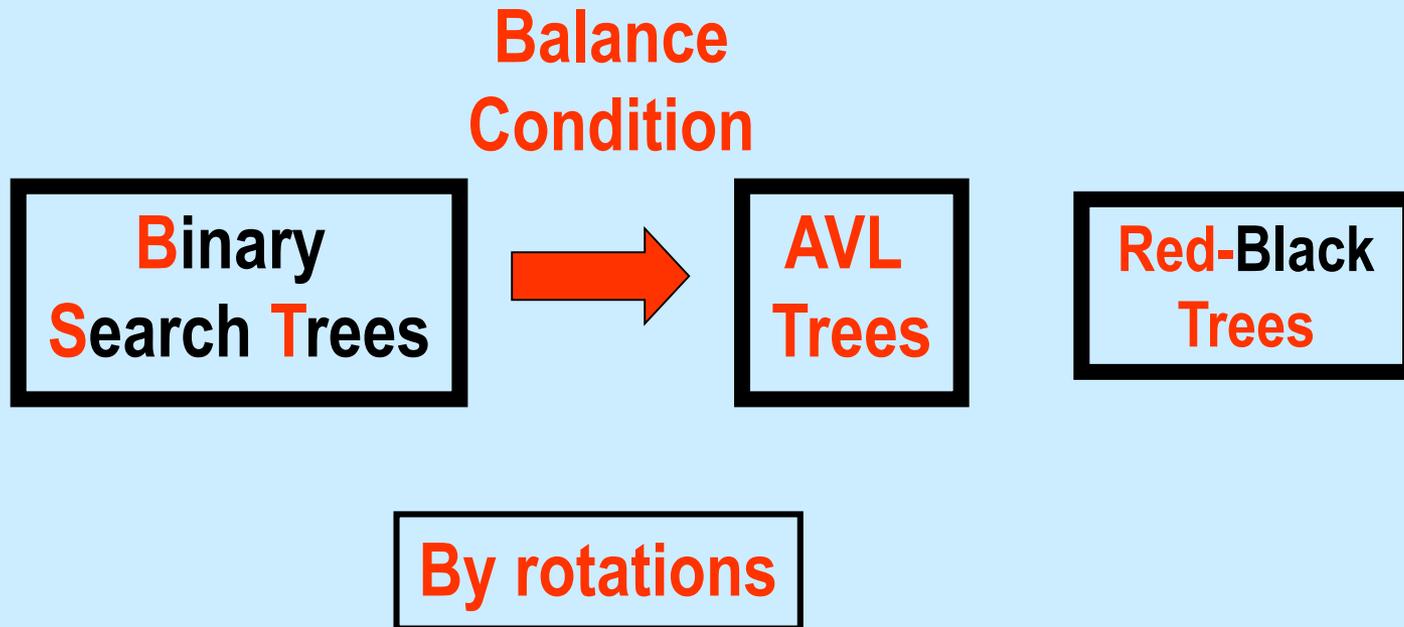
Red-Black Trees

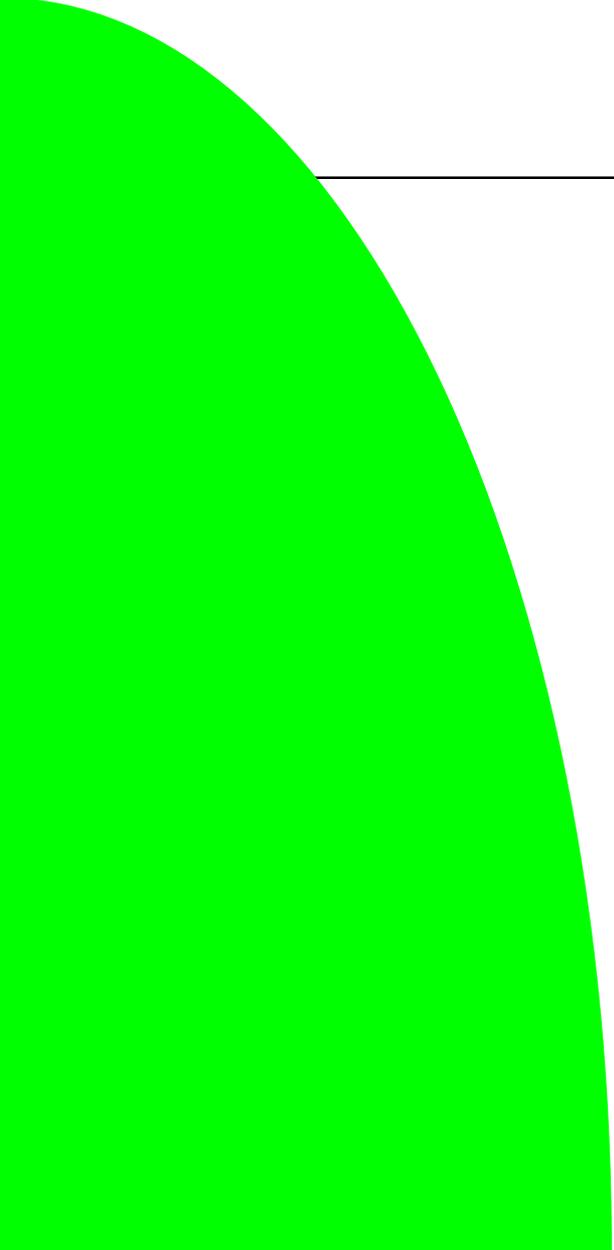
(Height-Balanced
Binary Search Trees)

Red Black (Search) Tree

- **A red-black tree is a binary search tree st.**
 - Every node is colored either **red** or black.
 - The root is always black.
 - There are no two adjacent **red** nodes. If a node is **red**, then both its children must be black.
 - Every path from root to a NULL node has **the same number of black nodes**.

BSTs vs AVL Trees vs Red-Black Trees





Self-Adjusting Binary Search Trees

Self-Adjusting BSTrees?

- Observation:
 - Not all elements are used with the same frequency!!!
 - Data accessed once, is often soon accessed again!
- Idea?
 - Restructure the tree by moving up the tree those elements that are used more often!!!
 - **Rotation!**

Self-Adjusting Approaches

- To improve the **amortized** (average time over operations) **time** !
 - Move one-level up via one single rotation
 - Moving to the root via several single rotations



Splay Trees

(Self-adjusting Binary Search Trees)

Splay (Search) Trees

- **Self-adjusting Binary Search Trees**
- **Why?**
 - To improve the **amortized** (average time over operations) **time** of search !

Splay Trees

- How?
- **Blind adjusting version of AVL trees**
 - Why worry about balances?
 - Just rotate anyway **for future operations!**
 - **Moving to the root** via **rotations!**
- Data accessed once, is often soon accessed again!

Search for Items in a Splay Tree

- **Search** similar to BST.
- Percolate the node to the root with rotations.
 - ➔ **“splay a node to the root”**
 - ➔ If the search is successful, then the node that is found is splayed and becomes the new root.
 - ➔ Else the last node accessed prior to reaching the NULL is splayed and becomes the new root.

Inserting Items into a Splay Tree

- **Insert** a node.
- Percolate the node to the root with rotations.
 - **“splay a node to the root”**

Splaying the Node to the Root

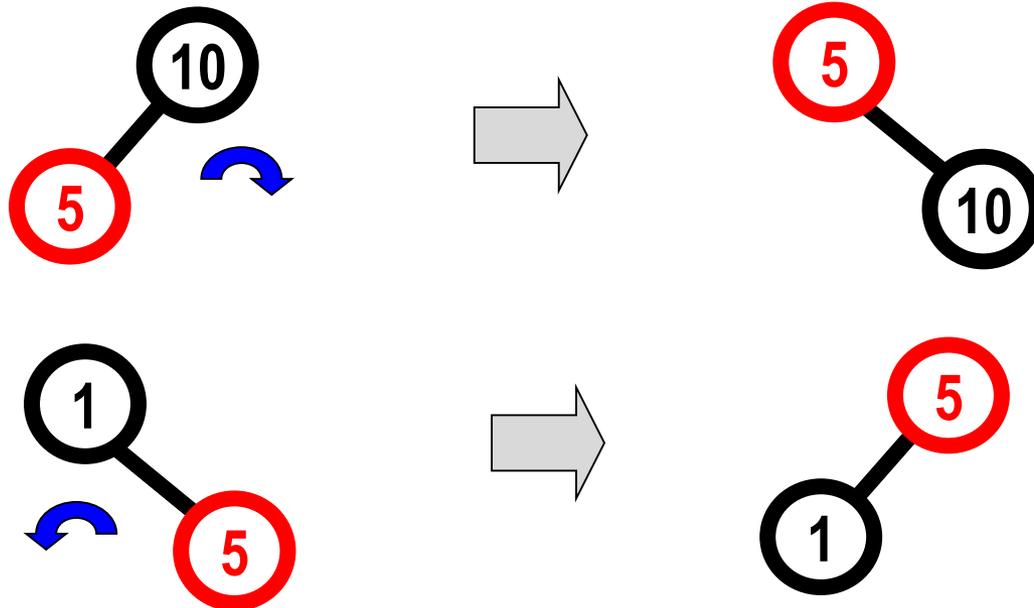
- Percolate the node to the root with rotations –
Splaying!
- Two cases:
 1. The node has **no grandparent.**
 2. The node has **a grandparent.**

Splaying the Node to the Root

1. Cases: (The node has **no grandparent**)

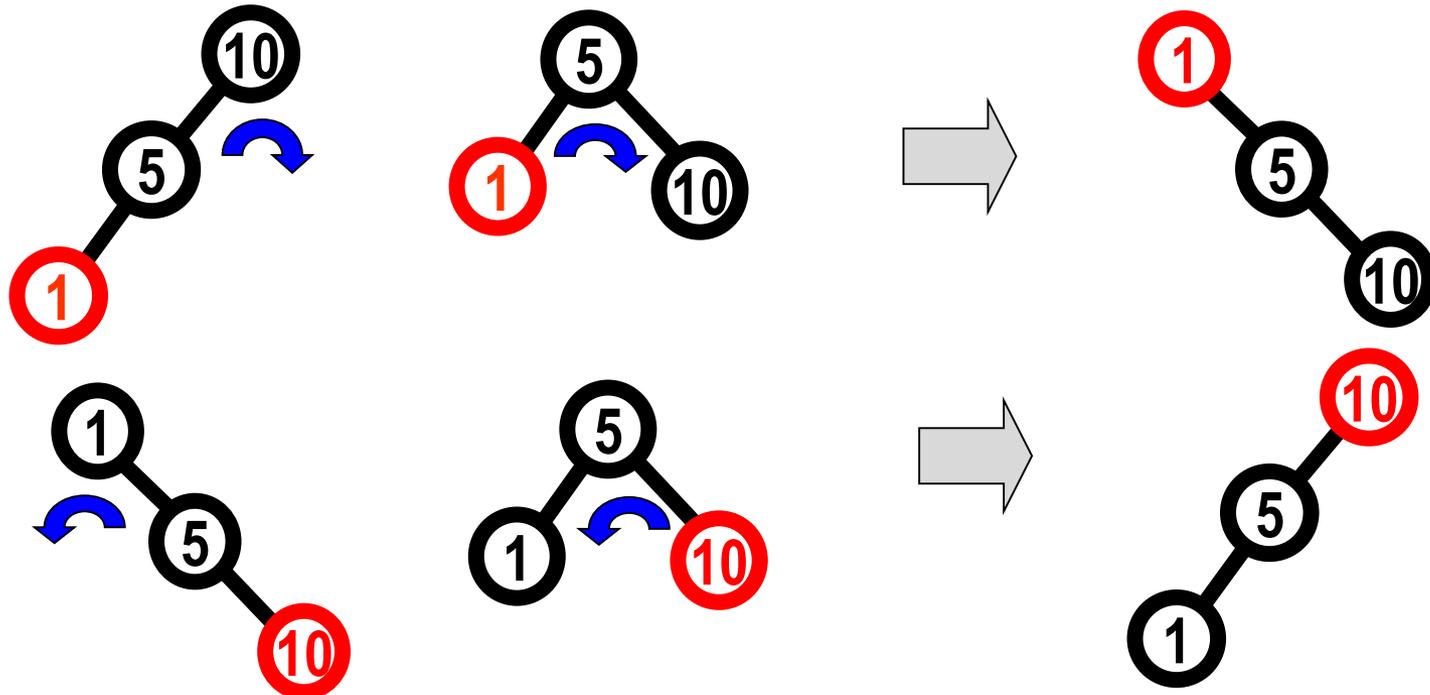
→ **Zig (L)**: Rotate to the right

→ **Zag (R)**: Rotate to the left



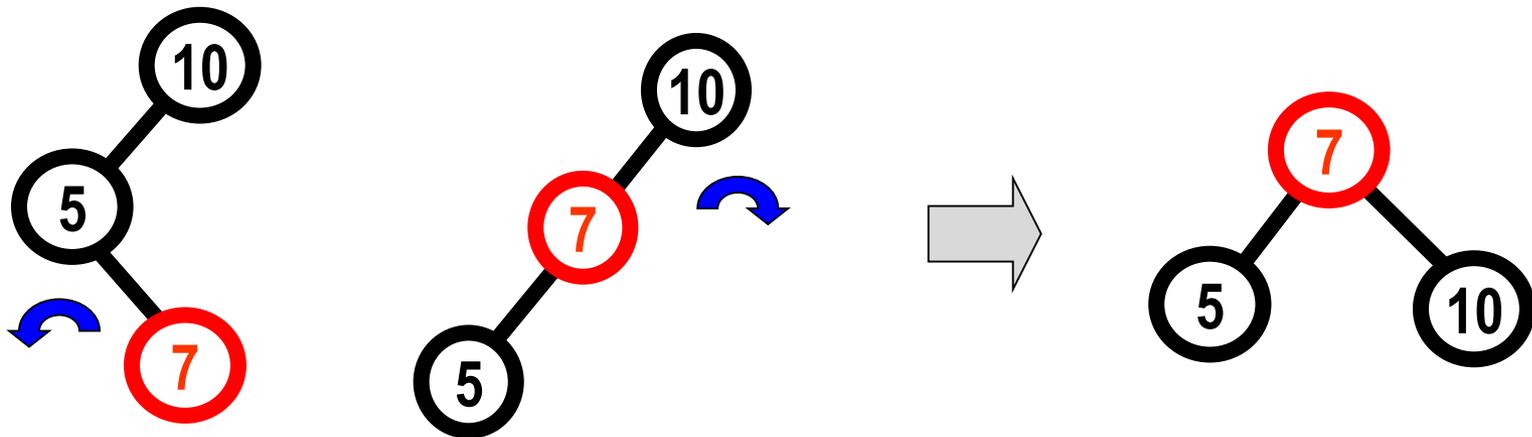
Splaying the Node to the Root

2. Cases: (The node has a **grandparent**)
- **Zig-Zig (LL)**: Rotate to the right + Rotate to the right
 - **Zag-Zag (RR)**: Rotate to the left + Rotate to the left



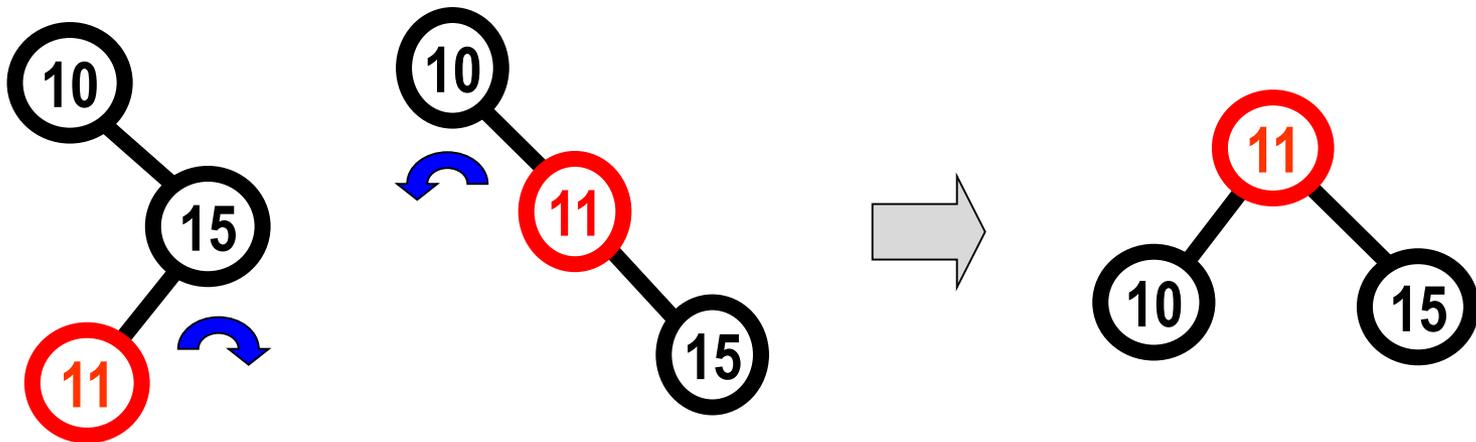
Splaying the Node to the Root

2. Cases: (The node has a **grandparent**)
→ **Zig-Zag (LR)**: Rotate to the left + Rotate to the right



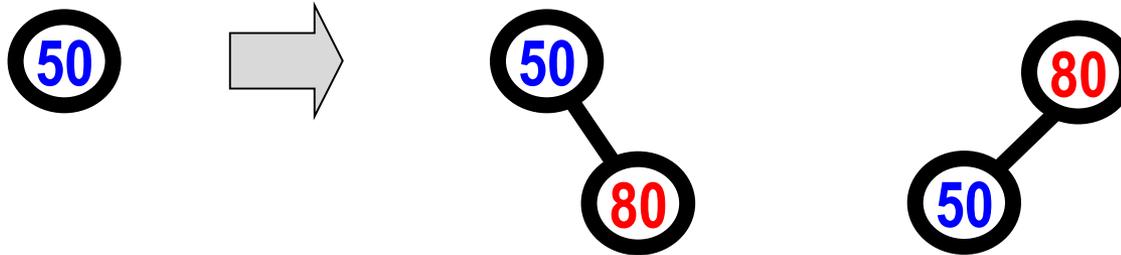
Splaying the Node to the Root

2. Cases: (The node has a **grandparent**)
→ **Zag-Zig (RL)**: Rotate to the right + Rotate to the left



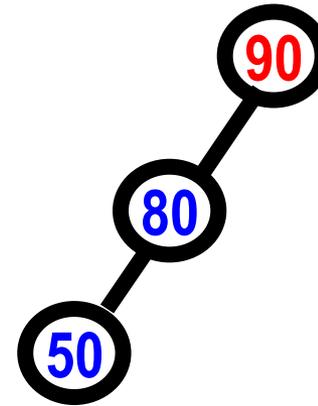
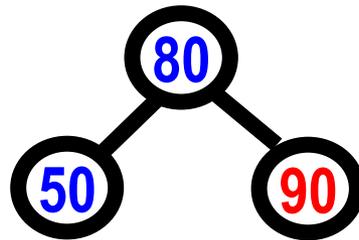
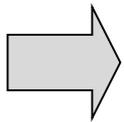
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, 30 and 10



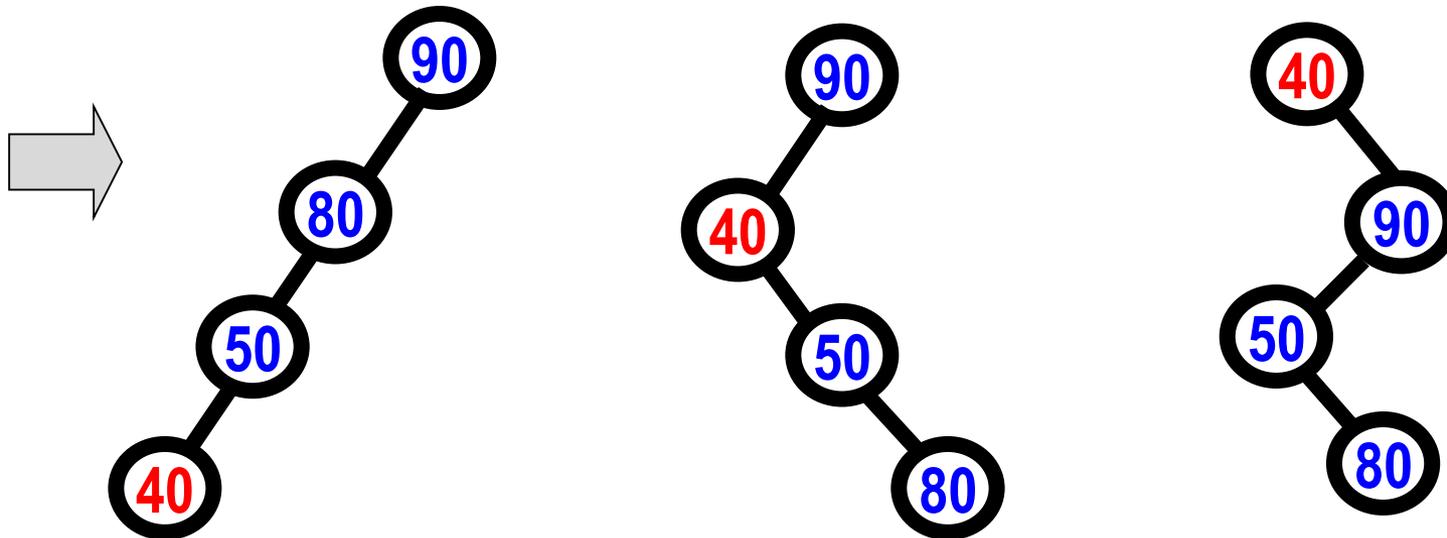
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, 30 and 10



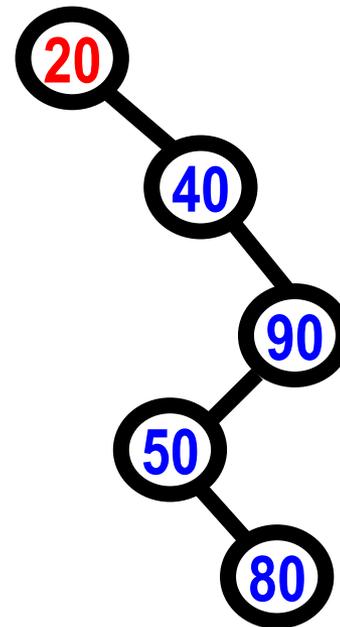
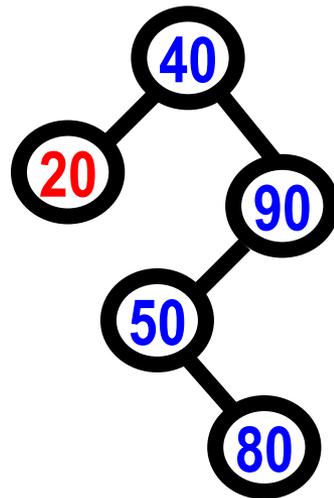
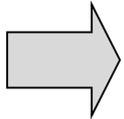
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, 30 and 10



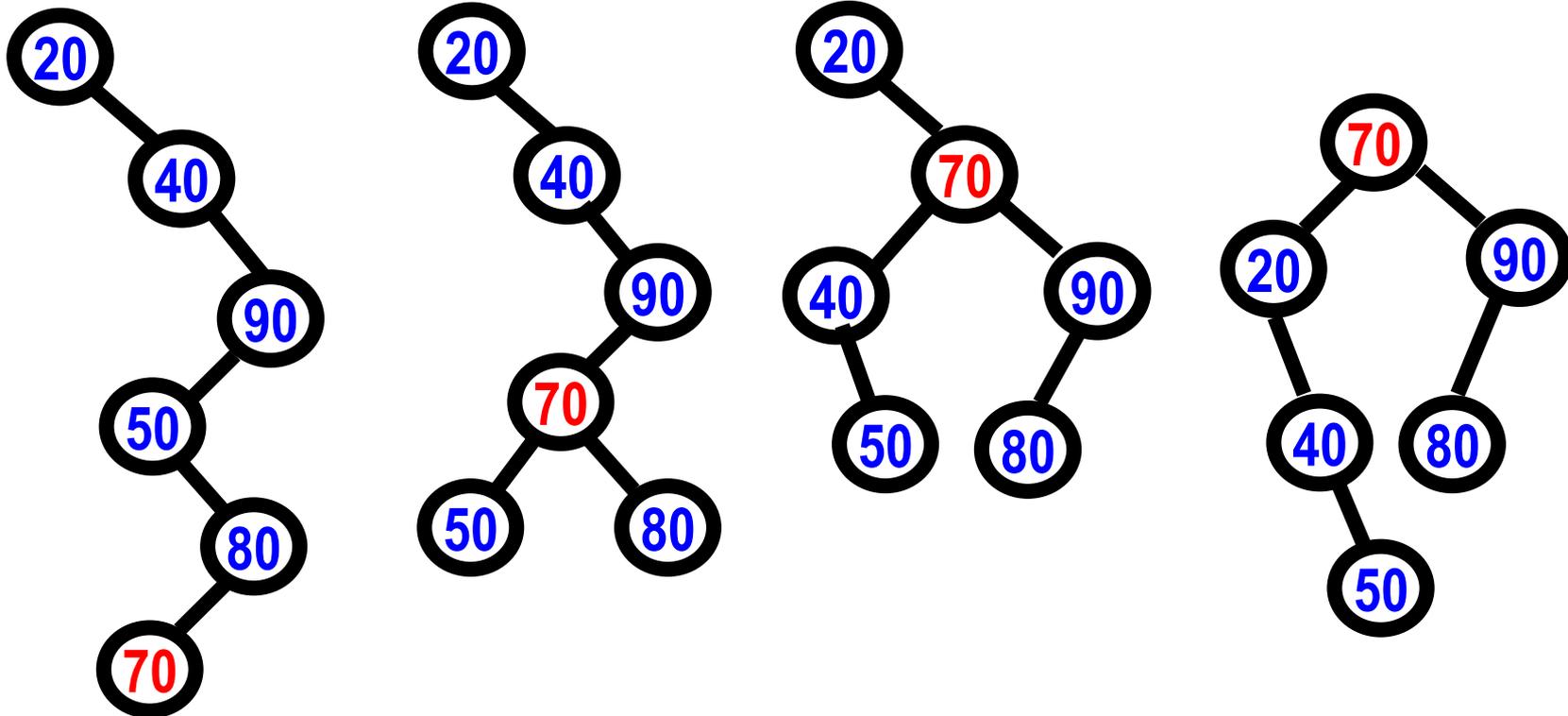
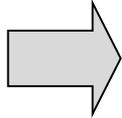
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, 30 and 10



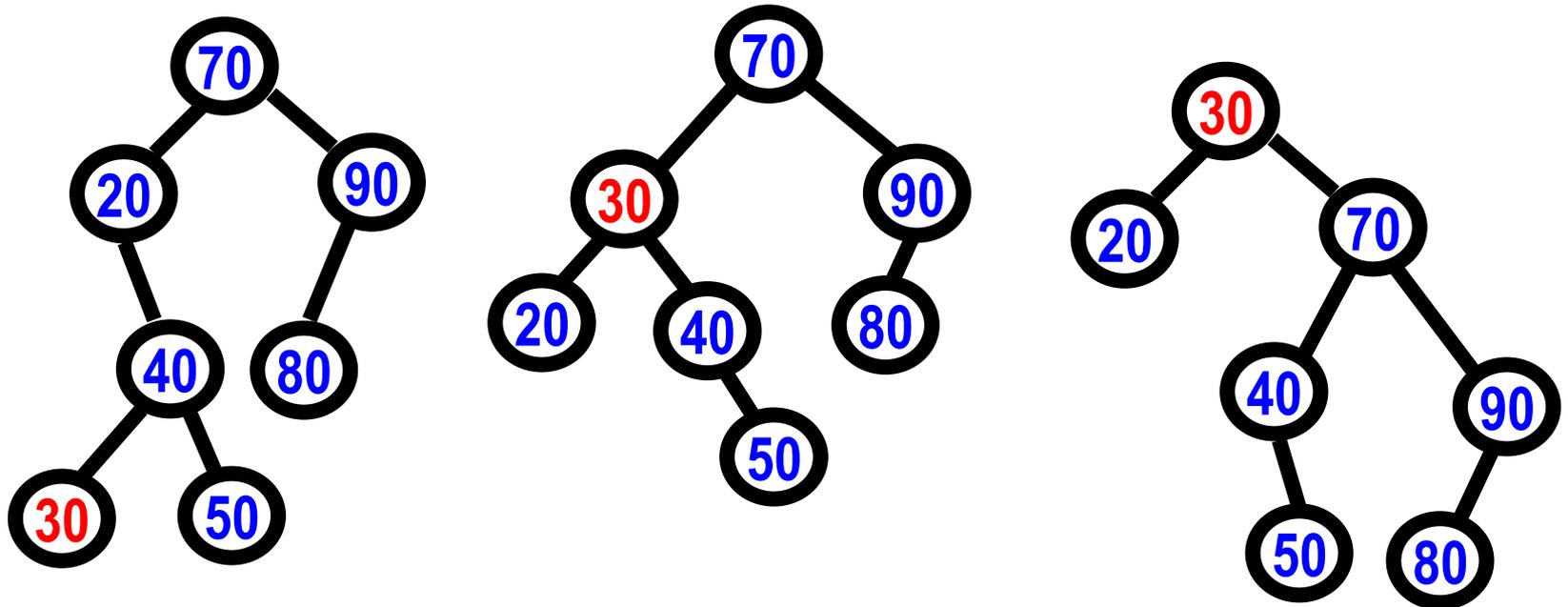
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, 30 and 10



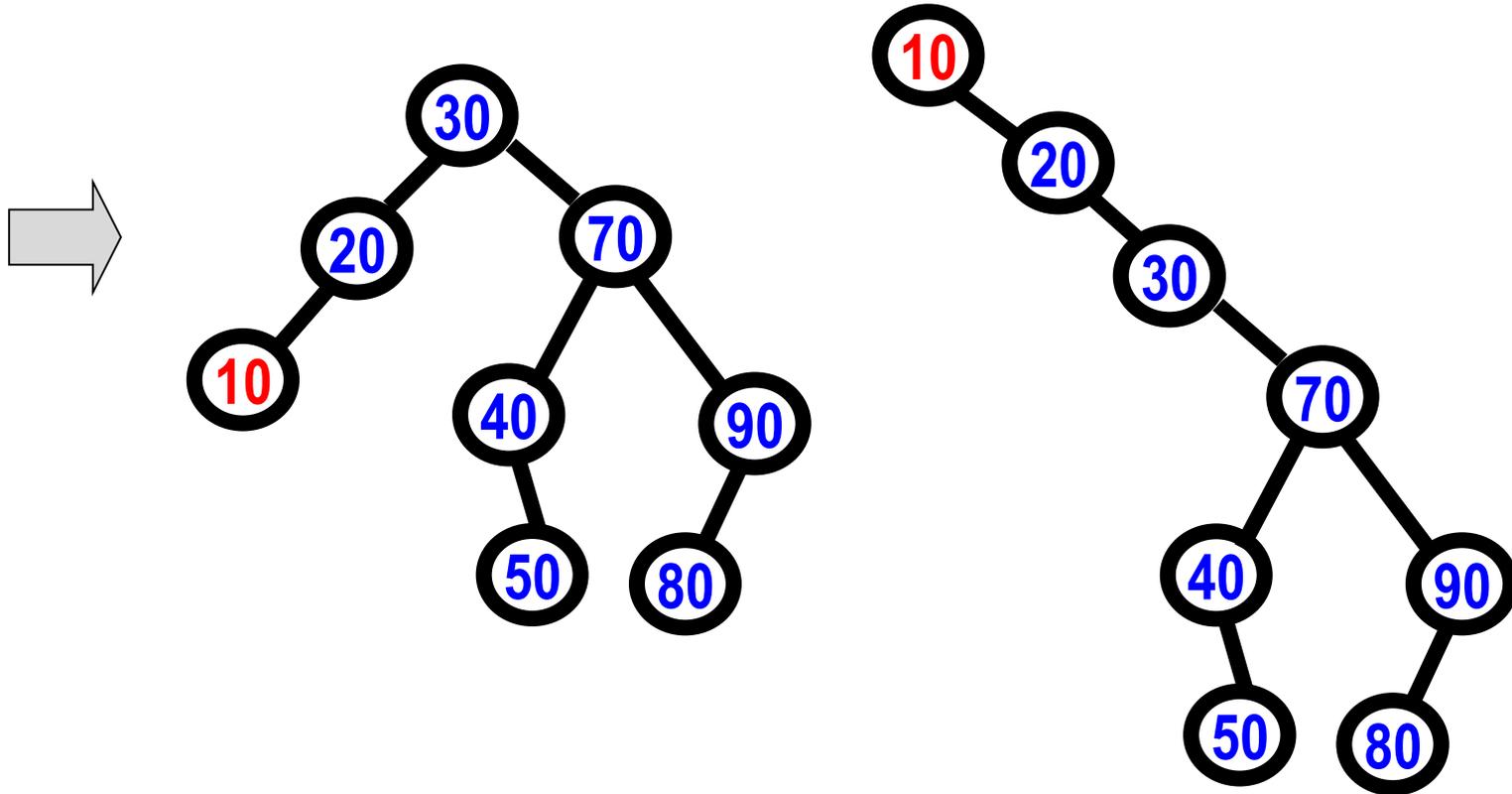
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, **30** and 10



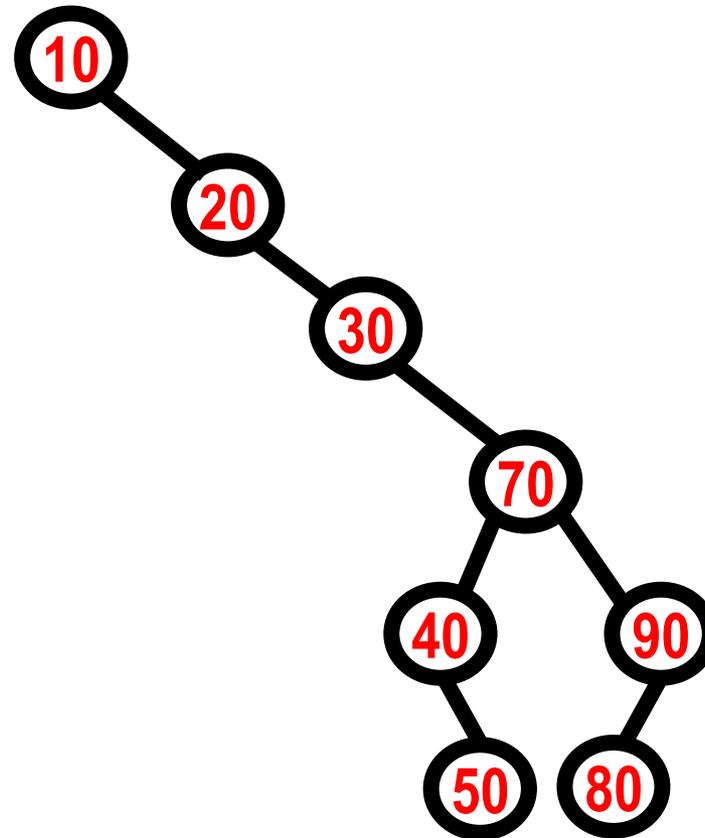
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, 30 and **10**



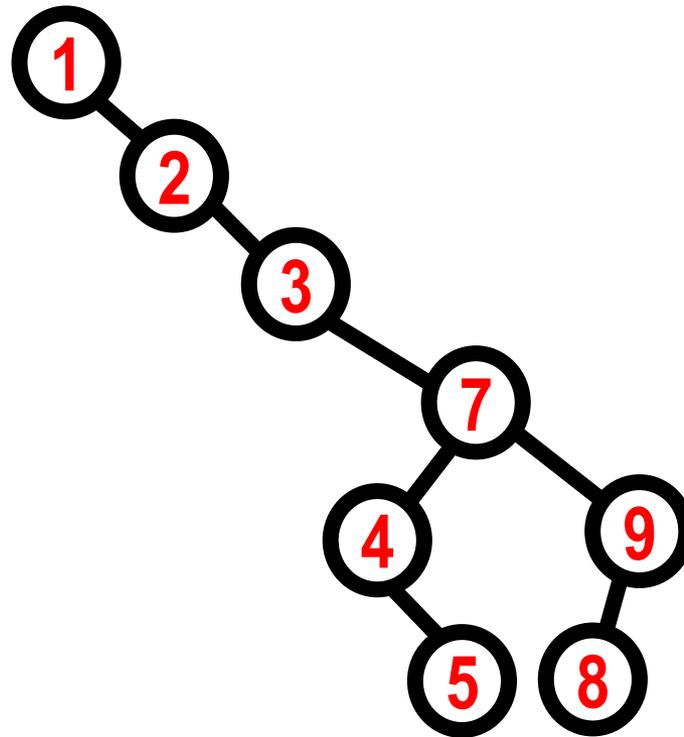
Example: Splay Tree

Insert 50, 80, 90, 40, 20, 70, 30 and 10



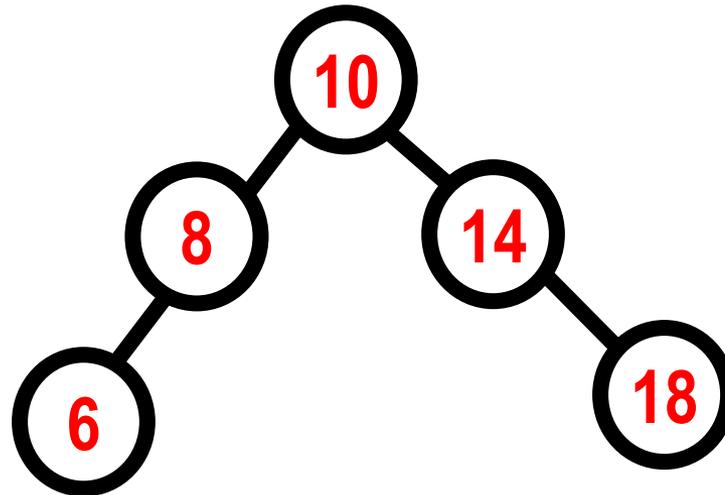
► QUIZ? SplayTree?

Insert 5, 8, 9, 4, 2, 7, 3 and 1



► QUIZ? SplayTree?

Insert 8, 18, 6, 14 and 10



▶ QUIZ?

- Inserting Items into a Splay Tree?
 - **Insert** a node.
 - Percolate the node to the root with rotations.
 - 👉 **“splay a node to the root”**

Splay Trees

- Worst case time for an operation
→ $O(n)$
- Amortized time per operation
→ $O(\log n)$

Splay Tree History

- Sleator, Daniel D. & Tarjan, Robert E. (1985). "Self-Adjusting Binary Search Trees". *Journal of the ACM*. 32 (3): 652–686.

Splay Tree Visualization

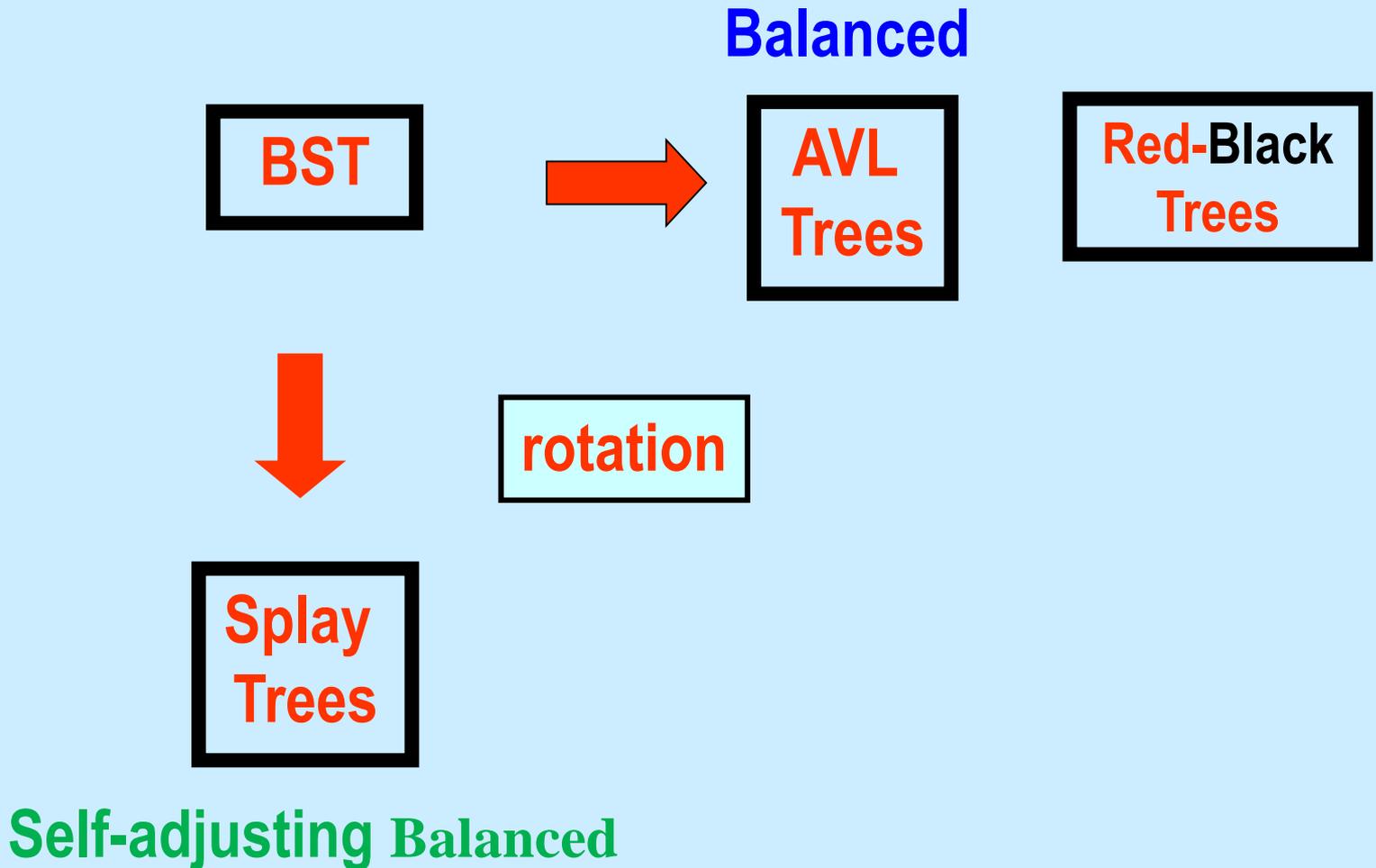
- *Splay Tree Visualization*



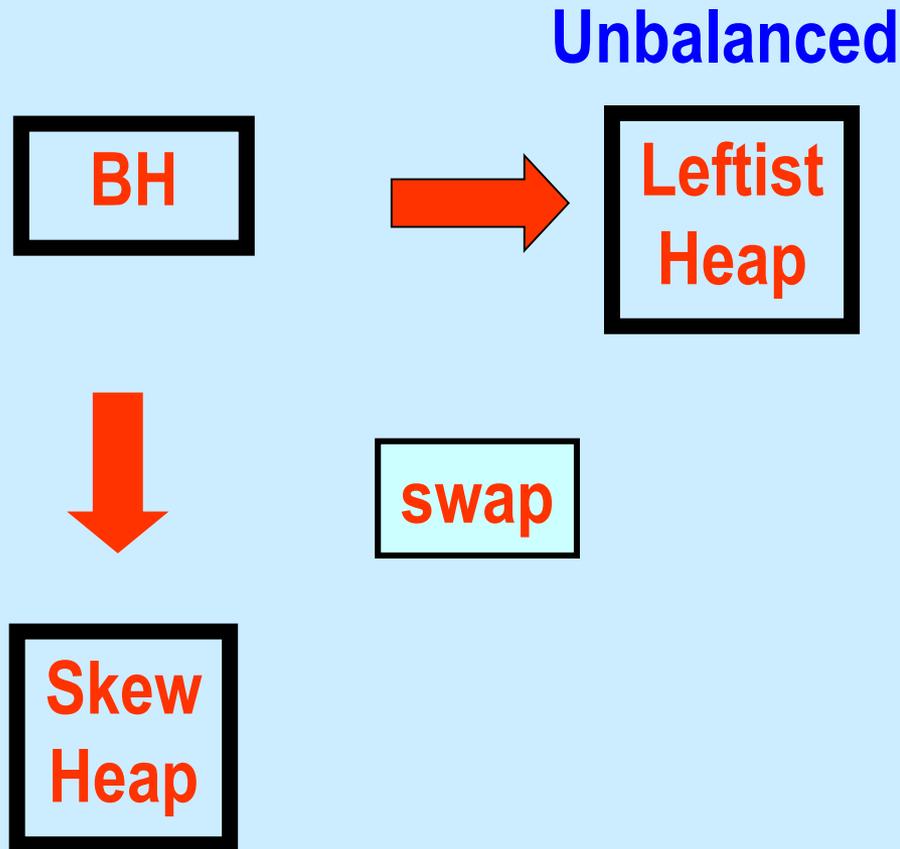
▶ QUIZ? AVL Trees vs Splay Trees?

- Compare **AVL** vs **Splay**?

BSTs, AVL Trees & Splay Trees

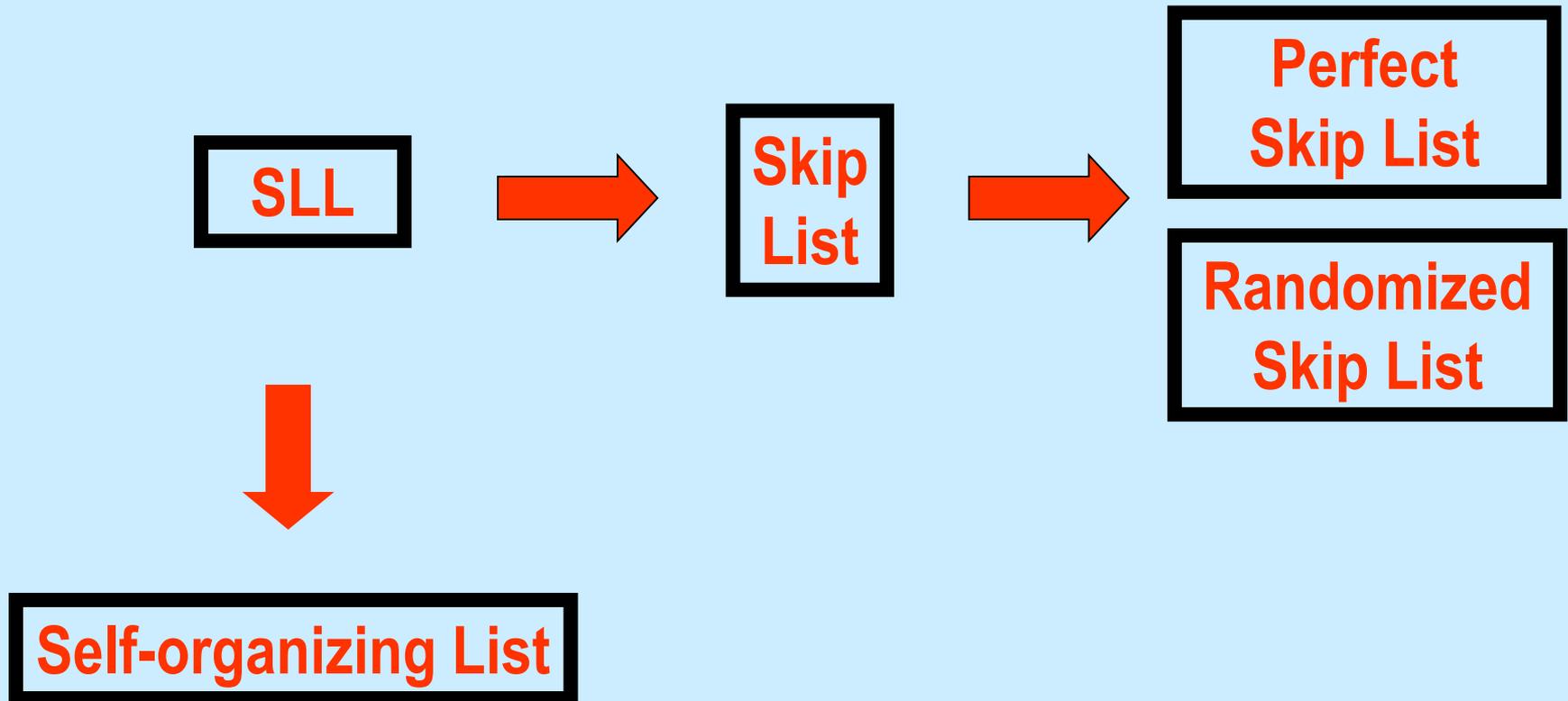


Binary Heaps, Leftist Heaps & Skew Heaps



Self-adjusting Unbalanced

SLLs, Skip Lists & Self-organizing Lists



Self-adjusting

► QUIZ? Splay Trees vs Skew Heaps?

- BSTs
- AVL Trees
- Splay Trees

- Binary Heaps
- Leftist Heaps
- Skew Heaps

► QUIZ? Splay Trees vs Skew Heaps vs Self-Organizing Lists?

- Binary Search Trees
- AVL Trees
- Splay Trees

- Binary Heaps
- Leftist Heaps
- Skew Heaps

- Singly-Linked Lists
- Skip Lists
- Self-Organizing Lists

Homework Assignment

► Homework Assignment?

- Draw the **AVL tree** that results when you insert items with the keys 4, 10, 3, 6, 5 and 25 in that order into an initially empty AVL tree.
- Draw the **splay tree** that results when you insert items with the keys 4, 9, 3, 7, 5 and 6 in that order into an initially empty splay tree.

► Homework Assignment?

- *Advanced Binary Search Tree: The AVL Search Tree*
- Design and implement the AVL Search Tree (AvlST) ADT.
- You should include *insert*, *search*, *showAvlST* and *showBF*.
 - The operation *showAvlST* prints the keys in the AVL search tree as rotated counterclockwise 90 degrees from its conventional orientation using a "reverse" inorder tree traversal.
 - The operation *showBF* prints the balance factors in the AVL search tree as rotated counterclockwise 90 degrees from its conventional orientation using a "reverse" inorder tree traversal.

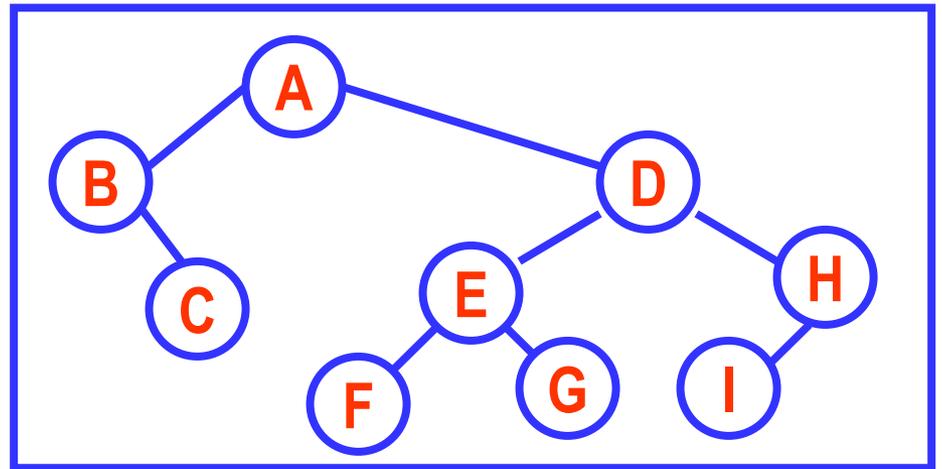
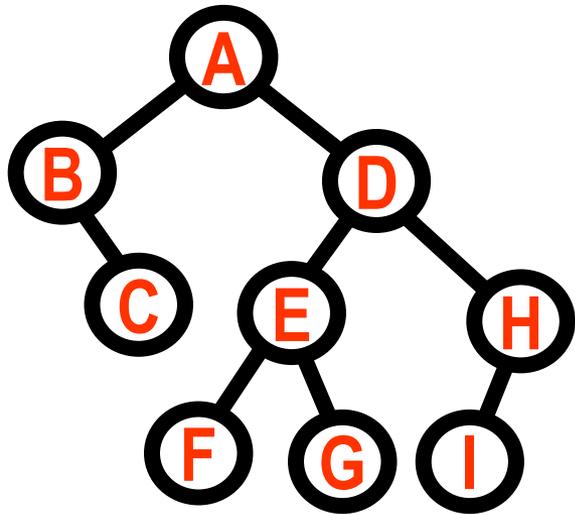
Test Cases

- **Insert 4, 10, 3, 6, 5 and 25 into an empty AVL tree.**
- **Insert 10, 20, 30, 40, 50, 60 & 70 into an empty AVL tree.**

Printing AVL Search Trees?

- **AVL Search Trees & Splay Search Trees** are special Binary Trees!

Printing Binary Trees?

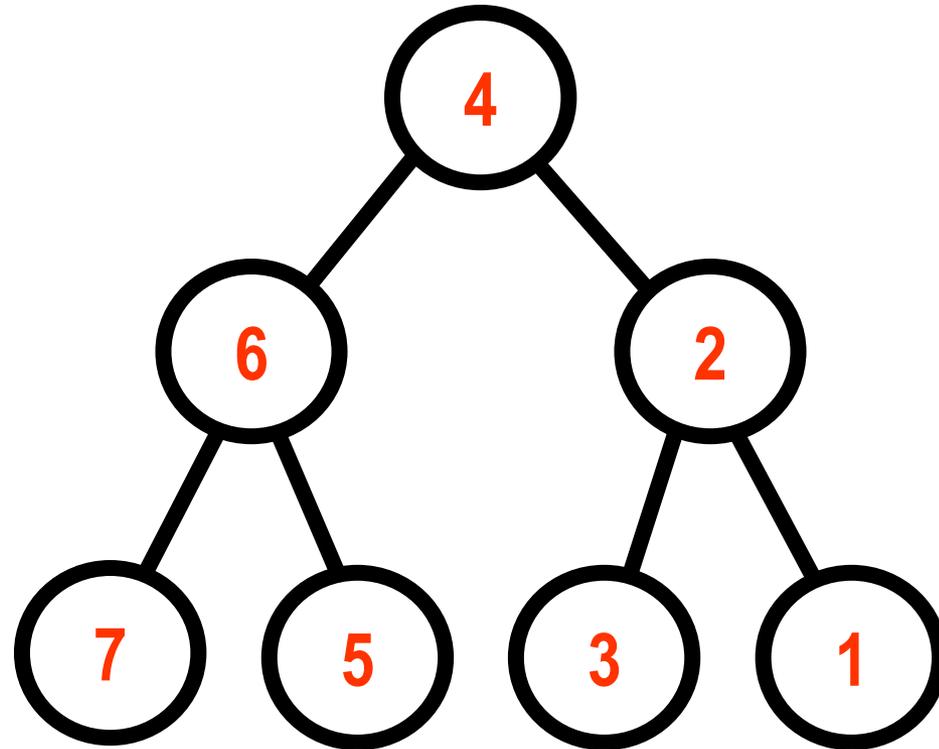


- **Idea?**
 - **Backward In-order Traversal & Print**

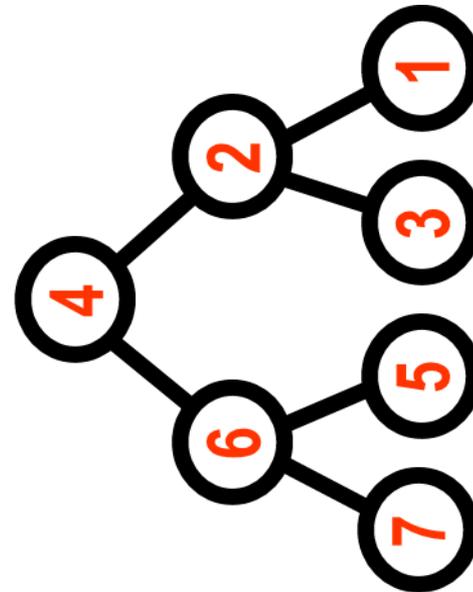
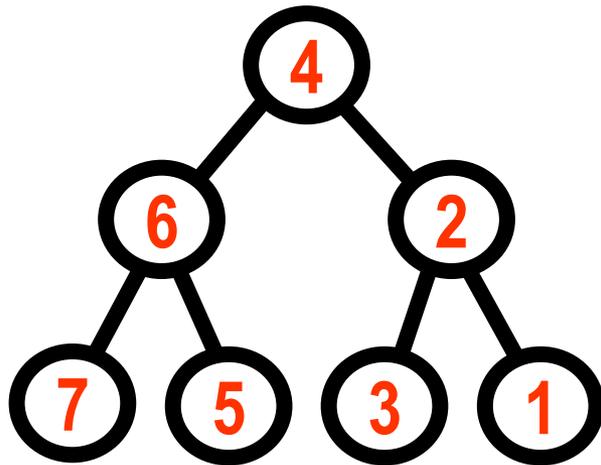
Backward In-order Traversal of Binary Trees

- Process right-child subtree, then a node, then left-child subtree.
- If the tree is not empty then
 - ➔ Backward Inorder traverse the right subtree recursively.
 - ➔ Visit the root & process!
 - ➔ Backward Inorder traverse the left subtree recursively.

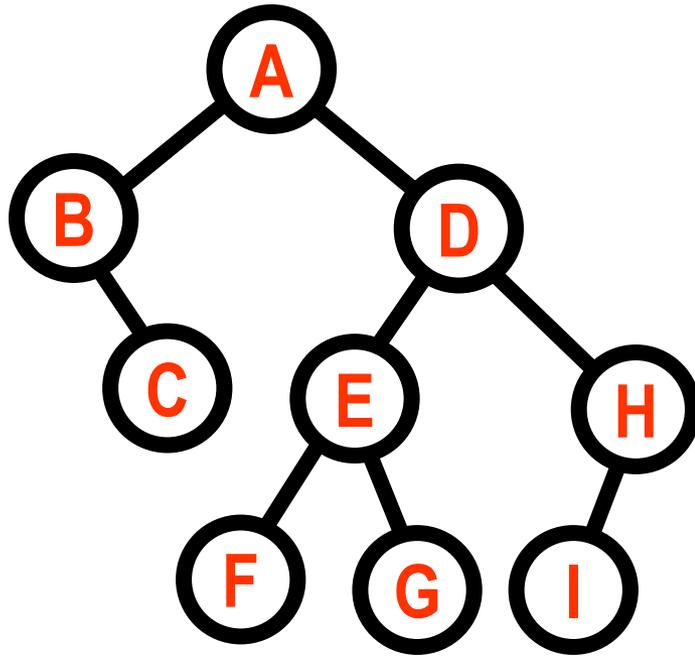
Backward In-order Traversal - Processing Order



Backward In-order Traversal - Processing Order

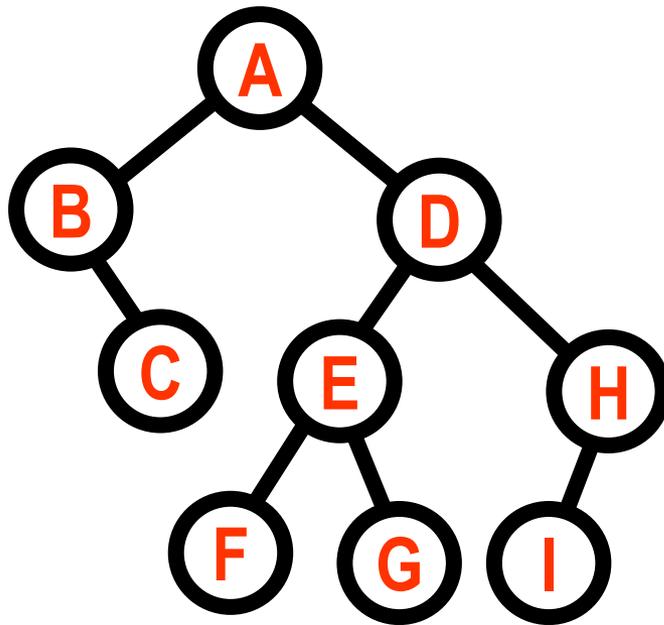


Example: Backward Inorder Traversal & Printing



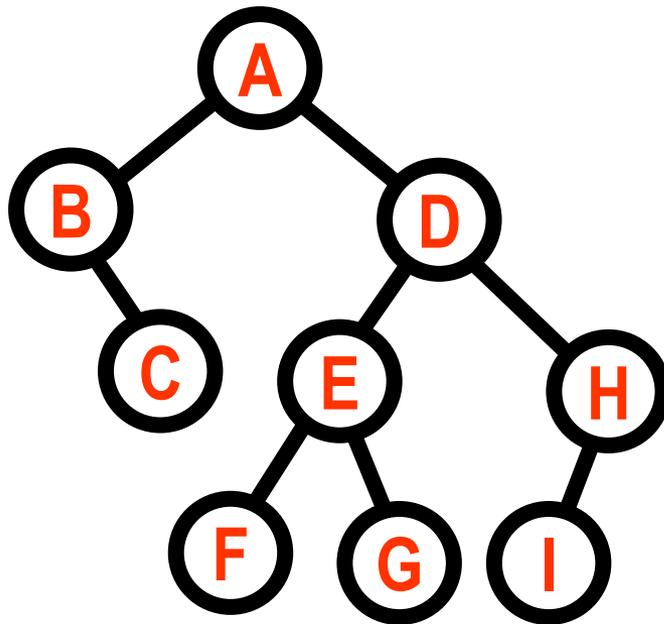
H I D G E F A C B

Example: Backward Inorder Traversal & Printing



H
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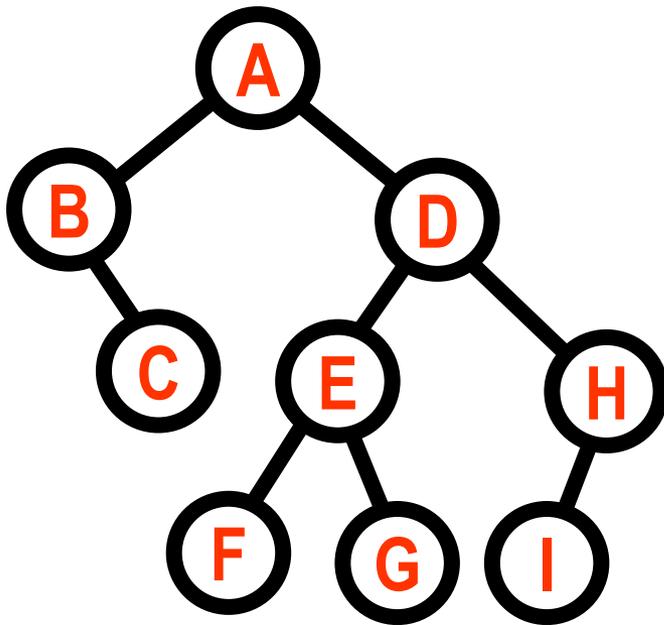
Example: Backward Inorder Traversal & Printing



H
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H
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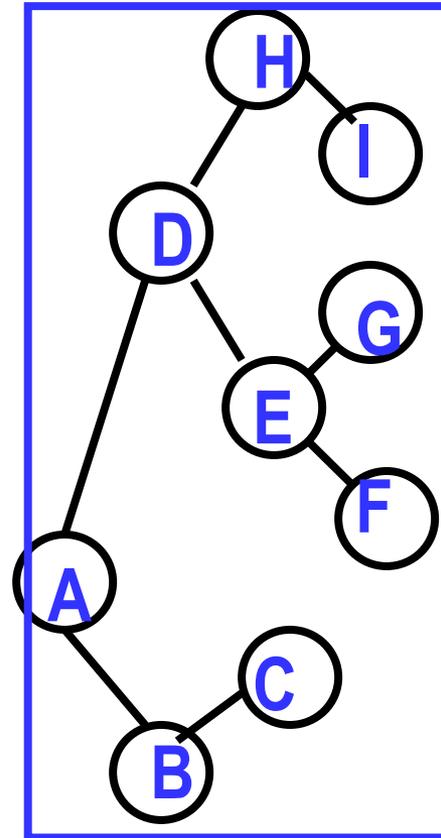
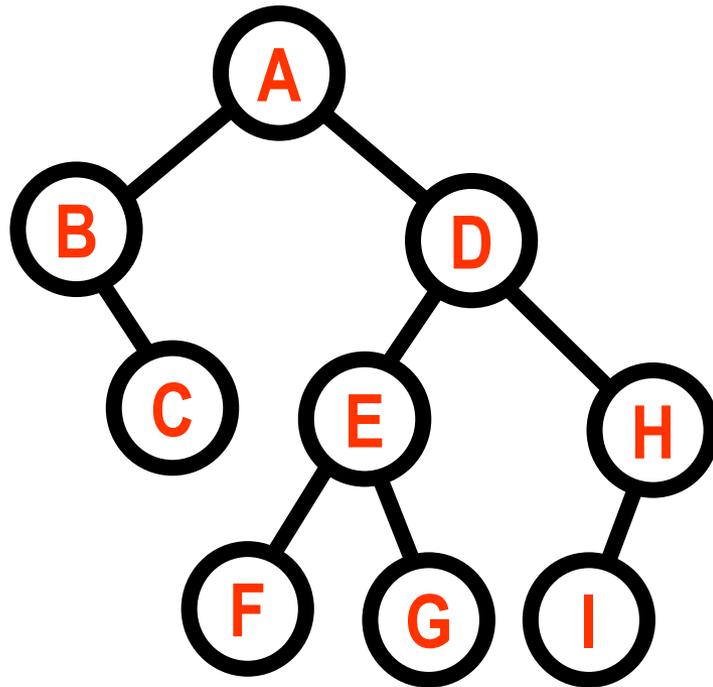
Example: Backward Inorder Traversal & Printing



H
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C
B

H \
I
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G
E < F
A <
C
B /

Example: Backward Inorder traversal & Printing



PrintBTree

```
void printBTree() const

// Outputs the keys in a binary tree.
// The tree is output rotated counterclockwise 90 degrees
// using a "reverse" inorder traversal.

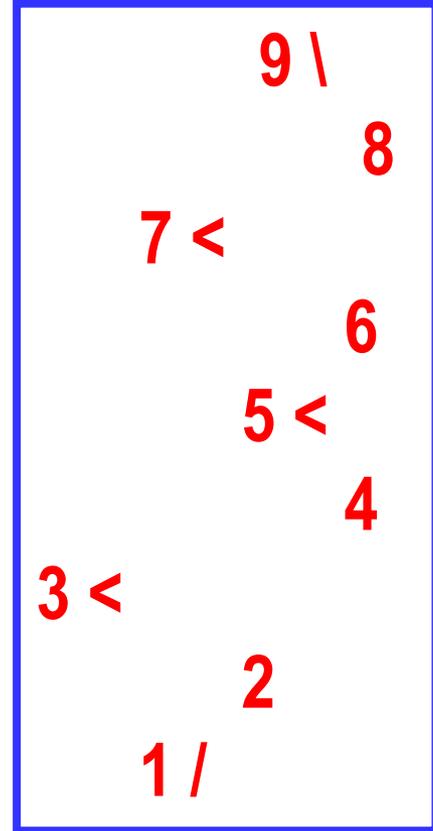
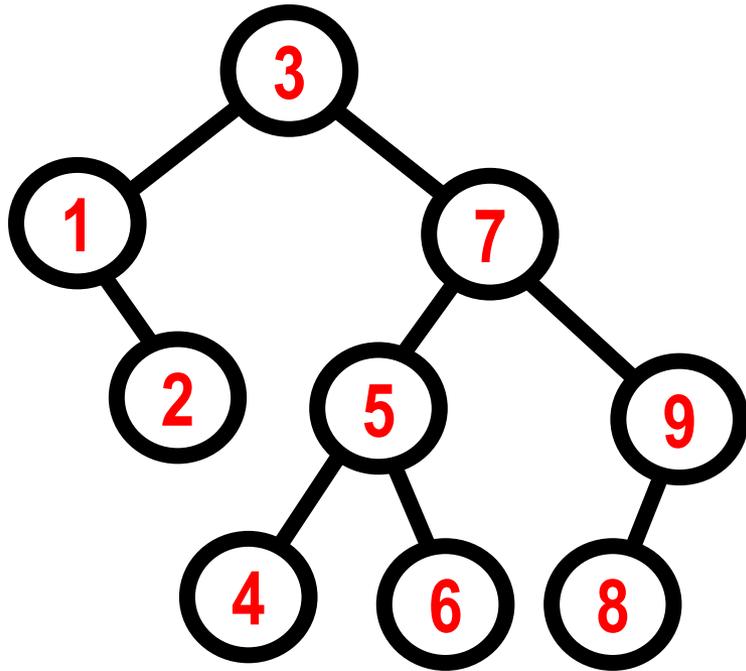
{
    if ( root == 0 )
        cout << "Empty tree" << endl;
    else
    {
        cout << endl;
        printBTreeHelper(root,1);
        cout << endl;
    }
}
```

PrintBTreeHelper

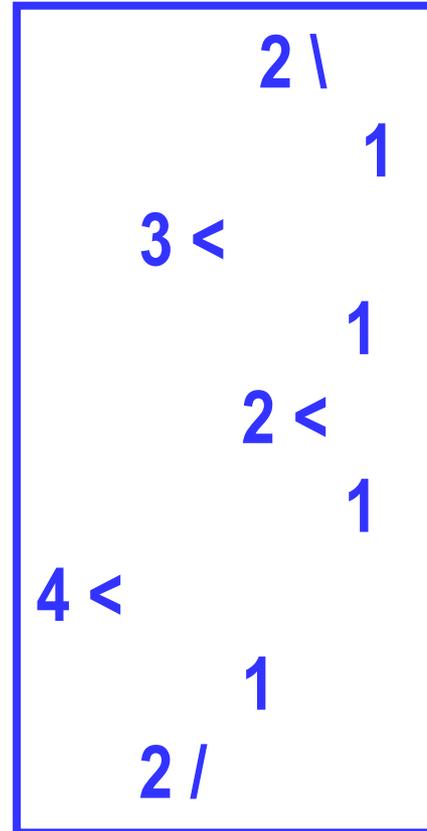
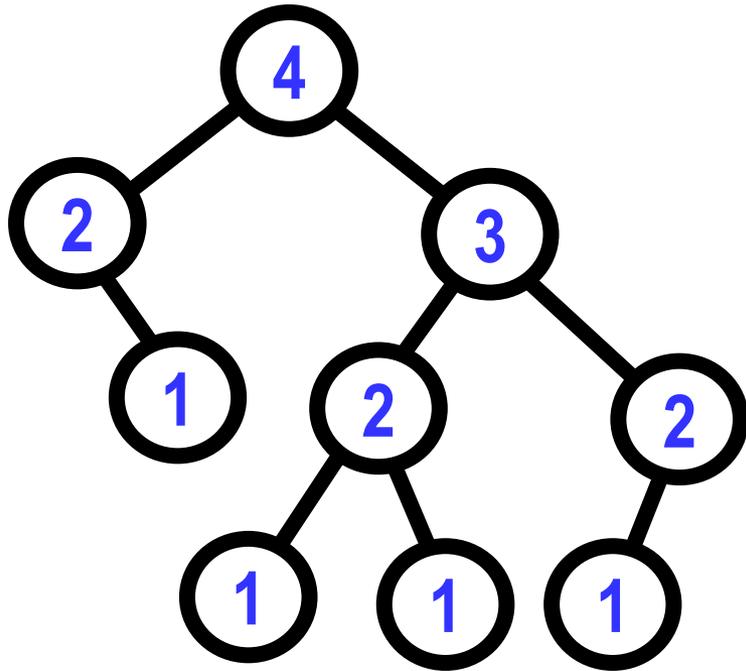
```
void printBTreeHelper( BTreeNode *p, int level ) const

// Recursive helper for printBTree.
// Outputs the subtree whose root node is pointed to by p.
// level is the level of this node within the tree.
{
    int j;
    if ( p != 0 )
    {
        printBTreeHelper(p->right,level+1);           // Output right subtree
        for ( j = 0 ; j < level ; j++ ) cout << "\t";
        cout << " " << p->Key; // Output key
        if ( ( p->left != 0 ) && ( p->right != 0 ) ) cout << "<";
        else if ( p->right != 0 ) cout << "/";
        else if ( p->left != 0 ) cout << "\\";
        cout << endl;
        printBTreeHelper(p->left,level+1);           // Output left subtree
    }
}
```

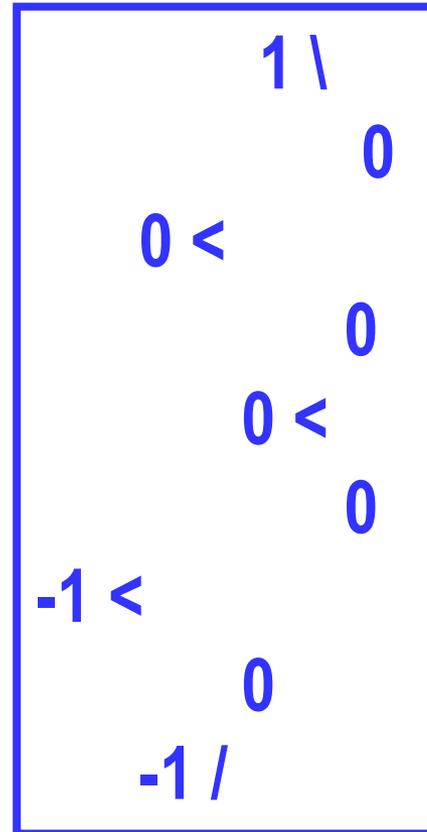
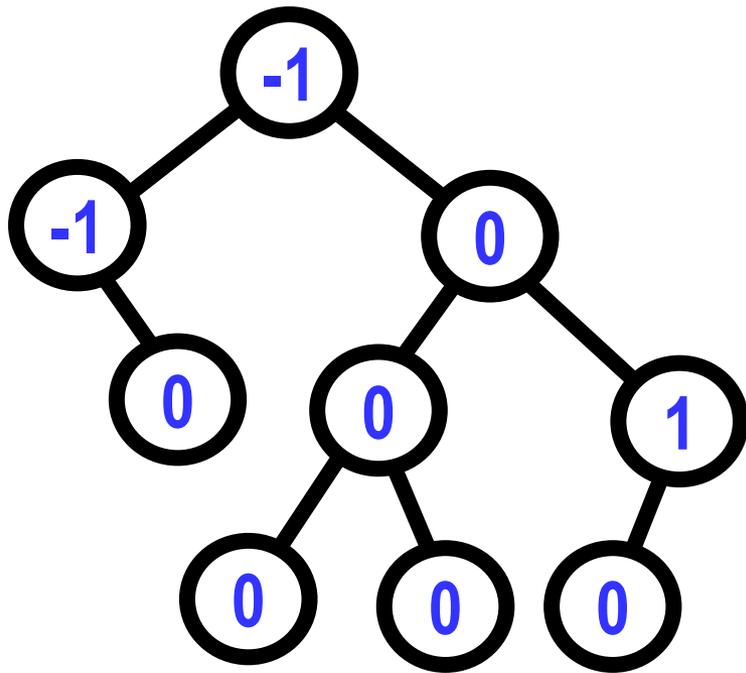
► QUIZ? Printing AVL Search Tree Keys?



▶ QUIZ? Printing AVL Search Tree Heights?



► QUIZ? Printing AVL Search Tree BFs?



the right majors, minors & concentrations
education?

for students' academic and career success
ing for many students - *Many students change their
uring college!*

e prediction of student success in MMC could
dual students
d their right MMC
hieve their academic goals

END

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Prof. Young Park

