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## Advanced Linear Linked Lists

# Skip Lists & Self-Organizing Lists

# Advanced Linear Linked Lists

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- Why?
  - The **search** operation on linked lists:
    - **$O(n)$  time**
    - A better search operation?
- How?
  - **Skip lists**
  - **Self-organizing lists**



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# **Skip (Linked) Lists – Randomized/Probabilistic Data Structure**

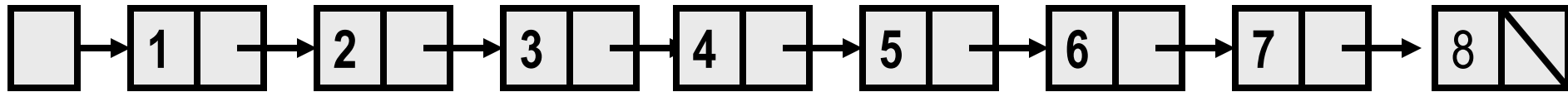
# Skip Lists

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- Problem:
  - Linked lists – The search operation requires  $O(n)$  time!
- Goal:
  - **To improve the time complexity of the search operation.**
- Idea:
  - **Use a sorted list with some structural conditions.**
  - Called a **skip** list!

# A Sorted (Ordered) Linked List

- A **sorted** linked list:
  - All nodes are sorted.
- Example:



head

- **Search?**
  - **$O(n)$  time (Sequential)**
  - **$O(n)$  time (Binary)!**

# Skip Lists

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- **Perfect skip lists**
- **Randomized/Probabilistic skip lists**

# A Perfect Skip List

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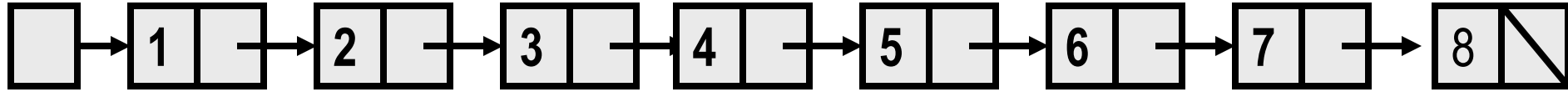
- A sorted linked list + Additional links with the following structural condition:

# Structural Conditions

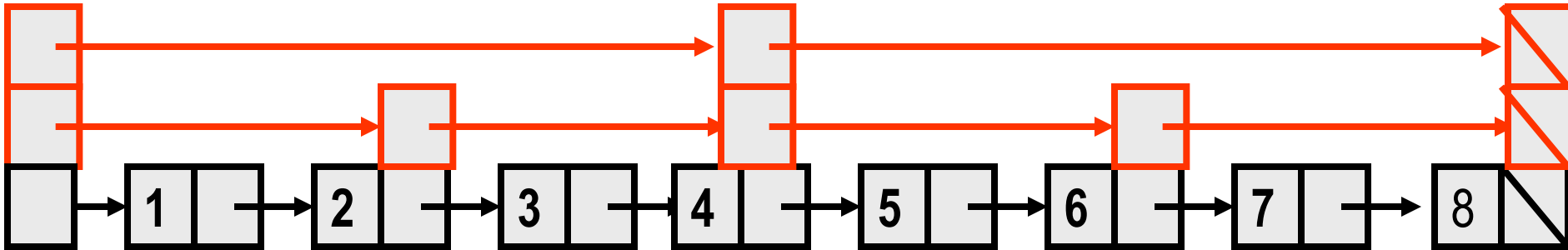
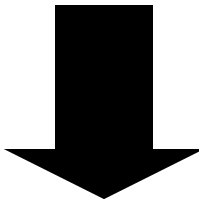
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- Every 2nd node connected.
  - Every 2nd element has 2 pointers.
- Every 4th node connected
  - Every 4th element has 3 pointers.
- Every 8th node connected
  - Every 8th element has 4 pointers.
- And so on ...

# Example



head



head

# Structural Conditions

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- Every  $2^i$ -th node has a link to the node  $2^i$  ahead of it.
  - Every 2<sup>nd</sup> node has a link to the node 2 ahead of it.
  - Every 4<sup>th</sup> node has a link to the node 4 ahead of it.
  - Every 8<sup>th</sup> node has a link to the node 8 ahead of it
  - And so on ...

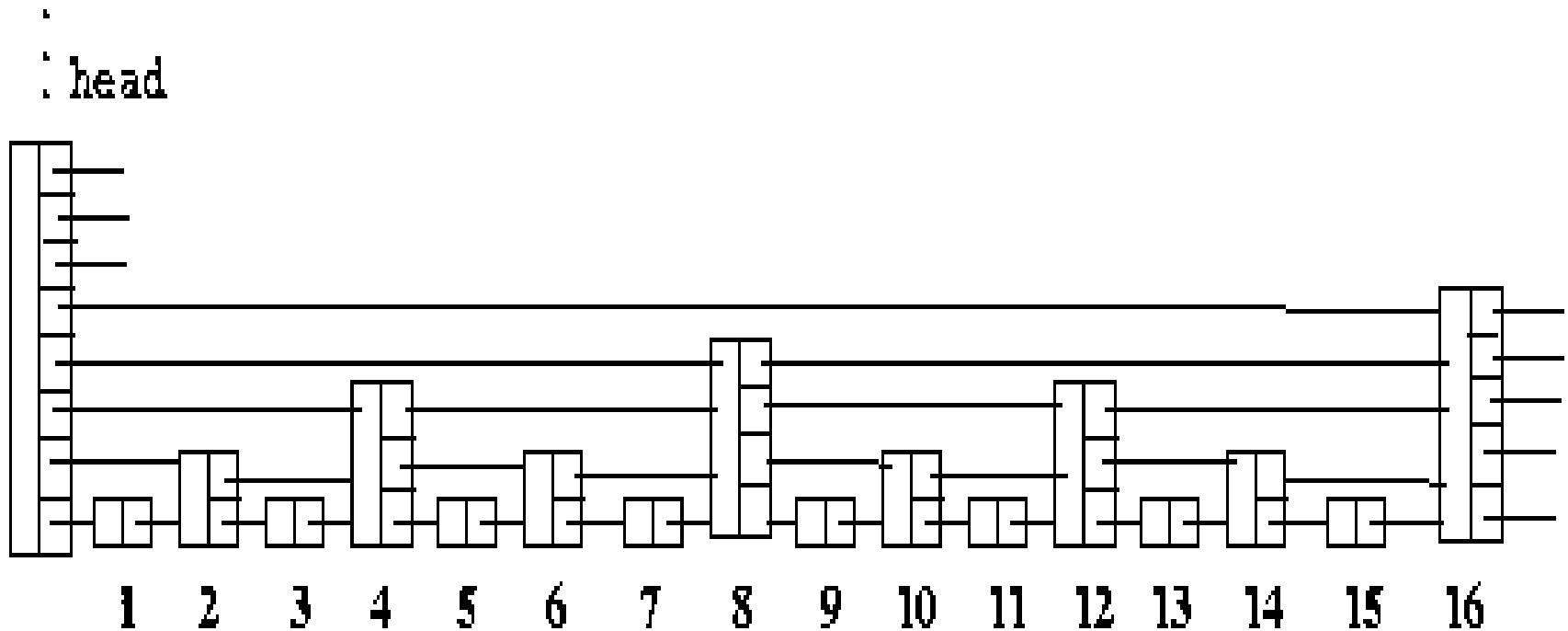
# Pointers in A Perfect Skip List

- **Level-0** pointers:
  - **Original** pointers
- Level-1 pointers:
  - Pointers that connect every 2<sup>nd</sup> nodes.
- Level-2 pointers:
  - Pointers that connect every 2<sup>2</sup>-th nodes.
- Level-3 pointers:
  - Pointers that connect every 2<sup>3</sup>-th nodes.
- **Level-i** pointers:
  - Pointers that connect every 2<sup>i</sup>-th nodes.

# Levels in A Perfect Skip List

- The level of a node is the number of pointers in the node.
  - A level- $i$  node has  $(i+1)$  pointers!
- The header node points to the first node of each level!
- What is the **highest (maximum) level** of a skip list of  $N$  nodes?
  - The header points to the middle node and the middle node points to NULL.
  - **$O(\log N)$  levels!**

# Example: A Perfect Skip List



# Quiz: A Perfect Skip List

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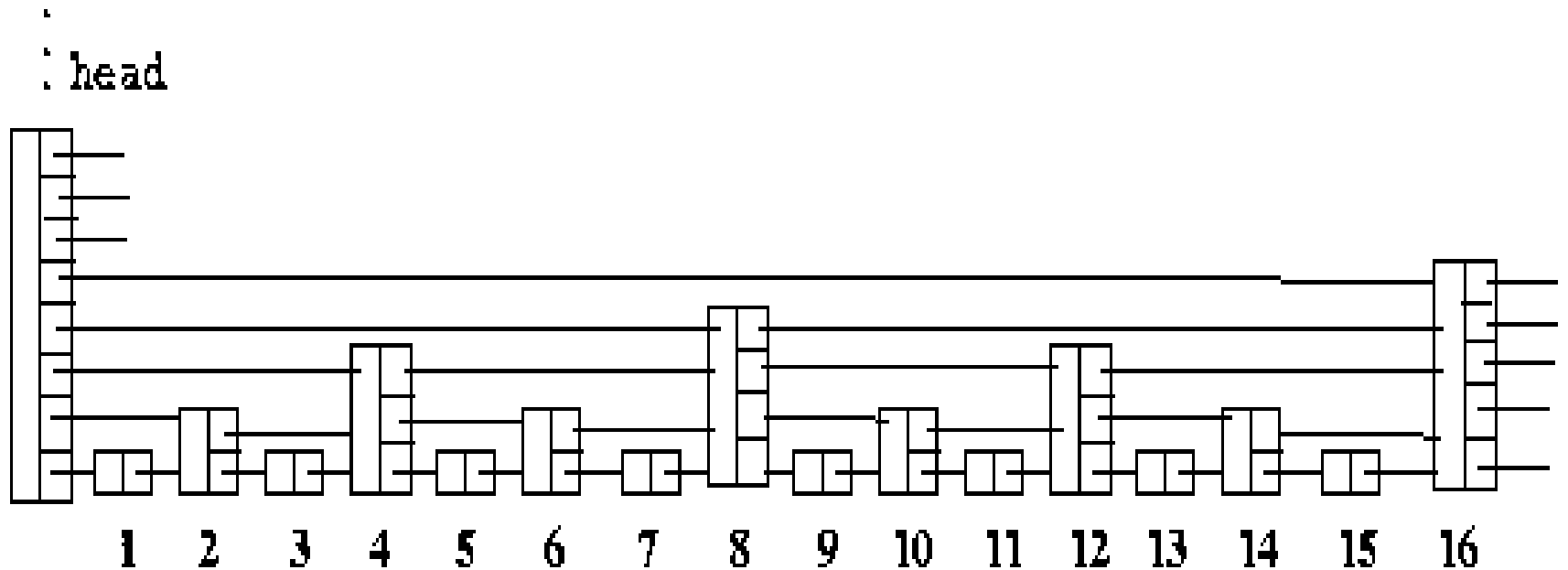
- Construct by inserting 19, 8, 12, 5, 17, 9 and 7 into an empty perfect skip list.

# Search in A Perfect Skip List

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- **A non-sequential search like a binary search is possible!!!**
  - Start at the highest link (level) at the header;
  - Traverse along this level;
  - If the next node is larger or NULL then go to the next lower level and continue the strategy;
  - Stop at level 0;
- The worst-case time for search is
  - **$O(\log n)$  time**

# Example: A Perfect Skip List Search



# Insert & Delete with A Perfect Skip List

- The **insertion** and **deletion** operations can be very inefficient!
  - Need restructuring!
  - **$O(n)$  time**
- This expense is too great, so maintaining a perfectly balanced condition is not worth it.

# A Good Skip List

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- We want a not-perfect-but-practically-good skip list?
  - **Relax the structuring condition!**
- **Randomized Probabilistic Data Structure**
  - **Makes some of its decision at random!**

# Observation

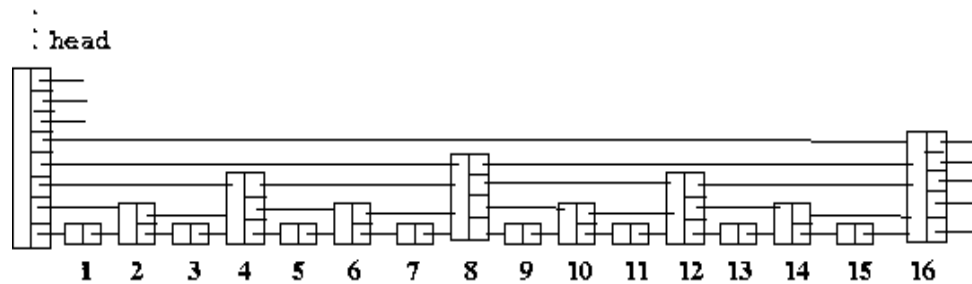
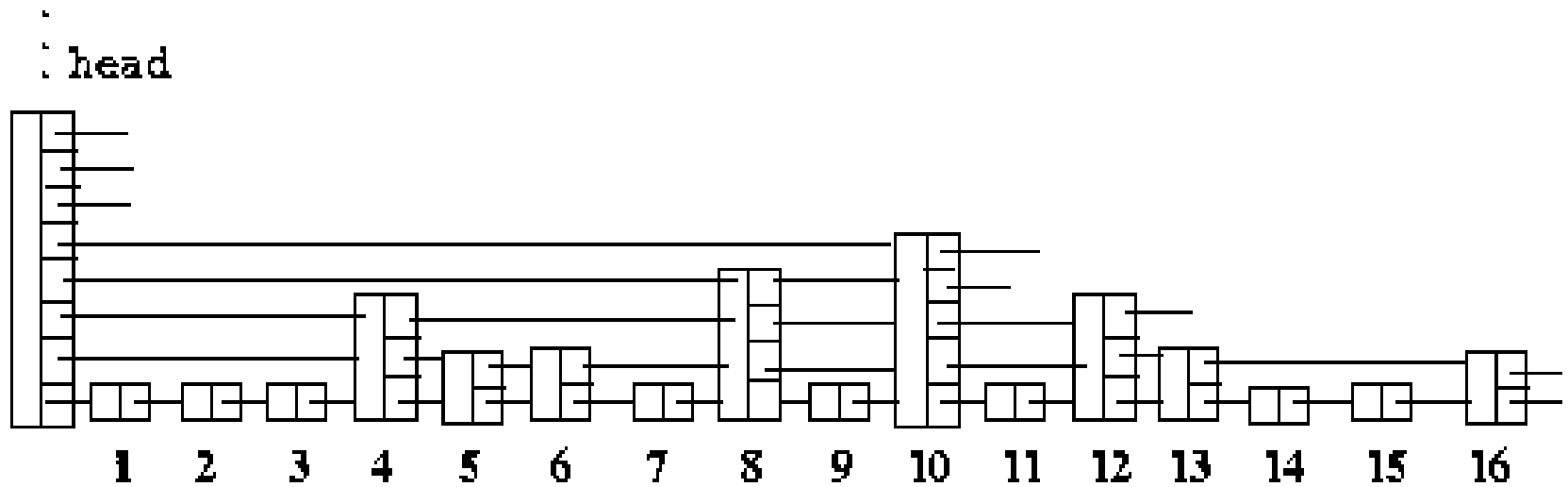
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- **In a perfect skip list:**
  - **1/2 nodes at the level-0**
  - **1/4 nodes at the level-1**
  - **1/8 nodes at the level-2**
  - **1/16 nodes at the level-3**
  - **1/32 nodes at the level-4**
  - **And so on ...**

# Idea: A Good Skip List

- Idea?
  - Try to keep
    - $1/2$  nodes at the level-0
    - $1/4$  nodes at the level-1
    - $1/8$  nodes at the level-2
    - $1/16$  nodes at the level-3
    - $1/32$  nodes at the level-4
    - And so on ...
- How?
  - **Determine a level randomly with probability.**

# Example: A Good Skip List

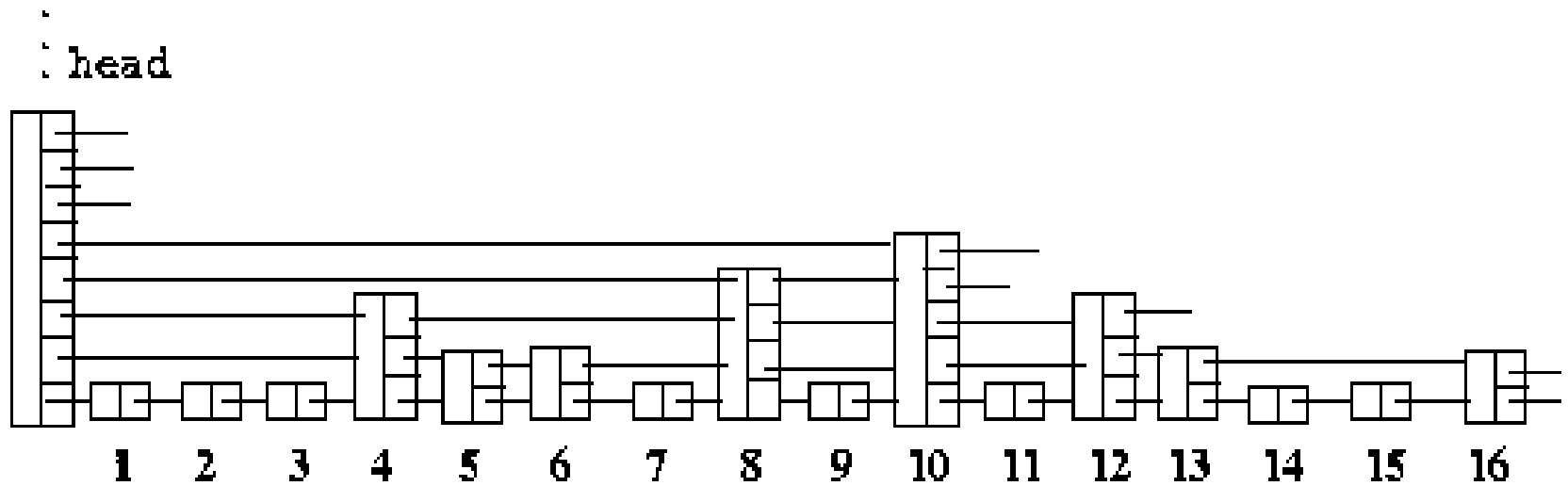


# Quiz: A Probabilistic Skip List

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- Construct by inserting 19, 8, 12, 5, 17, 9 and 7 into an empty probabilistic skip list.

# Example: A Probabilistic Skip List Search



# Search in A Good Skip List

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- Not perfect anymore, but we can still skip large distances and so the performance is still good.
- **Worst case:**
  - $O(n)$  time
- **Average case:**
  - $O(\log n)$  time

# Insert & Delete in A Good Skip List

- Insert:
  - Find the insertion point using search;
  - Keep track of each pointer where we switch to a lower level;
  - Determine the level **randomly with probability** for the new node;
  - Splice the new node into the list;
- Delete:
  - Delete the new node from the list;

# Insert & Delete in A Good Skip List

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- Worst case:
  - $O(n)$  time
- **Average case:**
  - **$O(\log n)$  time**



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# Self-Organizing (Linked) Lists

# Self-Organizing Lists

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- Problem:
  - Linked lists – Linear search  $O(n)$
- Goal:
  - To improve the **average time** complexity of the search operation.

# Self-Organizing Lists

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- Another Idea:
  - By dynamically organizing (restructuring or adjusting) the list in a certain manner.
  - **Heuristics!**

# Self-Organizing Methods

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- **Move-to-front** method
  - Move it to the beginning of the list.
  - A B C D
  - D
  - D A B C
  
  - A B D
  - C
  - A B D C

# Self-Organizing Methods

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- **Transpose method**
  - Swap it with its predecessor.
  - A B C D
  - D
  - A B D C
  
  - A B D
  - C
  - A B D C

# Self-Organizing Methods

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- **Count method**
  - Order the list by the number of times accessed.
  - A3 B1 C1 D1
  - D
  - A3 D2 B1 C1
  
  - A3 B1 D1
  - C
  - A3 B1 D1 C1

# Self-Organizing Methods

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- **Ordering method**
  - Order the list using certain criteria.
  - A B C D
  - D
  - A B C D
  
  - A B D
  - C
  - A B C D

# Example: Self-Organizing Lists

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- Access A, C, B, C, D, A, D, A, C, A, C, C, E and E.
  - Plain
  - Move-to-front method
  - Transpose method
  - Count method
  - (Alphabetical) Ordering method

# Example: Self-Organizing Lists

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- Access A, C, B, C, D, A, D, A, C, A, C, C, E and E.
  - Plain **ACBDE**
  - Move-to-front method **ECADB**
  - Transpose method **CADEB**
  - Count method **CAEDB**
  - (Alphabetical) Ordering method **ABCDE**