

Describing Syntax of Programming Languages

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Two Aspects of Programming Languages

- **Syntax**
 - The form or structure of the expressions, statements, and program units
- **Semantics**
 - The meaning of the expressions, statements, and program units

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Describing Syntax and Semantics of Programming Languages

- How to describe Syntax and Semantics of programming languages?
 - **Precisely**
 - **Unambiguously**
- Need a formal notation other than natural languages!

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Who Must Use Programming Language Definitions?

- Language designers
- Language implementors
- Programmers (the users of the language)

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A Formal Language

- A language is defined over **an alphabet**.
 - An alphabet is a finite set of characters (symbols).
- **A language is a set of strings** of characters (symbols) from some alphabet.
 - The string is called a **sentence**.
- Two types of languages:
 - **Finite languages**
 - **Infinite languages**

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Structure of Programming Languages

- **Lexical structure**
- **Syntactic structure**

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Lexemes and Tokens in A Programming Language

- A **lexeme** is the lowest level syntactic unit of a programming language.
- A lexical **token** of a programming language is a category of its lexemes.
 - A sequence of characters that can be treated as a unit in the grammar of a programming language
 - A basic syntactic unit

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Example: Lexemes and Tokens

- `foo n14 last ...`
 - Token ID
- `73 0 515 ...`
 - Token NUM
- `66.1 .5 10. 1e67 5.5e-10`
 - Token REAL
- `if`
 - Token IF
- `,`
 - Token COMMA
- `(`
 - Token LPREN
- `)`
 - Token RPREN

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A Programming Language

- A programming language is a set of strings of **lexemes** from some alphabet.
- A programming language has a finite set of **tokens**.

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Example: Tokens

```
float match0(char *s) /* find a zero */
{ if (!strcmp(s, "0.0", 3))
  return 0.;
}
```

FLOAT ID(match0) LPAREN CHAR STAR ID(s)
RPAREN LBRACE IF LPAREN BANG ID(strcmp)
LPAREN ID(s) COMMA STRING(0.0) COMMA
NUM(3) RPAREN RPAREN RETURN REAL(0.0)
SEMI RBRACE

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How to Describe Syntax

- An informal way.
- A formal method
 - Need a formal notation other than natural languages!
 - A **metalanguage** is a language used to describe another language.

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Approaches to Describing Syntax Formally

- Two Approaches:
 - Define by **Language Generators**
 - How to **generate** all valid strings (sentences)?
 - Define by **Language Recognizers**
 - How to **recognize** all valid strings (sentences)?

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Language Generator

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Methods for Language Generator

- Formal language generation mechanisms:
 1. Context-Free Grammars
 2. BNF (Backus-Naur Form)
 3. EBNF(Extended BNF)
 4. Syntax Graphs

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1. Context-free Grammars

- Developed by Noam Chomsky in the mid-1950s
 - To describe the syntax of natural languages.

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Languages and Grammars

- Four classes of languages
 - Regular languages
 - context-free languages
 - context-sensitive languages
 - Recursively enumerable languages
- Four classes of grammars
 - Regular grammars
 - Context-free grammars
 - Context-sensitive grammars
 - Unrestricted grammars

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Grammars

- Grammar $G = (T, N, S, P)$
 - T (Terminals)
 - N (Nonterminals)
 - S (Starting symbol)
 - P (Production rules): $LHS \rightarrow RHS$

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Context-Free Languages and Context-Free Grammars

- The syntax of a programming language is
 - Syntactic structure: Context-free language
 - Lexical structure: Regular language
- We use to describe the syntax of a programming language
 - Context-free grammars
 - Regular grammars

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Context-Free Grammars

- Context free grammar $G = (T, N, S, P)$
 - T (Terminals)
 - N (Nonterminals)
 - S (Starting symbol)
 - P (Production rules): **LHS** $\rightarrow$ **RHS**

A single nonterminal!

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2. Backus Normal Form (BNF)

- Invented by John Backus to describe Algol 58.
- Modified by Peter Naur to describe Algol 60.
 - Backus-Naur Form (BNF)
- BNF is equivalent to Context-Free Grammars!

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CFG and BNF & PLs

- They are sufficiently powerful to describe the majority of the syntax of all programming languages!

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Example: A CFG for a Small Language

```
T = {begin, end, ,, :=, A, B, C, +, -}
N = {<program>, <stmt_list>, <stmt>, <var>, <expression>}
S = <program>
P = {<program>  $\rightarrow$  begin <stmt_list> end
    <stmt_list>  $\rightarrow$  <stmt>
    | <stmt> ; <stmt_list>
    <stmt>  $\rightarrow$  <var> := <expression>
    <var>  $\rightarrow$  A | B | C
    <expression>  $\rightarrow$  <var> + <var>
    | <var> - <var>
    | <var>
}
```

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Example: CFGs

- A Simple C Assignment Statement:

$\langle \text{assign} \rangle \rightarrow \langle \text{var} \rangle = \langle \text{expression} \rangle$

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Example: CFGs

- A Pascal if Statement:

```
<if_stmt>  $\rightarrow$  if <logic_expr> then <stmt>
<if_stmt>  $\rightarrow$  if <logic_expr> then <stmt> else <stmt>
or
<if_stmt>  $\rightarrow$  if <logic_expr> then <stmt>
    | if <logic_expr> then <stmt> else <stmt>
```

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Example: CFGs

- An Identifier List:

```
<iden_list> → identifier
           | identifier , <iden_list>
```

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How to Generate From CFG

- Starting from the starting symbol.
- Apply the production rules repeatedly.
- Until a sentence (all terminal symbols).

– **Derivation!**

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Derivation

- Every string of symbols in the derivation is a *sentential form*.
- A *sentence* is a sentential form that has only terminal symbols.
- A **leftmost derivation** is one in which the **leftmost nonterminal** in each sentential form is the one that is expanded.

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Example: Leftmost derivation of **begin A := B + C; B := C end**

```
<program> → begin <stmt_list> end
<stmt_list> → <stmt>
           | <stmt> ; <stmt_list>
<stmt> → <var> := <expression>
<var> → A | B | C
<expression> → <var> + <var>
           | <var> - <var>
           | <var>
```

```
<program>
⇒ begin <stmt_list> end
⇒ begin <stmt> ; <stmt_list> end
⇒ begin <var> := <expression> ; <stmt_list> end
⇒ begin A := <expression> ; <stmt_list> end
⇒ begin A := <var> + <var> ; <stmt_list> end
⇒ begin A := B + <var> ; <stmt_list> end
⇒ begin A := B + C ; <stmt_list> end
```

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Example: Leftmost derivation of **begin A := B + C; B := C end**

```
<program> → begin <stmt_list> end
<stmt_list> → <stmt>
           | <stmt> ; <stmt_list>
<stmt> → <var> := <expression>
<var> → A | B | C
<expression> → <var> + <var>
           | <var> - <var>
           | <var>
```

```
⇒ begin A := B + C ; <stmt_list> end
⇒ begin A := B + C ; <stmt> end
⇒ begin A := B + C ; <var> := <expression> end
⇒ begin A := B + C ; B := <expression> end
⇒ begin A := B + C ; B := <var> end
⇒ begin A := B + C ; B := C end
```

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Example: A CFG for Simple Assignment Statements

```
T = { :=, A, B, C, +, *, (, ) }
N = { <assign>, <id>, <expr> }
S = <assign>
P = { <assign> → <id> := <expr>
      <id> → A | B | C
      <expr> → <id> + <expr>
             | <id> * <expr>
             | ( <expr> )
             | <id>
```

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QUIZ: Leftmost derivation of $A := B * (A + C)$

$\langle \text{assign} \rangle$
 $\Rightarrow \langle \text{id} \rangle := \langle \text{expr} \rangle$
 $\Rightarrow A := \langle \text{expr} \rangle$
 $\Rightarrow A := \langle \text{id} \rangle * \langle \text{expr} \rangle$
 $\Rightarrow A := B * \langle \text{expr} \rangle$
 $\Rightarrow A := B * (\langle \text{expr} \rangle)$
 $\Rightarrow A := B * (\langle \text{id} \rangle + \langle \text{expr} \rangle)$
 $\Rightarrow A := B * (A + \langle \text{expr} \rangle)$
 $\Rightarrow A := B * (A + \langle \text{id} \rangle)$
 $\Rightarrow A := B * (A + C)$

$\langle \text{assign} \rangle \rightarrow \langle \text{id} \rangle := \langle \text{expr} \rangle$
 $\langle \text{id} \rangle \rightarrow A \mid B \mid C$
 $\langle \text{expr} \rangle \rightarrow \langle \text{id} \rangle + \langle \text{expr} \rangle$
 $\quad \mid \langle \text{id} \rangle * \langle \text{expr} \rangle$
 $\quad \mid (\langle \text{expr} \rangle)$
 $\quad \mid \langle \text{id} \rangle$

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The Derivation Order

- **Left-most derivation**
 - Choose the left-most nonterminal symbol for replacement.
- **Right-most derivation**
 - Choose the right-most nonterminal symbol for replacement.
- **Derivation order has no effect on the language generated by the grammar!**

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Example: Rightmost derivation of $\text{begin } A := B + C; B := C \text{ end}$

$\langle \text{program} \rangle \rightarrow \text{begin } \langle \text{stmt_list} \rangle \text{ end}$
 $\langle \text{stmt_list} \rangle \rightarrow \langle \text{stmt} \rangle$
 $\quad \mid \langle \text{stmt} \rangle ; \langle \text{stmt_list} \rangle$
 $\langle \text{stmt} \rangle \rightarrow \langle \text{var} \rangle := \langle \text{expression} \rangle$
 $\langle \text{var} \rangle \rightarrow A \mid B \mid C$
 $\langle \text{expression} \rangle \rightarrow \langle \text{var} \rangle + \langle \text{var} \rangle$
 $\quad \mid \langle \text{var} \rangle - \langle \text{var} \rangle$
 $\quad \mid \langle \text{var} \rangle$

$\langle \text{program} \rangle$
 $\Rightarrow \text{begin } \langle \text{stmt_list} \rangle \text{ end}$
 $\Rightarrow \text{begin } \langle \text{stmt} \rangle ; \langle \text{stmt_list} \rangle \text{ end}$
 $\Rightarrow \text{begin } \langle \text{stmt} \rangle ; \langle \text{stmt} \rangle \text{ end}$
 $\Rightarrow \text{begin } \langle \text{stmt} \rangle ; \langle \text{var} \rangle := \langle \text{expression} \rangle \text{ end}$
 $\Rightarrow \text{begin } \langle \text{stmt} \rangle ; \langle \text{var} \rangle := \langle \text{expression} \rangle \text{ end}$
 $\Rightarrow \text{begin } \langle \text{stmt} \rangle ; \langle \text{var} \rangle := \langle \text{expression} \rangle \text{ end}$
 $\Rightarrow \text{begin } \langle \text{stmt} \rangle ; \langle \text{var} \rangle := \langle \text{var} \rangle \text{ end}$

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Example: Rightmost derivation of $\text{begin } A := B + C; B := C \text{ end}$

$\langle \text{program} \rangle \rightarrow \text{begin } \langle \text{stmt_list} \rangle \text{ end}$
 $\langle \text{stmt_list} \rangle \rightarrow \langle \text{stmt} \rangle$
 $\quad \mid \langle \text{stmt} \rangle ; \langle \text{stmt_list} \rangle$
 $\langle \text{stmt} \rangle \rightarrow \langle \text{var} \rangle := \langle \text{expression} \rangle$
 $\langle \text{var} \rangle \rightarrow A \mid B \mid C$
 $\langle \text{expression} \rangle \rightarrow \langle \text{var} \rangle + \langle \text{var} \rangle$
 $\quad \mid \langle \text{var} \rangle - \langle \text{var} \rangle$
 $\quad \mid \langle \text{var} \rangle$

$\Rightarrow \text{begin } \langle \text{stmt} \rangle ; \langle \text{var} \rangle := C \text{ end}$
 $\Rightarrow \text{begin } \langle \text{var} \rangle := \langle \text{expression} \rangle ; B := C \text{ end}$
 $\Rightarrow \text{begin } \langle \text{var} \rangle := \langle \text{var} \rangle + \langle \text{var} \rangle ; B := C \text{ end}$
 $\Rightarrow \text{begin } \langle \text{var} \rangle := \langle \text{var} \rangle + C ; B := C \text{ end}$
 $\Rightarrow \text{begin } \langle \text{var} \rangle := B + C ; B := C \text{ end}$
 $\Rightarrow \text{begin } A := B + C ; B := C \text{ end}$

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QUIZ: Right-most derivation of $A := B * (A + C)$

$\langle \text{assign} \rangle$
 $\Rightarrow \langle \text{id} \rangle := \langle \text{expr} \rangle$
 $\Rightarrow \cdot$
 $\Rightarrow \cdot$
 $\Rightarrow \cdot$
 $\Rightarrow \cdot$
 $\Rightarrow A := B * (A + C)$

$\langle \text{assign} \rangle \rightarrow \langle \text{id} \rangle := \langle \text{expr} \rangle$
 $\langle \text{id} \rangle \rightarrow A \mid B \mid C$
 $\langle \text{expr} \rangle \rightarrow \langle \text{id} \rangle + \langle \text{expr} \rangle$
 $\quad \mid \langle \text{id} \rangle * \langle \text{expr} \rangle$
 $\quad \mid (\langle \text{expr} \rangle)$
 $\quad \mid \langle \text{id} \rangle$

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Parse Tree

- A **parse tree** is
 - a hierarchical representation of a derivation.
- Leftmost and rightmost derivations for the same string produce the same parse tree.
 - If the grammar is **unambiguous**.

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Example: Parse Tree of $A := B * (A + C)$

$\Rightarrow \langle id \rangle := \langle expr \rangle$
 $\Rightarrow A := \langle expr \rangle$
 $\Rightarrow A := \langle id \rangle * \langle expr \rangle$
 $\Rightarrow A := B * \langle expr \rangle$
 $\Rightarrow A := B * (\langle expr \rangle)$
 $\Rightarrow A := B * (\langle id \rangle + \langle expr \rangle)$
 $\Rightarrow A := B * (A + \langle expr \rangle)$
 $\Rightarrow A := B * (A + \langle id \rangle)$
 $\Rightarrow A := B * (A + C)$

Parse Tree Structure:
 Root: $\langle assign \rangle$
 Children: $\langle id \rangle$, $:=$, $\langle expr \rangle$
 - $\langle id \rangle$ child: A
 - $:=$ child: $:=$
 - $\langle expr \rangle$ child: $\langle id \rangle$, $*$, $\langle expr \rangle$
 - $\langle id \rangle$ child: B
 - $*$ child: $*$
 - $\langle expr \rangle$ child: $(\langle expr \rangle)$
 - $(\langle expr \rangle)$ child: $\langle id \rangle$, $+$, $\langle expr \rangle$
 - $\langle id \rangle$ child: A
 - $+$ child: $+$
 - $\langle expr \rangle$ child: $\langle id \rangle$
 - $\langle id \rangle$ child: C

Grammar Rules (highlighted in the image):
 $\langle assign \rangle \rightarrow \langle id \rangle := \langle expr \rangle$
 $\langle id \rangle \rightarrow A \mid B \mid C$
 $\langle expr \rangle \rightarrow \langle id \rangle + \langle expr \rangle$
 $\quad \mid \langle id \rangle * \langle expr \rangle$
 $\quad \mid (\langle expr \rangle)$
 $\quad \mid \langle id \rangle$

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Example: A CFG for Simple Assignment Statements

$$\begin{aligned} T &= \{ :=, A, B, C, +, *, (,) \} \\ N &= \{ \langle \text{assign} \rangle, \langle \text{id} \rangle, \langle \text{expr} \rangle \} \\ S &= \langle \text{assign} \rangle \\ P &= \{ \langle \text{assign} \rangle \rightarrow \langle \text{id} \rangle := \langle \text{expr} \rangle \\ &\quad \langle \text{id} \rangle \rightarrow A \mid B \mid C \\ &\quad \langle \text{expr} \rangle \rightarrow \langle \text{expr} \rangle + \langle \text{expr} \rangle \\ &\quad \quad \mid \langle \text{expr} \rangle * \langle \text{expr} \rangle \\ &\quad \quad \mid (\langle \text{expr} \rangle) \\ &\quad \quad \mid \langle \text{id} \rangle \end{aligned}$$

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Example: Leftmost derivation I & Parse Tree of $A := B + C * A$

<assign>
 ⇒ <id> := <expr>
 ⇒ A := <expr>
 ⇒ A := <expr> + <expr>
 ⇒ A := <id> + <expr>
 ⇒ A := B + <expr>
 ⇒ A := B + <expr> * <expr>
 ⇒ A := B + <id> * <expr>
 ⇒ A := B + C * <expr>
 ⇒ A := B + C * <id>
 ⇒ A := B + C * A

<assign> → <id> := <expr>
 <id> → A | B | C
 <expr> → <expr> + <expr>
 | <expr> * <expr>
 | (<expr>)
 | <id>

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Example: Leftmost derivation II & Parse Tree of $A := B + C * A$

```

<assign>
⇒ <id> := <expr>
⇒ A := <expr>
⇒ A := <expr> * <expr>
⇒ A := <expr> + <expr> * <expr>
⇒ A := <id> + <expr> * <expr>
⇒ A := B + <expr> * <expr>
⇒ A := B + <id> * <expr>
⇒ A := B + C * <expr>
⇒ A := B + C * <id>
⇒ A := B + C * A

```

```

<assign> → <id> := <expr>
<id> → A | B | C
<expr> → <expr> + <expr>
          | <expr> * <expr>
          | ( <expr> )
          | <id>

```

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QUIZ: Rightmost derivation I & Parse Tree of $A := B + C * A$

<assign>
 ⇒ <id> := <expr>
 ⇒ .
 ⇒ .
 ⇒ .
 ⇒ **A := B + C * A**

<assign> → <id> := <expr>
 <id> → A | B | C
 <expr> → <expr> + <expr>
 | <expr> * <expr>
 | (<expr>)
 | <id>

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QUIZ: Rightmost derivation II & Parse Tree of $A := B + C * A$

$\langle \text{assign} \rangle$
 $\Rightarrow \langle \text{id} \rangle := \langle \text{expr} \rangle$
 $\Rightarrow .$
 $\Rightarrow .$
 $\Rightarrow .$
 $\Rightarrow \mathbf{A := B + C * A}$

$\langle \text{assign} \rangle \rightarrow \langle \text{id} \rangle := \langle \text{expr} \rangle$
 $\langle \text{id} \rangle \rightarrow \mathbf{A \mid B \mid C}$
 $\langle \text{expr} \rangle \rightarrow \langle \text{expr} \rangle + \langle \text{expr} \rangle$
 $\quad \mid \langle \text{expr} \rangle * \langle \text{expr} \rangle$
 $\quad \mid (\langle \text{expr} \rangle)$
 $\quad \mid \langle \text{id} \rangle$

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Example: A CFG for Simple Assignment Statements

```

T = {:=, A, B, C, +, *, (, )}
N = {<assign>, <id>, <expr>}
S = <assign>
P = { <assign> → <id> := <expr>
      <id> → A | B | C
      <expr> → <expr> + <expr>
              | <expr> * <expr>
              | ( <expr> )
              | <id> }
    
```

Two different parse trees for the same string **A := B + C * A!!!**

Ambiguous Grammar!

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Ambiguous Grammar

- A grammar is **ambiguous** iff it generates a string (sentence) that has two or more distinct parse trees.
- **Ambiguity is a problem!**
 - Because the meaning of the string cannot be determined uniquely.

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Example: Another CFG for Simple Assignment Statements

```

T = {:=, A, B, C, +, *, (, )}
N = {<assign>, <id>, <expr>}
S = <assign>
P = { <assign> → <id> := <expr>
      <id> → A | B | C
      <expr> → <expr> + <expr>
              | <expr> * <expr>
              | ( <expr> )
              | <id> }
    
```

Ambiguous Grammar!

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```

T = {:=, A, B, C, +, *, (, )}
N = {<assign>, <id>, <expr>}
S = <assign>
P = { <assign> → <id> := <expr>
      <id> → A | B | C
      <expr> → <expr> + <term>
              | <term>
      <term> → <term> * <factor>
              | <factor>
      <factor> → ( <expr> )
              | <id> }
    
```

Unambiguous Grammar!

QUIZ: Leftmost Derivation of A := B + C * A

```

<assign>
⇒ <id> := <expr>
⇒ .
⇒ .
⇒ .
⇒ A := B * ( A + C )
    
```

```

T = {:=, A, B, C, +, *, (, )}
N = {<assign>, <id>, <expr>}
S = <assign>
P = { <assign> → <id> := <expr>
      <id> → A | B | C
      <expr> → <expr> + <term>
              | <term>
      <term> → <term> * <factor>
              | <factor>
      <factor> → ( <expr> )
              | <id> }
    
```

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QUIZ: Rightmost Derivation of A := B + C * A

```

<assign>
⇒ <id> := <expr>
⇒ .
⇒ .
⇒ .
⇒ A := B * ( A + C )
    
```

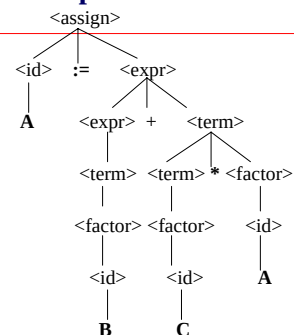
```

T = {:=, A, B, C, +, *, (, )}
N = {<assign>, <id>, <expr>}
S = <assign>
P = { <assign> → <id> := <expr>
      <id> → A | B | C
      <expr> → <expr> + <term>
              | <term>
      <term> → <term> * <factor>
              | <factor>
      <factor> → ( <expr> )
              | <id> }
    
```

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Example: Parse Tree of A := B + C * A



Only one parse tree for the same string A := B + C * A!!!

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Example: An ambiguous CFG for if Statements

```
P = { <stmt> → <if_stmt>
      <if_stmt> → if <logic_expr> then <stmt>
                | if <logic_expr> then <stmt> else <stmt>
    }
```

Ambiguous Grammar!

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Example: An unambiguous CFG for if Statements

```
P = { <stmt> → <matched> | <unmatched>

      <matched> → if <logic_expr> then <matched> else <matched>

      <unmatched> → if <logic_expr> then <stmt>
                    | if <logic_expr> then <matched> else <unmatched>
    }
```

Unambiguous Grammar!

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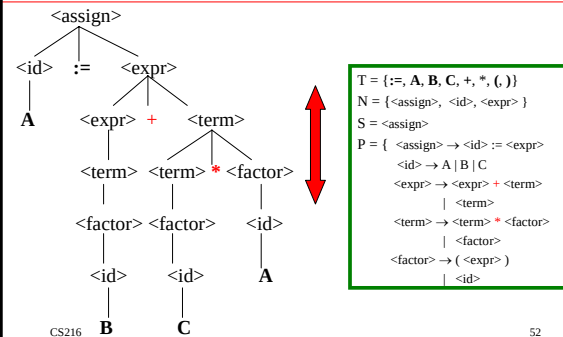
Operator Precedence

- If we use the parse tree to indicate precedence levels of the operators, we cannot have ambiguity.
- Operator with **higher precedence**
 - **Down** in the parse tree
- Operator with **lower precedence**
 - **Up** in the parse tree

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Example: Parse Tree of $A := B + C * A$



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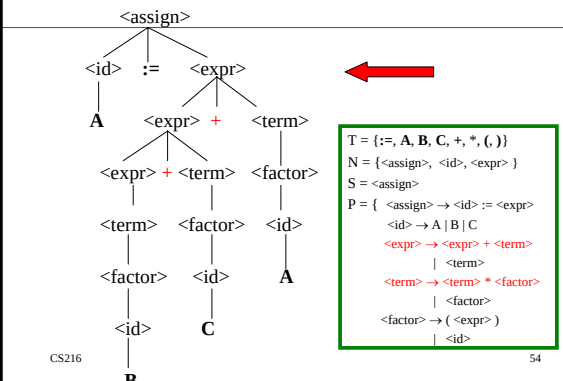
Operator Associativity

- Left associative**
 - Left recursive production rule
- Right associative**
 - Right recursive production rule

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Example: Parse Tree of $A := B + C + A$



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QUIZ: Syntax of Prolog?

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Syntax of Prolog

```
<term> ::= <constant>
          | <variable>
          | <compound-term>

<constant> ::= <integer>
              | <real number>
              | <atom>

<compound-term> ::= <atom> ( <termlist> )

<termlist> ::= <term> | <term> , <termlist>
```

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Facts

```
<fact> ::= <term> .
```

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Rules

```
<rule> ::= <term> :- <termlist> .
<termlist> ::= <term> | <term> , <termlist>
```

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A Prolog Program

```
<clause> ::= <fact>
            | <rule>
```

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3. Extended BNF (EBNF)

- BNF + Some extensions:
 - **Optional** parts are placed in brackets.
 - [...]
 - **Alternative** parts are put in parentheses and separated with vertical bars.
 - (... | ...)
 - **Repetitive** (0 or more) parts are put in braces.
 - { ... }

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EBNF

- Optional parts:

$\langle \text{proc_call} \rangle \rightarrow \langle \text{identifier} \rangle [(\langle \text{expr_list} \rangle)]$
 $\langle \text{selection} \rangle \rightarrow \text{if} (\langle \text{exp} \rangle) \langle \text{statement} \rangle [\text{else} \langle \text{statement} \rangle] ;$

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EBNF

- Alternative parts:

$\langle \text{for_stmt} \rangle \rightarrow \text{for} \langle \text{var} \rangle := \langle \text{exp} \rangle (\text{to} \mid \text{downto})$
 $\langle \text{exp} \rangle \text{ do } \langle \text{stmt} \rangle$
 $\langle \text{term} \rangle \rightarrow \langle \text{term} \rangle (+ \mid -) \text{const}$

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EBNF

- Repetitive (0 or more) parts:

$\langle \text{ident_list} \rangle \rightarrow \langle \text{identifier} \rangle \{ , \langle \text{identifier} \rangle \}$

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Extended BNF (EBNF)

- These extensions do not enhance the descriptive power of BNF.
- These extensions only increase readability and writability!

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Example: BNF to EBNF

BNF:

$\langle \text{expr} \rangle \rightarrow \langle \text{expr} \rangle + \langle \text{term} \rangle$
 $\quad \quad \quad | \langle \text{expr} \rangle - \langle \text{term} \rangle$
 $\quad \quad \quad | \langle \text{term} \rangle$
 $\langle \text{term} \rangle \rightarrow \langle \text{term} \rangle * \langle \text{factor} \rangle$
 $\quad \quad \quad | \langle \text{term} \rangle / \langle \text{factor} \rangle$
 $\quad \quad \quad | \langle \text{factor} \rangle$



EBNF:

$\langle \text{expr} \rangle \rightarrow \langle \text{term} \rangle \{ (+ \mid -) \langle \text{term} \rangle \}$
 $\langle \text{term} \rangle \rightarrow \langle \text{factor} \rangle \{ (* \mid /) \langle \text{factor} \rangle \}$

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4. Syntax Graphs/Diagrams/Charts

- Use a directed graph:
 - Put the terminals in circles or ellipses.
 - Put the nonterminals in rectangles.
 - Connect with lines with arrowheads for production rules.
- A separate syntax graph for each syntactic unit.
- Increases readability.

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Language Recognizer

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How to Recognize the Syntax of Programming Languages?

- Recognizing the **lexical structure** of a programming language.
 - **Lexical analysis**
 - **Lexer or scanner**
- Recognizing the **syntactic structure** of a programming language.
 - **Syntax analysis**
 - **Parser**

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Recognizing the Structure of the Program

- Scanner (Lexical Analyzer)
 - Input: A stream of characters
 - Output: A stream of tokens
- Parser (Syntax Analyzer)
 - Input: A stream of tokens
 - Output: Valid string or not + (A parse tree)

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Scanning

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Lexical Analyzer (Scanner)

- Input:
 - A stream of characters (symbols)
- Output:
 - A stream of tokens
- Discards white space, blanks, tabs, newlines and comments.

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Example: Lexical Analyzer

- `float match0(char *s) /* find a zero */ { if (!strcmp(s, "0.0", 3)) return 0.;`



- `FLOAT ID(match0) LPAREN CHAR STAR ID(s) RPAREN LBRACE IF LPAREN BANG ID(strcmp) LPAREN ID(s) COMMA STRING(0.0) COMMA NUM(3) RPAREN RPAREN RETURN REAL(0.0) SEMI RBRACE`

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Tokens

- How to describe (specify) tokens precisely?
 - Informal specification – Imprecise & ambiguous!
 - Specify the syntax of tokens formally
- How to recognize tokens?
 - Recognize the syntax of tokens

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Tokens are Regular Languages

- A **token** is a simple language called a **regular language**.
 - How to describe (specify) regular languages precisely?
 - Specify the syntax of regular languages
 - How to recognize regular languages?
 - Recognize syntax of regular languages

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Parsing

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Approach to Parsing

- For a given input string:
- Discover the parse tree in a top-down fashion.
 - **Top-down parsing**
- Discover the parse tree in a bottom-up fashion.
 - **Bottom-up parsing**

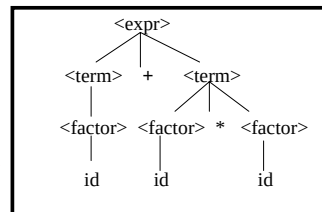
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Top-Down Parsing

```
<expr> -> <term> { (+ | -) <term> }  
<term> -> <factor> { (* | /) <factor> }  
<factor> -> id | ( <expr> )
```

id + id * id



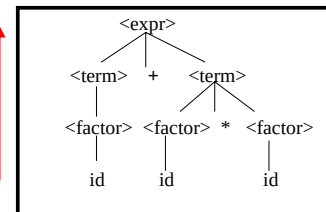
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Bottom-Up Parsing

```
<expr> -> <term> { (+ | -) <term> }  
<term> -> <factor> { (* | /) <factor> }  
<factor> -> id | ( <expr> )
```

id + id * id



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Two Approaches to Parsing

- **Top-Down Parsing**
 - Try to construct the parse tree from top to bottom.
- **Bottom-Up Parsing**
 - Try to construct the parse tree from bottom to top.

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Top-Down Parsing

- **LL(1) parsing**

LL(1) : Scanning direction of input string $L \rightarrow R$

LL(1) : Derivation Rule – Left most derivation

LL(1) : Symbols at a time

- **Recursive Descent parsing**

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Bottom-Up Parsing

powerful but difficult

- **LR(1) parsing**

LR(1): Scanning direction of input string $L \rightarrow R$

LR(1): Derivation Rule – Right most derivation

LR(1): Symbols at a time – one symbol at a time

- **SLR(1)**: Simple LR(1)
- **LALR(1)**: Look Ahead LR(1)

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Top-Down Parsing

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Recursive Descent Parsing: A Simple Top-Down Parsing

- A recursive descent parsing method traces out a parse tree in top-down order.
- A recursive descent parsing method is a simple top-down parsing method.

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Recursive Descent Parser

- Each non-terminal in the grammar has a subprogram associated with it.
- The subprogram parses all sentential forms that the non-terminal can generate.
- The parsing subprograms are built directly from the grammar rules.
- The parsing subprograms are often recursive!

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Example: Recursive Descent Parser

```
<expr> -> <term> {(+|-) <term>}
<term> -> <factor> {(*/|) <factor>}
<factor> -> id | ( <expr> )
```

```
void expr()
void term()
void factor()
```

```
Main:
    lexical();
    expr();
```

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```
<expr> -> <term> {(+|-) <term>}
```



```
void expr() {
    term(); /* parse the first term*/
    while (next_token == plus_code ||
           next_token == minus_code) {
        lexical(); /* get next token */
        term(); /* parse the next term */
    }
}
```

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```
<term> -> <factor> {(*/|) <factor>}
```



```
void term() {
    factor(); /* parse the first factor*/
    while (next_token == ast_code ||
           next_token == slash_code) {
        lexical(); /* get next token */
        factor(); /* parse the next factor */
    }
}
```

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```
<factor> -> id | ( <expr> )
```



```
void factor() {
    if (next_token == id_code) {
        lexical();
        return;
    }
    else if (next_token == left_paren_code) {
        lexical();
        expr();
        if (next_token == right_paren_code) {
            lexical();
            return;
        }
        else error(); /* expecting right ) */
    }
    else error(); /* neither id nor ( */
}
```

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Recursive Descent Parser

- Recursive descent parsers cannot be built from **left-recursive** grammars!

```
<expr> -> <expr> + <term>
      | <expr> - <term>
      | <term>
<term> -> <term> * <factor>
      | <term> / <factor>
      | <factor>
```

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Recursive Descent Parser

```
<expr> -> <expr> + <term>
      | <expr> - <term>
      | <term>
<term> -> <term> * <factor>
      | <term> / <factor>
      | <factor>
```

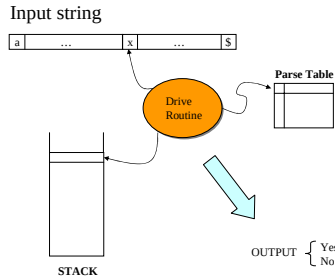
?

```
void expr() {
    expr();
    if (next_token == +) {
        lexical();
        term();
    }
}
```

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LL(1) Parser



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LL(1) Parser

Drive Routine

Let a = the current_input_symbol
 X = the symbol on top of the STACK

1. Push $\$$
2. Push S // S is the Starting Symbol
3. While (not_empty(STACK))
 - i) $X == A$ terminal or $\$$
 if $X == a$ then pop(X);
 Move to next_input_symbol;
 - ii) $X == A$ non-Terminal
 if $LL(1)Table[X, a] = X \rightarrow \alpha$ then pop(X);
 push(α) in reverse order;
 else error();
- end While
4. Output "YES_ACCEPT"

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Example: Removal of Left-Recursive Productions

$E \rightarrow E + T \mid T$
 $T \rightarrow T * F \mid F$
 $F \rightarrow id \mid (E)$

$E \rightarrow TE'$
 $E' \rightarrow \epsilon \mid +TE'$
 $T \rightarrow FT'$
 $T' \rightarrow \epsilon \mid *FT'$
 $F \rightarrow id \mid (E)$

$E \rightarrow TQ$
 $Q \rightarrow +TQ \mid \epsilon$
 $T \rightarrow FR$
 $R \rightarrow *FR \mid \epsilon$
 $F \rightarrow id \mid (E)$

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Example: The LL(1) Parse Table

$E \rightarrow TQ$
 $Q \rightarrow +TQ \mid \epsilon$
 $T \rightarrow FR$
 $R \rightarrow *FR \mid \epsilon$
 $F \rightarrow id \mid (E)$

	id	(	*	+	)	\$
E	$E \rightarrow TQ$	$E \rightarrow TQ$				
Q				$Q \rightarrow +TQ$	$Q \rightarrow \epsilon$	$Q \rightarrow \epsilon$
T	$T \rightarrow FR$	$T \rightarrow FR$				
R			$R \rightarrow *FR$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$
F	$F \rightarrow id$	$F \rightarrow (E)$				

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Example: LL(1) Parser

 : ERROR

	id	(	*	+	)	\$
E	$E \rightarrow TQ$	$E \rightarrow TQ$				
Q				$Q \rightarrow +TQ$	$Q \rightarrow \epsilon$	$Q \rightarrow \epsilon$
T	$T \rightarrow FR$	$T \rightarrow FR$				
R			$R \rightarrow *FR$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$
F	$F \rightarrow id$	$F \rightarrow (E)$				

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Example: LL(1) Parser

	id	(	*	+	)	\$
E	$E \rightarrow TQ$	$E \rightarrow TQ$				
Q				$Q \rightarrow +TQ$	$Q \rightarrow \epsilon$	$Q \rightarrow \epsilon$
T	$T \rightarrow FR$	$T \rightarrow FR$				
R			$R \rightarrow *FR$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$
F	$F \rightarrow id$	$F \rightarrow (E)$				

The input Symbol: **id + id \$**

Parse State	input	Production rule	derivation
\$E	id + id \$	$E \rightarrow TQ$	E
\$QT	id + id \$	$T \rightarrow FR$	$E \rightarrow TQ$
\$QRF	id + id \$	$F \rightarrow id$	$E \rightarrow FRQ$
\$QRid	id + id \$		

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Example: LL(1) Parser

	id	(	*	+	)	\$
E	$E \rightarrow TQ$	$E \rightarrow TQ$				
Q				$Q \rightarrow +TQ$	$Q \rightarrow \epsilon$	$Q \rightarrow \epsilon$
T	$T \rightarrow FR$	$T \rightarrow FR$				
R			$R \rightarrow *FR$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$
F	$F \rightarrow id$	$F \rightarrow (E)$				

The input Symbol: **id + id \$**

Parse State	input	Production rule	derivation
\$QR	+ id \$	$R \rightarrow \epsilon$	$E \rightarrow id RQ$
\$Q	+ id \$	$Q \rightarrow +TQ$	$E \rightarrow id Q$
\$QT+ CS216	+ id \$		$E \rightarrow id+TQ$ 97

Example: LL(1) Parser

	id	(	*	+	)	\$
E	$E \rightarrow TQ$	$E \rightarrow TQ$				
Q				$Q \rightarrow +TQ$	$Q \rightarrow \epsilon$	$Q \rightarrow \epsilon$
T	$T \rightarrow FR$	$T \rightarrow FR$				
R			$R \rightarrow *FR$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$
F	$F \rightarrow id$	$F \rightarrow (E)$				

The input Symbol: **id + id \$**

Parse State	input	Production rule	derivation
\$QT	id \$	$T \rightarrow FR$	
\$QRF	id \$	$F \rightarrow id$	$E \rightarrow id+FRQ$
\$QRid CS216	id \$		$E \rightarrow id+idRQ$ 98

Example: LL(1) Parser

	id	(	*	+	)	\$
E	$E \rightarrow TQ$	$E \rightarrow TQ$				
Q				$Q \rightarrow +TQ$	$Q \rightarrow \epsilon$	$Q \rightarrow \epsilon$
T	$T \rightarrow FR$	$T \rightarrow FR$				
R			$R \rightarrow *FR$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$
F	$F \rightarrow id$	$F \rightarrow (E)$				

The input Symbol: **id + id \$**

Parse State	input	Production rule	derivation
\$QR	\$	$R \rightarrow \epsilon$	
\$Q	\$	$Q \rightarrow \epsilon$	$E \rightarrow id+idQ$
\$ CS216	\$		$E \rightarrow id+id$ 99
Empty-stack		Yes- Accept!	

QUIZ: LL(1) Parser

	id	(	*	+	)	\$
E	$E \rightarrow TQ$	$E \rightarrow TQ$				
Q				$Q \rightarrow +TQ$	$Q \rightarrow \epsilon$	$Q \rightarrow \epsilon$
T	$T \rightarrow FR$	$T \rightarrow FR$				
R			$R \rightarrow *FR$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$	$R \rightarrow \epsilon$
F	$F \rightarrow id$	$F \rightarrow (E)$				

The input Symbol: **(id + id \$**

Parse State	input	Production rule	derivation
-------------	-------	-----------------	------------

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Bottom-Up Parsing

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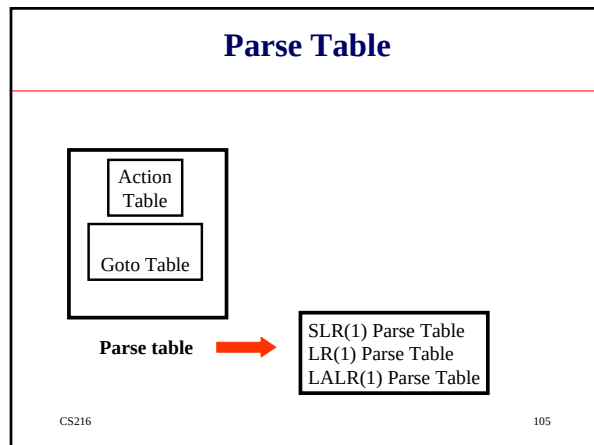
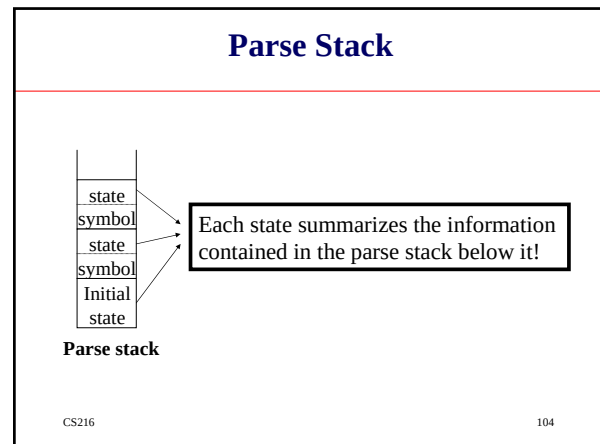
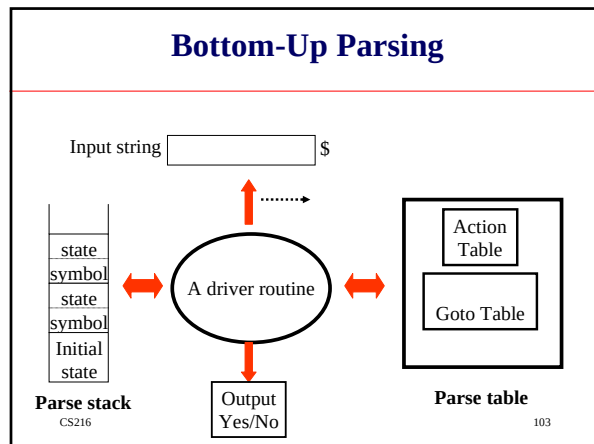
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Bottom-Up Parsing

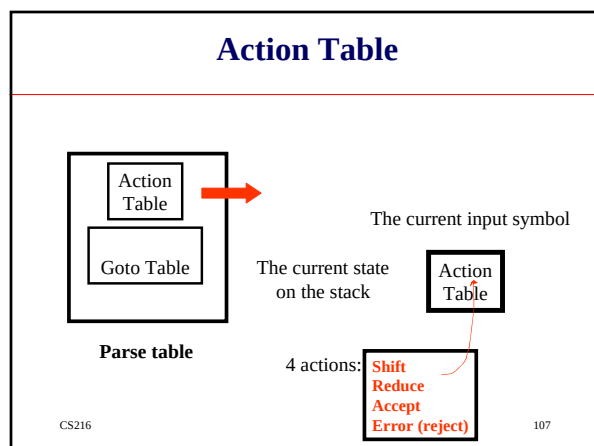
- Given an input string s and a CFG $G=(T,N,S,P)$,
 - Scan the input string from left to right.
 - Look at one symbol at a time.
- Discover the parse tree T for the input string.
 - From leaves to the root (backward)
 - By following the right-most derivation (in reverse order).

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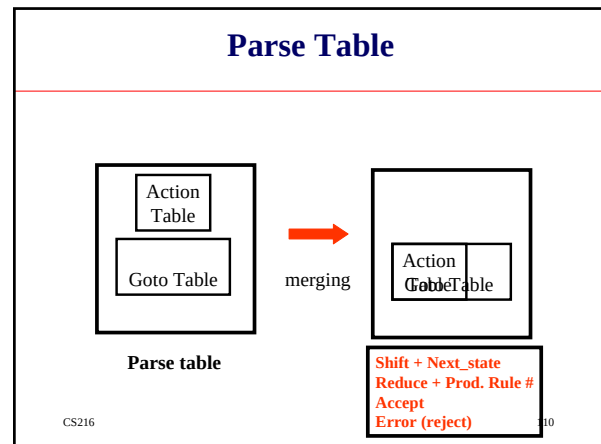
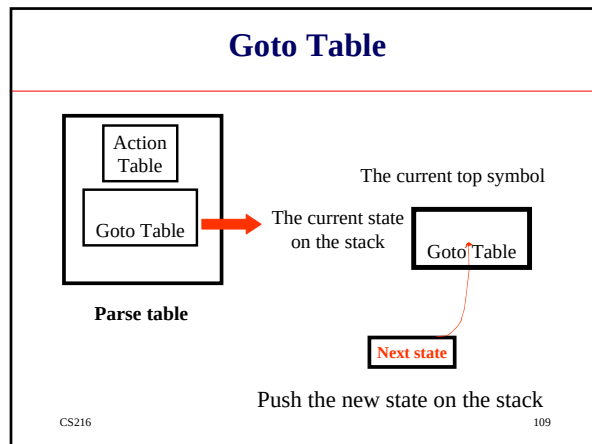
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- ## SLR(1), LR(1) and LALR(1) Parsing
- Use SLR(1) parse table
 - SLR(1) Parsing
 - Use LR(1) parse table
 - LR(1) Parsing
 - Use LALR(1) parse table
 - LALR(1) Parsing
- CS216 106



- ## Actions
- Shift
 - Push the current input symbol on the stack
 - Reduce with $A \rightarrow \beta$
 - Reduce by the production $A \rightarrow \beta$
 - Pop β and push A
 - Accept
 - Error (Reject)
- CS216 108



- ### Drive Routine for Bottom-Up Parsing
- Push the starting state (say 0) onto the parse stack.
 - The current state at the top of the stack is 0.
 - Set the current input symbol to point to the first symbol of the input string.
- CS216 111

- ### Drive Routine for Bottom-Up Parsing
- Repeat
 - q = the current state (the top of the stack) ;
 - a = the current input symbol ;
 - Do
 - Until (accept or reject) ;
- CS216 112

- ### Drive Routine for Bottom-Up Parsing
- If $\text{Parse_Table}[q,a] = \text{shift } q'$ then
 - Push a onto the stack ;
 - Push q' onto the stack ;
 - Advance the current input symbol to the next;
- CS216 113

- ### Drive Routine for Bottom-Up Parsing
- If $\text{Parse_Table}[q,a] = \text{reduce } \#n$ then
 - Production rule $\#n: A \rightarrow \beta$
 - Pop $2 * |\beta|$ symbols off the stack;
 - **if $\beta = \epsilon$, then nothing is popped !**
 - Let q' be the state now on top of the stack;
 - Push A ;
 - Let q'' be $\text{Parse_Table}[q', A]$;
 - Push q'' ;
- CS216 114

Drive Routine for Bottom-Up Parsing

- If Parse_Table[q,a] = accept then
 - Accept – Yes!
- Else
 - Reject – No!

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Example: Bottom-Up Parsing

```
(0) E' → E
(1) E → E + T
(2) E → E - T
(3) E → T
(4) T → T * F
(5) T → T / F
(6) T → F
(7) F → (E)
(8) F → id
```

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Example: Action Part

	i	+	-	*	/	(	)	\$
0	s						s	
1		s	s					acc
2	r3	r3	s	s			r3	r3
3	r6	r6	r6	r6			r6	r6
4	s						s	
5	r8	r8	r8	r8			r8	r8
6	s						s	
7	s						s	
8	s						s	
9	s						s	
10	s	s					s	
11	r1	r1	s	s			r1	r1
12	r2	r2	s	s			r2	r2
13	r4	r4	r4	r4			r4	r4
14	r5	r5	r5	r5			r5	r5
15	r7	r7	r7	r7			r7	r7

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Example: Goto Part

	i	+	-	*	/	(	)	\$	E	T	F
0							4		1	2	3
1		6	7								
2				8	9						
3											
4	5					4			10	2	3
5											
6	5					4			11	3	
7	5					4			12	3	
8	5					4					13
9	5					4					14
10		6	7				15				
11				8	9						
12				8	9						
13											
14											
15											

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Example: Parse Table

	i	+	-	*	/	(	)	\$	E	T	F
0	s						s4		1	2	3
1	s6	s7						acc			
2	r3	r3	s8	s9			r3	r3			
3	r6	r6	r6	r6			r6	r6			
4	s						s4		10	2	3
5	r8	r8	r8	r8			r8	r8			
6	s						s4		11	3	
7	s						s4		12	3	
8	s						s4				13
9	s						s4				14
10	s6	s7					s15				
11	r1	r1	s8	s9			r1	r1			
12	r2	r2	s8	s9			r2	r2			
13	r4	r4	r4	r4			r4	r4			
14	r5	r5	r5	r5			r5	r5			
15	r7	r7	r7	r7			r7	r7			

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Example: Bottom-Up Parsing

Stack	Input	Entry	Action
0	(i + i) / i \$	s4	shift and enter state 4
0(4	(i + i) / i \$	s5	shift and enter state 5
0(4i5	(i + i) / i \$	r8	F → i
0(4F3	(i + i) / i \$	r6	T → F
0(4T2	(i + i) / i \$	r3	E → T
0(4E10	(i + i) / i \$	s6	shift and enter state 6
0(4E10+6	(i + i) / i \$	s5	shift and enter state 5
0(4E10+6i5	(i + i) / i \$	r8	F → i
0(4E10+6F3	(i + i) / i \$	r6	T → F

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Example: Bottom-Up Parsing

Stack	Input	Entry	Action
0(4E10+6F3	(i + i) / i \$	r6	T → F
0(4E10+6T11	(i + i) / i \$	r1	E → E+T
0(4E10	(i + i) / i \$	s15	shift and enter state 15
0(4E10)15	(i + i) / i \$	r7	F → (E)
0F3	(i + i) / i \$	r6	T → F
0T2	(i + i) / i \$	s9	shift and enter state 9
0T2/9	(i + i) / i \$	s5	shift and enter state 5
0T2/9i5	(i + i) / i \$	r8	F → i
0T2/9F14	(i + i) / i \$	r4	T → T/F

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Example: Bottom-Up Parsing

Stack	Input	Entry	Action
0T2/9F14	(i + i) / i \$	r4	T → T/F
0T2	(i + i) / i \$	r3	E → T
0E1	(i + i) / i \$	acc	Accept!

Input string:
(i + i) / i \$
Accept!

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QUIZ: Bottom-Up Parsing

Input string:

i * (i - i \$

Reject!

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QUIZ: Bottom-Up Parsing

Stack	Input	Entry	Action
0	i * (i - i \$	s5	shift and enter state 4
0i5	i * (i - i \$	r8	F → i
0F3	i * (i - i \$	r6	T → F
0T2	i * (i - i \$	s8	
0T2*8	i * (i - i \$	s4	
0T2*8(4	i * (i - i \$	s5	
0T2*8(4i5	i * (i - i \$	r8	F → i
0T2*8(4F3	i * (i - i \$	r6	T → F
0T2*8(4T2	i * (i - i \$	r3	E → T
0T2*8(4E10	i * (i - i \$	s7	

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QUIZ: Bottom-Up Parsing

Stack	Input	Entry	Action
0T2*8(4E10	i * (i - i \$	s7	
0T2*8(4E10-7i5	i * (i - i \$	s5	
0T2*8(4E10-7i5	i * (i - i \$	r8	F → i
0T2*8(4E10-7F3	i * (i - i \$	r6	T → F
0T2*8(4E10-7T12	i * (i - i \$	r2	E → E-T
0T2*8(4E10	i * (i - i \$	error	Reject!

Input string:
i * (i - i \$
Reject!

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