

# PART 1:

## Automata:

Finite State Machines (Finite Automata)

## Formal Language:

Regular Languages

Non-regular Languages

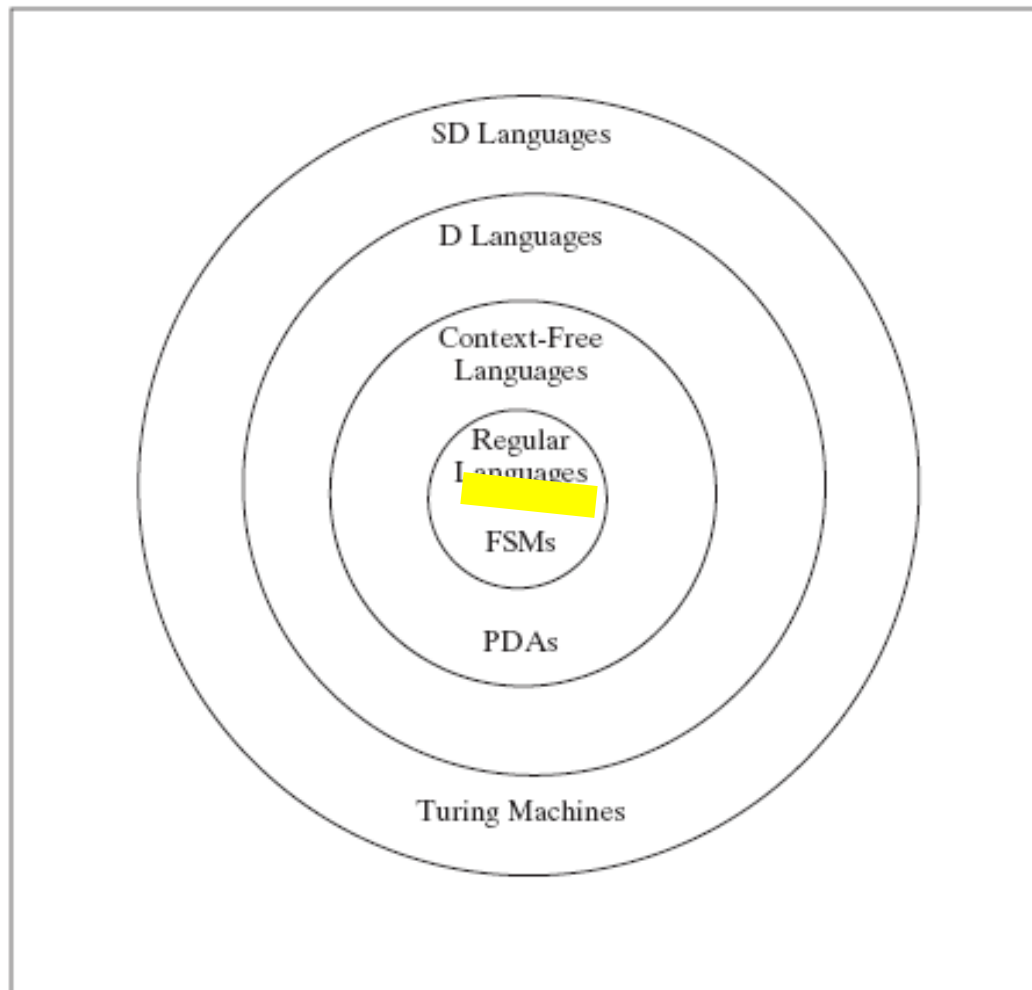
## Grammar:

Regular Expressions

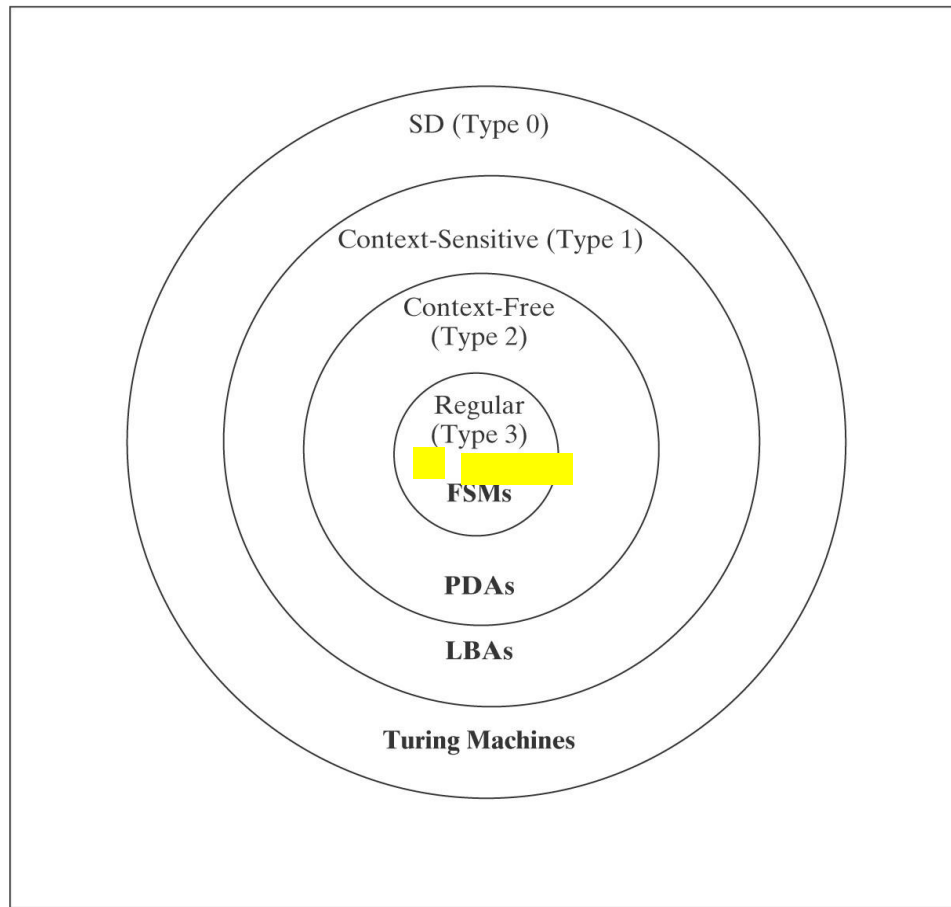
Regular Grammars

# Regular Expressions

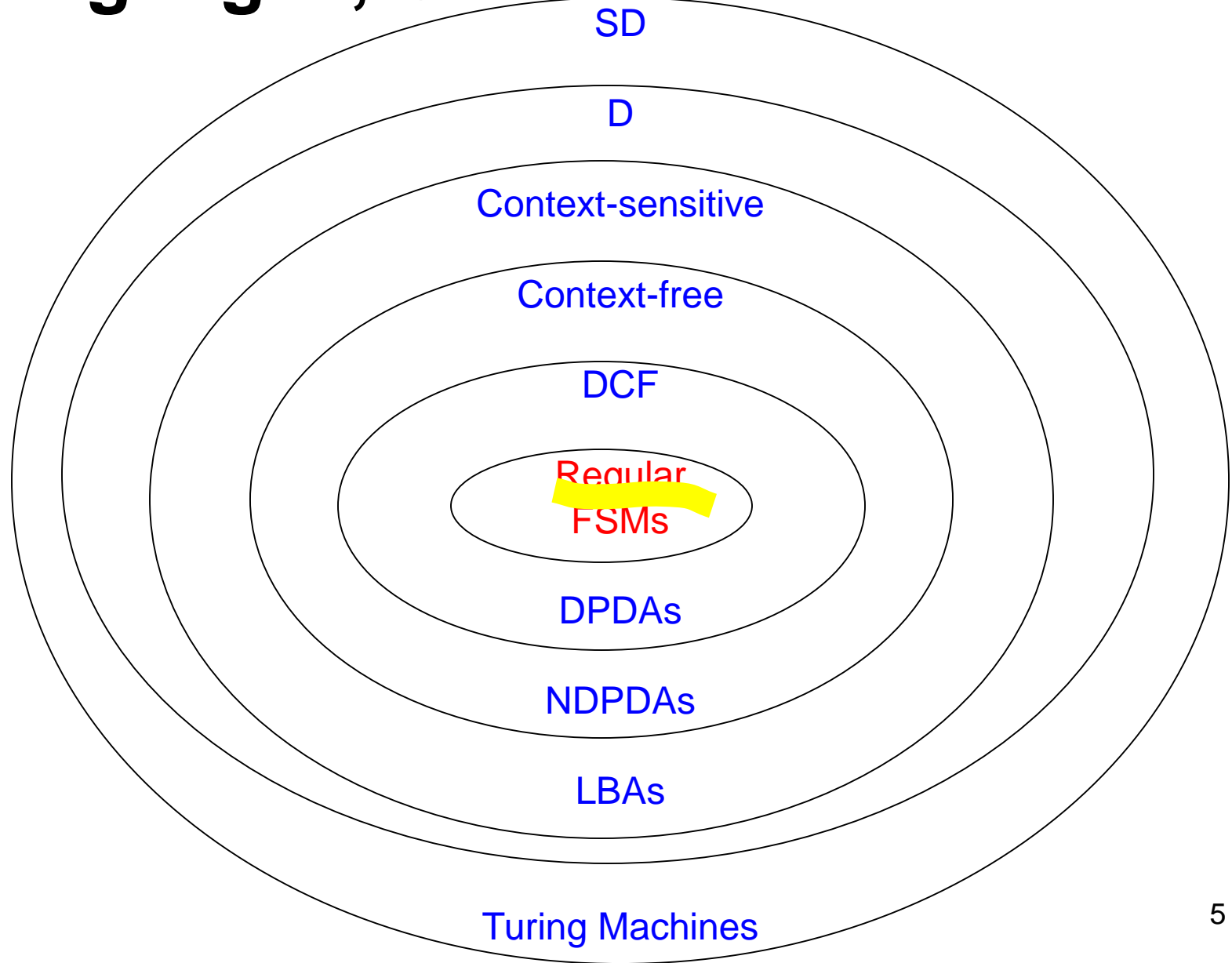
# Languages, Grammars & Automata



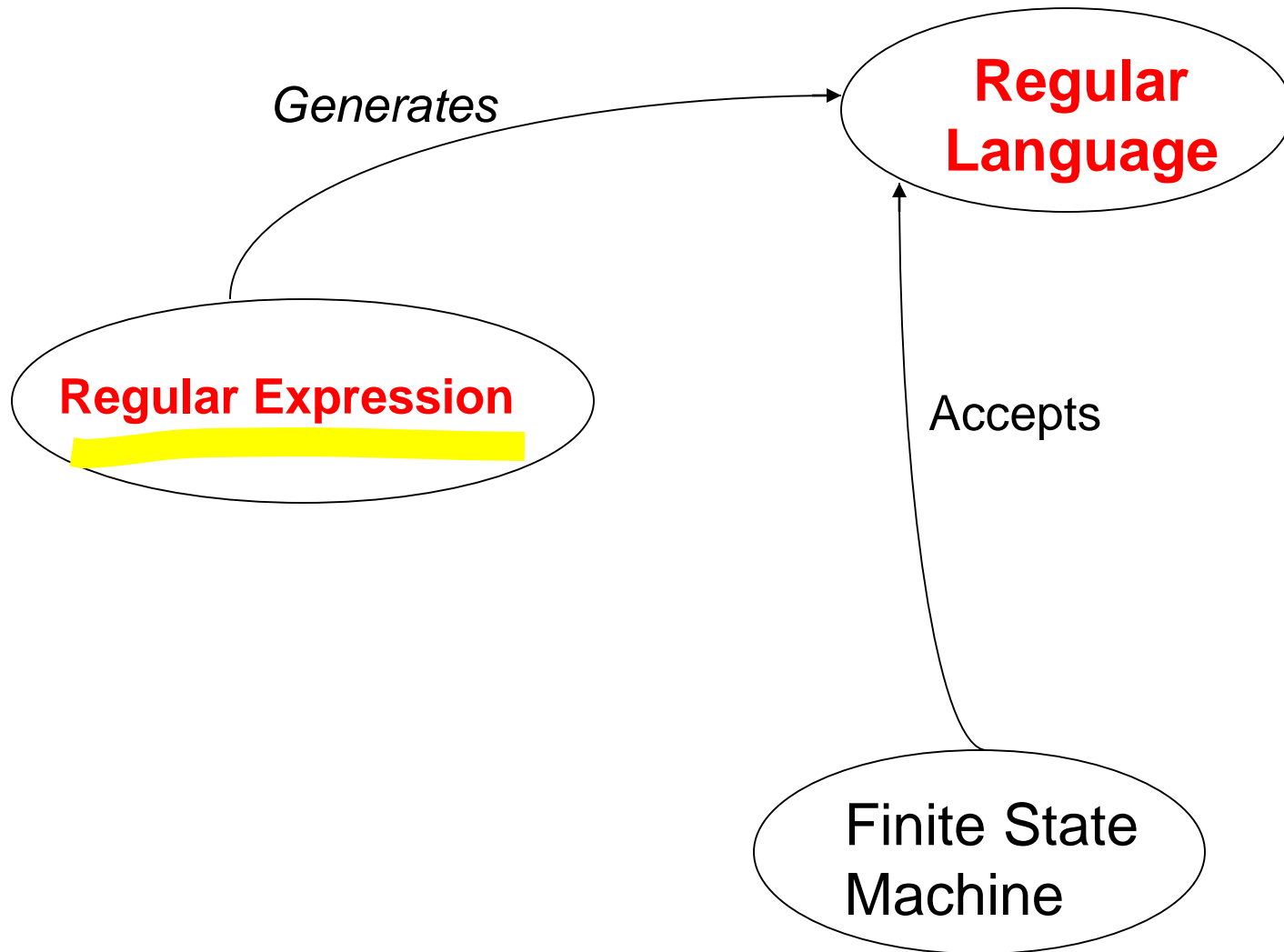
# Languages, Grammars & Automata



# Languages, Grammars & Automata



# Regular Languages



# Expressions

- Arithmetic Expressions?
- Regular expressions?

# **Regular Expressions**



- An **algebraic expression notation** to describe **regular languages**!



# Definition of Regular Expressions

The regular expressions over an alphabet  $\Sigma$  are all and only the strings that can be obtained as follows:

1.  $\emptyset$  is a regular expression.
2.  $\epsilon$  is a regular expression.
3. Every element of  $\Sigma$  is a regular expression.

# Definition of Regular Expressions

4. If  $\alpha$  ,  $\beta$  are regular expressions, then so is  $\alpha\beta$ .
5. If  $\alpha$  ,  $\beta$  are regular expressions, then so is  $\alpha\cup\beta$ .
6. If  $\alpha$  is a regular expression, then so is  $\alpha^*$ .
7.  $\alpha$  is a regular expression, then so is  $\alpha^+$ .
8. If  $\alpha$  is a regular expression, then so is  $(\alpha)$ .

# The Role of the Rules

- Rules 1, 3, 4, 5, and 6 give the language its power to define sets.
- Rule 8 has as its only role grouping other operators.
- Rules 2 and 7 appear to add functionality to the regular expression language, but they don't.

$$\checkmark \epsilon = \emptyset^*$$

$$\checkmark \alpha^+ = \alpha\alpha^*$$

# Example: RE

If  $\Sigma = \{a, b\}$ , the following are regular expressions:

$\emptyset$

$\varepsilon$

$a$

$(a \cup b)^*$

$abba \cup \varepsilon$

$\emptyset^*$

# Regular Expressions Define Languages

Define  $L$ , a semantic interpretation function for regular expressions:

1.  $L(\emptyset) = \emptyset$

2.  $L(\varepsilon) = \{\varepsilon\}$

3.  $L(c)$ , where  $c \in \Sigma = \{c\}$

# Regular Expressions Define Languages

$$4. L(\alpha\beta) = L(\alpha) L(\beta)$$

$$5. L(\alpha \cup \beta) = L(\alpha) \cup L(\beta)$$

$$6. L(\alpha^*) = (L(\alpha))^*$$

$$7. L(\alpha^+) = L(\alpha\alpha^*) = L(\alpha) (L(\alpha))^*$$

$$8. L((\alpha)) = L(\alpha)$$

# Examples: Regular Expressions

$$\Sigma = \{a, b\}:$$

$$L(\emptyset) = \{ \}$$

$$L(\varepsilon) = \{\varepsilon\}$$

$$L(a) = \{a\}$$

$$L((a \cup b)^*) =$$

$$L(abba \cup \varepsilon) = \{abba, \varepsilon\}$$

$$L(\emptyset^*) = \{\varepsilon\}$$

## Example 6.1

$$\begin{aligned} L((a \cup b)^*b) &= L((a \cup b)^*) L(b) \\ &= (L((a \cup b)))^* L(b) \\ &= (L(a) \cup L(b))^* L(b) \\ &= (\{a\} \cup \{b\})^* \{b\} \\ &= \{a, b\}^* \{b\}. \end{aligned}$$

$L = ?$

The set of all strings that end in  $b$ .



## Example 6.2

$$L( ((a \cup b) (a \cup b)) a (a \cup b)^* )$$

=

$$= \{a, b\} \{a, b\} \{a\} \{a, b\}^*$$

L= ?

$\{xay: x \text{ and } y \text{ are strings of } a' \text{ s and } b' \text{ s and } |x|=2\}$

The set of all strings st there exists a third character a.

## Example 6.3

$$L = \{w \in \{a, b\}^*: |w| \text{ is even}\}$$

RE?

$$((a \cup b) (a \cup b))^*$$

$$(aa \cup ab \cup ba \cup bb)^*$$

## Example 6.4

$L = \{w \in \{a, b\}^*: w \text{ contains an odd number of } a\text{'s}\}$

RE?

$$b^* (ab^*ab^*)^* a b^*$$

$$b^* a b^* (ab^*ab^*)^*$$

# More Examples: RE

$$(\alpha \cup \varepsilon)$$

$$(a \cup b)^*$$

$$(a^* \cup b^*) \quad ? \quad (a \cup b)^*$$

$$(ab)^* \quad ? \quad a^* b^*$$

# Operator Precedence in RE

Regular  
Expressions

Arithmetic  
Expressions

Highest



Lowest

Kleene star

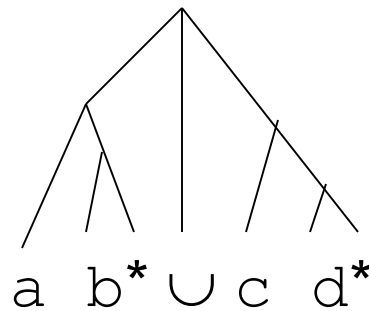
concatenation

union

exponentiation

multiplication

addition



$x y^2 + i j^2$

# Equivalence of RE and FSM

Finite state machines (FSM) and regular expressions (RE) define the same class of languages!

**RE = FSM (DFA & NFA)**

**RE = RL**

# Building an FSM from a RE

For every RE, there is an Equivalent FSM.

***Theorem 6.1*** Any language that can be defined with a regular expression can be accepted by some FSM and so is regular.

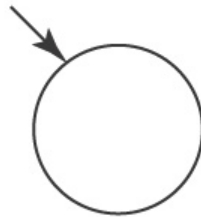
***Proof Idea:***

Proof by Construction

# For Every Regular Expression There is a Corresponding FSM

We'll show this by construction. An FSM for:

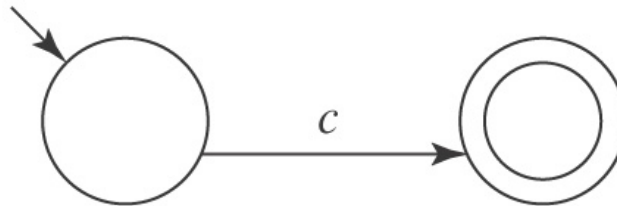
$\emptyset$ :





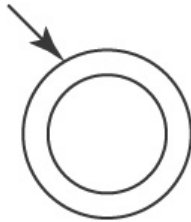
# For Every Regular Expression There is a Corresponding FSM

A single element of  $\Sigma$ :



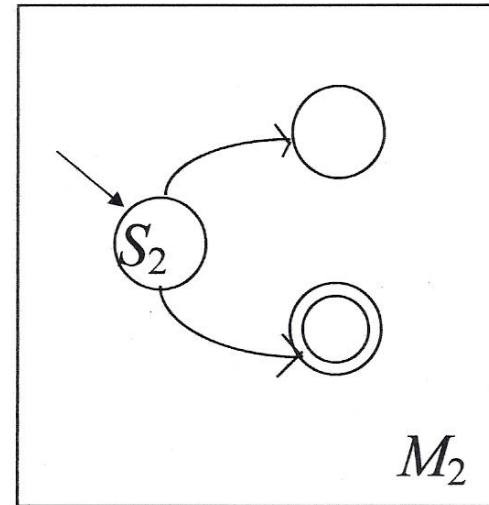
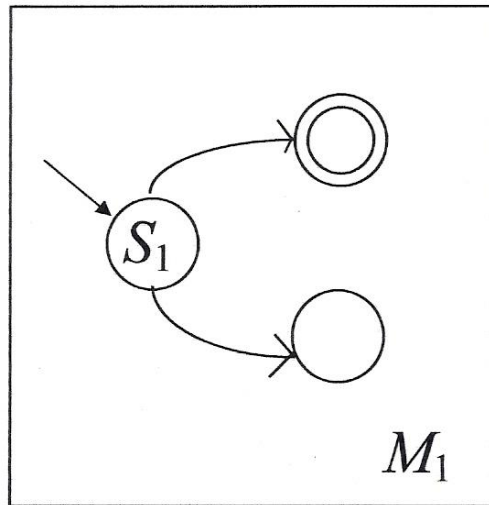
# For Every Regular Expression There is a Corresponding FSM

$\varepsilon (= \emptyset^*)$ :



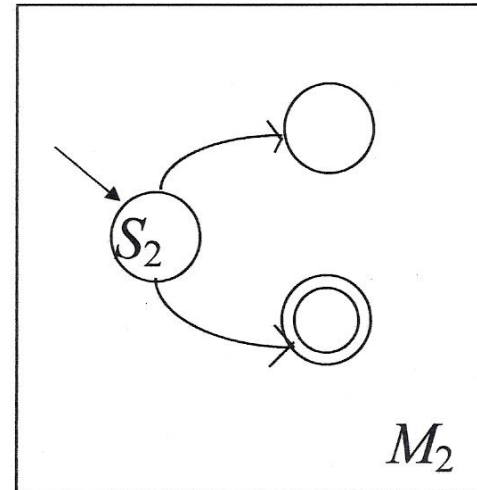
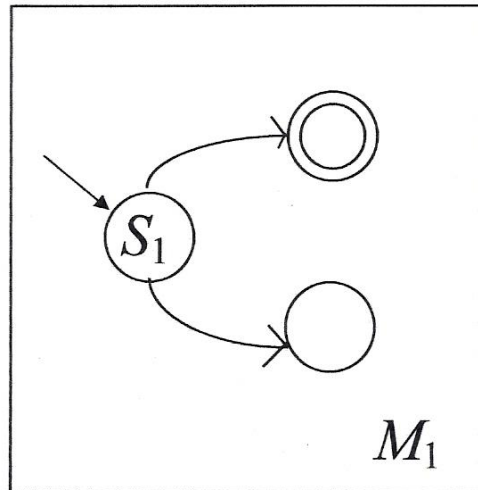
# Union

If  $\alpha$  is the regular expression  $\beta \cup \gamma$  and if both  $L(\beta)$  and  $L(\gamma)$  are regular:



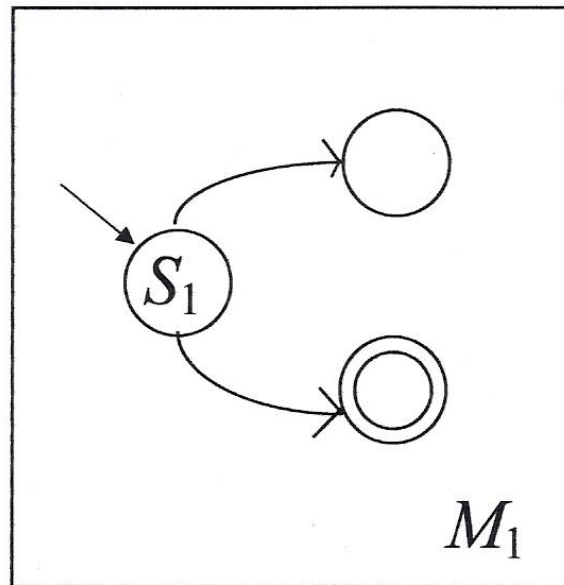
# Concatenation

If  $\alpha$  is the regular expression  $\beta\gamma$  and if both  $L(\beta)$  and  $L(\gamma)$  are regular:



# Kleene Star

If  $\alpha$  is the regular expression  $\beta^*$  and if  $L(\beta)$  is regular:

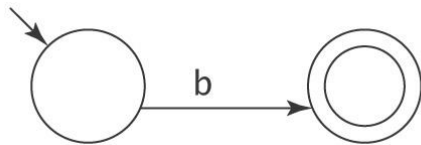


# Example 6.5

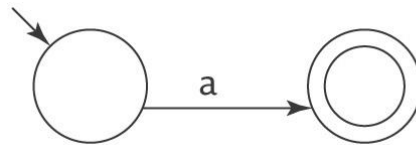
RE:  $(b \cup ab)^*$

FSM?

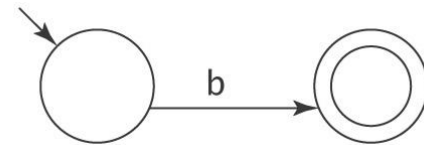
An FSM for  $b$



An FSM for  $a$

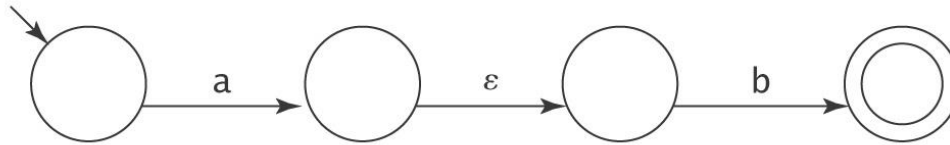


An FSM for  $b$



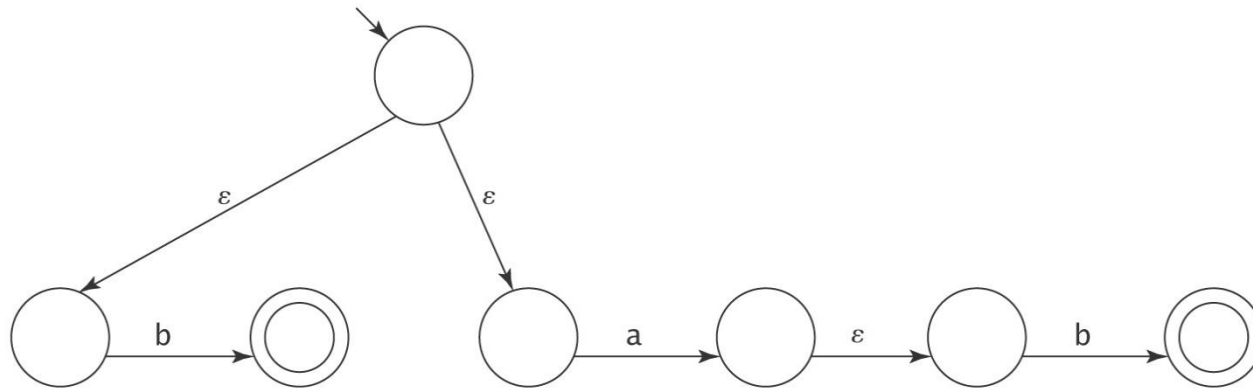
# Example 6.5

An FSM for  $ab$ :



# Example 6.5

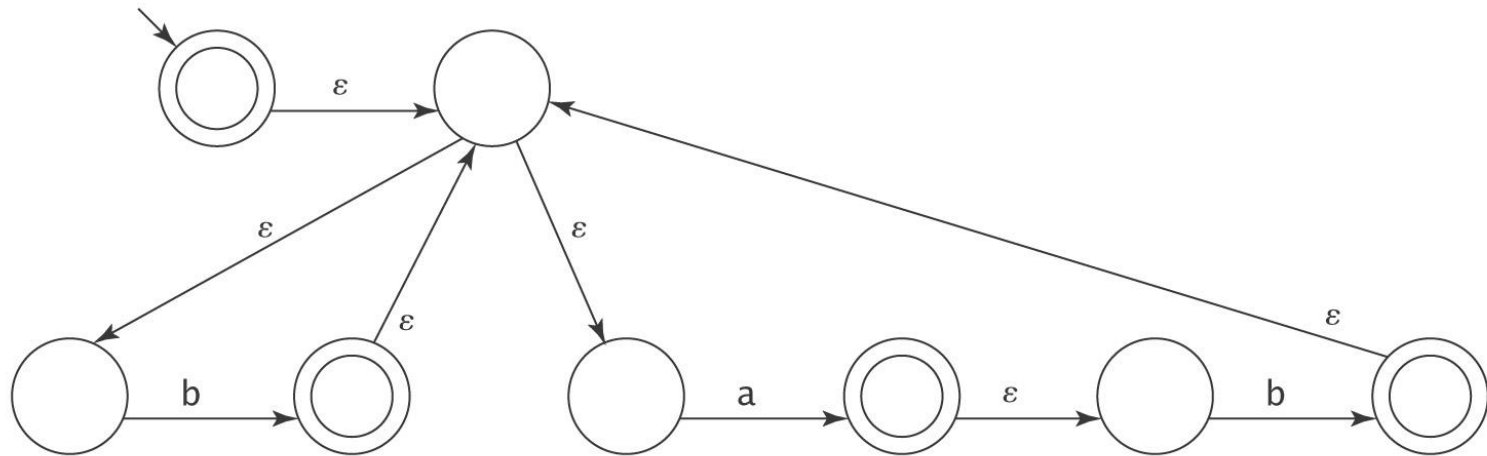
An FSM for  $(b \cup ab)$ :





# Example 6.5

An FSM for  $(b \cup ab)^*$ :



# Building a RE from an FSM

For every FSM, there is an equivalent RE.

***Theorem 6.2*** Every regular language (i.e., every language that can be accepted by some DFMSM) can be defined with a regular expression.

# Equivalence of Regular Languages and Regular Expressions

**Kleene Theorem**



**Theorem 6.3** The class of languages that can be defined with regular expressions is exactly the class of regular languages.

**RL = RE = FSM**



# Examples and Designing REs

## Example 6.10

$L = \{ w \in \{a, b\}^* : \text{there is no more than one } b \}.$

RE?

$a^*(b \cup \varepsilon)a^*$

## Example 6.11

$L = \{ w \in \{a, b\}^* : \text{no two consecutive letters are the same} \}.$

RE?

$(b \cup \varepsilon)(ab)^*(a \cup \varepsilon)$

$(a \cup \varepsilon)(ba)^*(b \cup \varepsilon)$

# Example 6.12

$L = \text{FLOAT} = \{w: w \text{ is the string representation of a floating point number}\}.$

RE?

# Example 6.14

$L = \text{Decimal numbers}$

RE?



# Example 6.15

$L$  = Legal passwords

RE?

# Example 6.16

L = IP addresses

RE?

# Simplifying Regular Expressions

- Union is commutative:  $\alpha \cup \beta = \beta \cup \alpha$
- Union is associative:  $(\alpha \cup \beta) \cup \gamma = \alpha \cup (\beta \cup \gamma)$
- $\emptyset$  is the identity for union:  $\alpha \cup \emptyset = \emptyset \cup \alpha = \alpha$
- Union is idempotent:  $\alpha \cup \alpha = \alpha$

# Simplifying Regular Expressions

- Concatenation is associative:  $(\alpha\beta)\gamma = \alpha(\beta\gamma)$
- $\varepsilon$  is the identity for concatenation:  $\alpha \varepsilon = \varepsilon \alpha = \alpha$
- $\emptyset$  is a zero for concatenation:  $\alpha \emptyset = \emptyset \alpha = \emptyset$
- $(\alpha \cup \beta) \gamma = (\alpha \gamma) \cup (\beta \gamma)$
- $\gamma (\alpha \cup \beta) = (\gamma \alpha) \cup (\gamma \beta)$

# Simplifying Regular Expressions

- $\emptyset^* = \varepsilon$
- $\varepsilon^* = \varepsilon$
- $(\alpha^*)^* = \alpha^*$
- $\alpha^* \alpha^* = \alpha^*$
- $(\alpha \cup \beta)^* = (\alpha^* \beta^*)^*$

# Example 6.17

Simplifying a RE

# Reading Assignment

## Chapter 6:

Sections

6.1

6.2

6.3

6.4

# In-Class Exercises

## Chapter 6:

1

2 - g

4

5

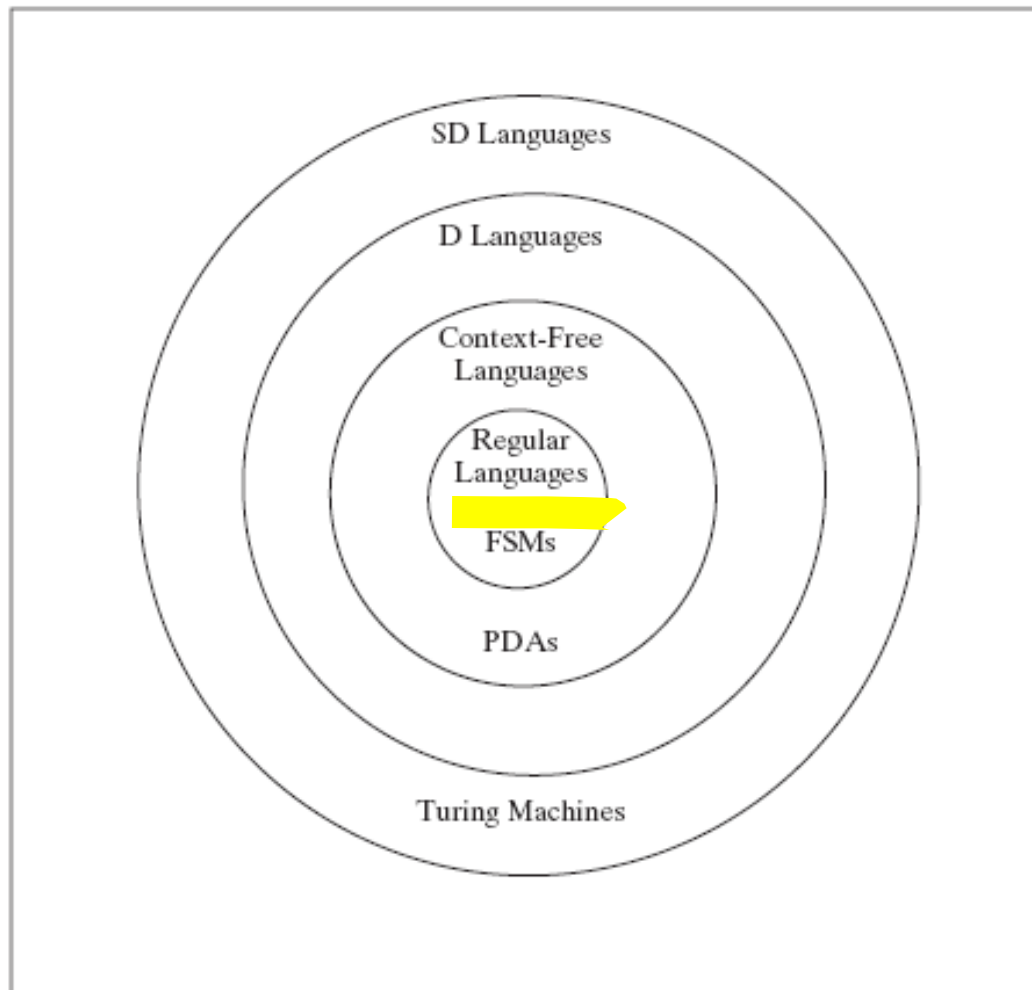
8

13 - e

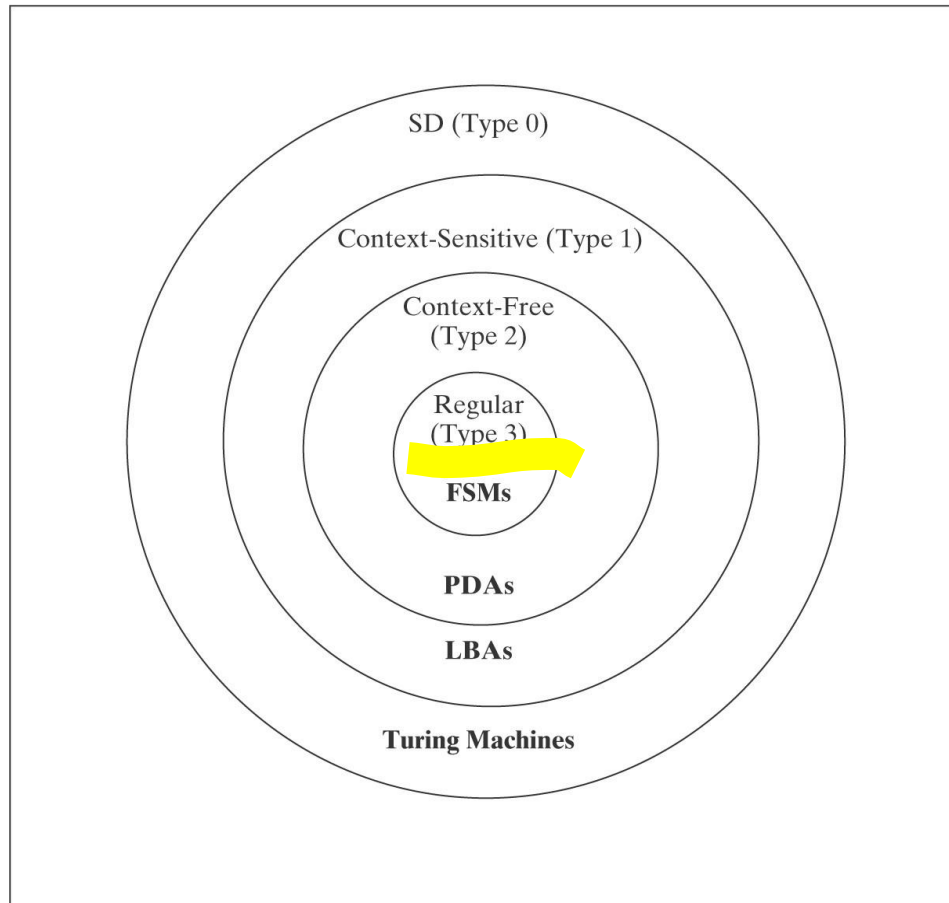


# Regular Grammars (Right Linear Grammars)

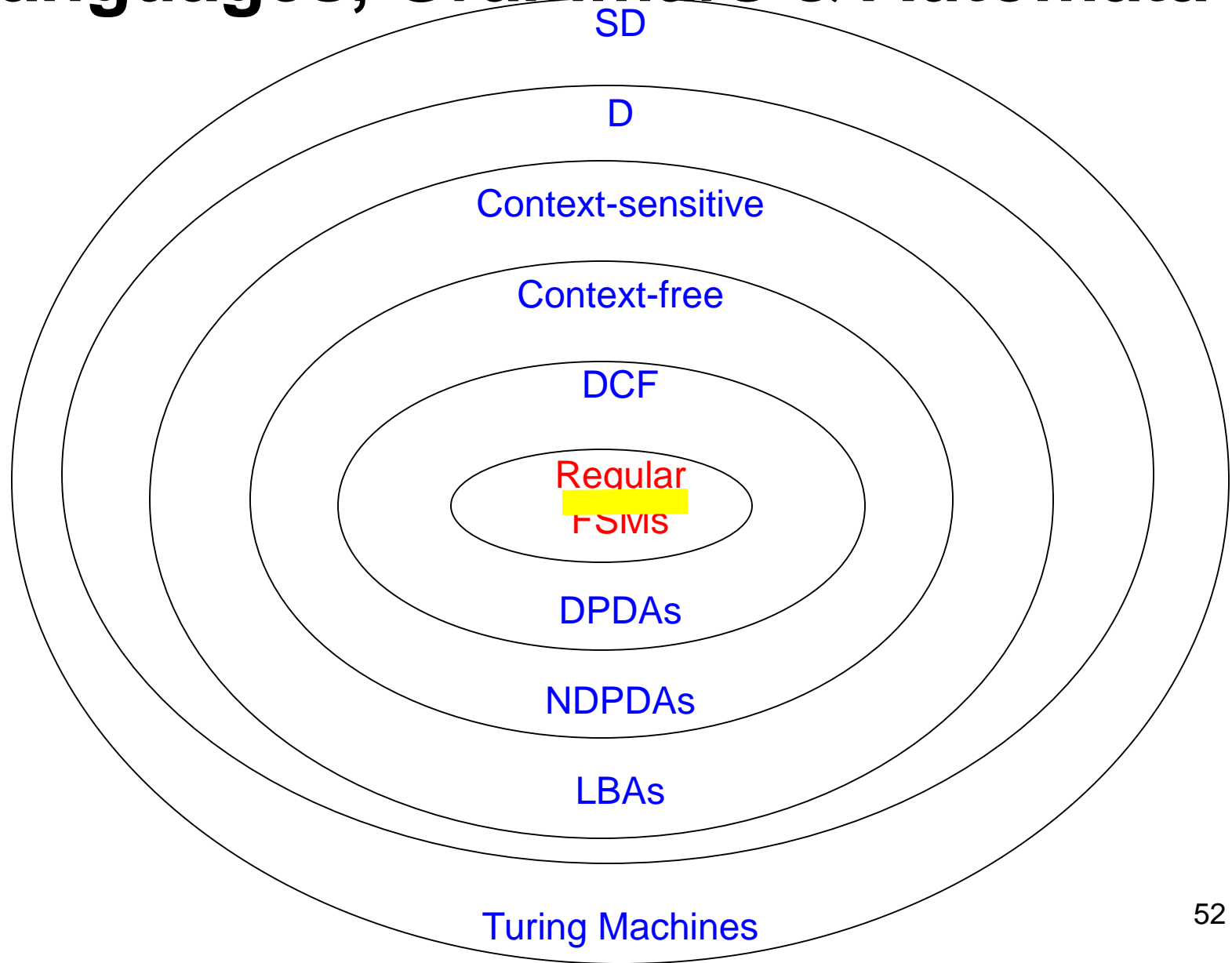
# Languages, Grammars & Automata



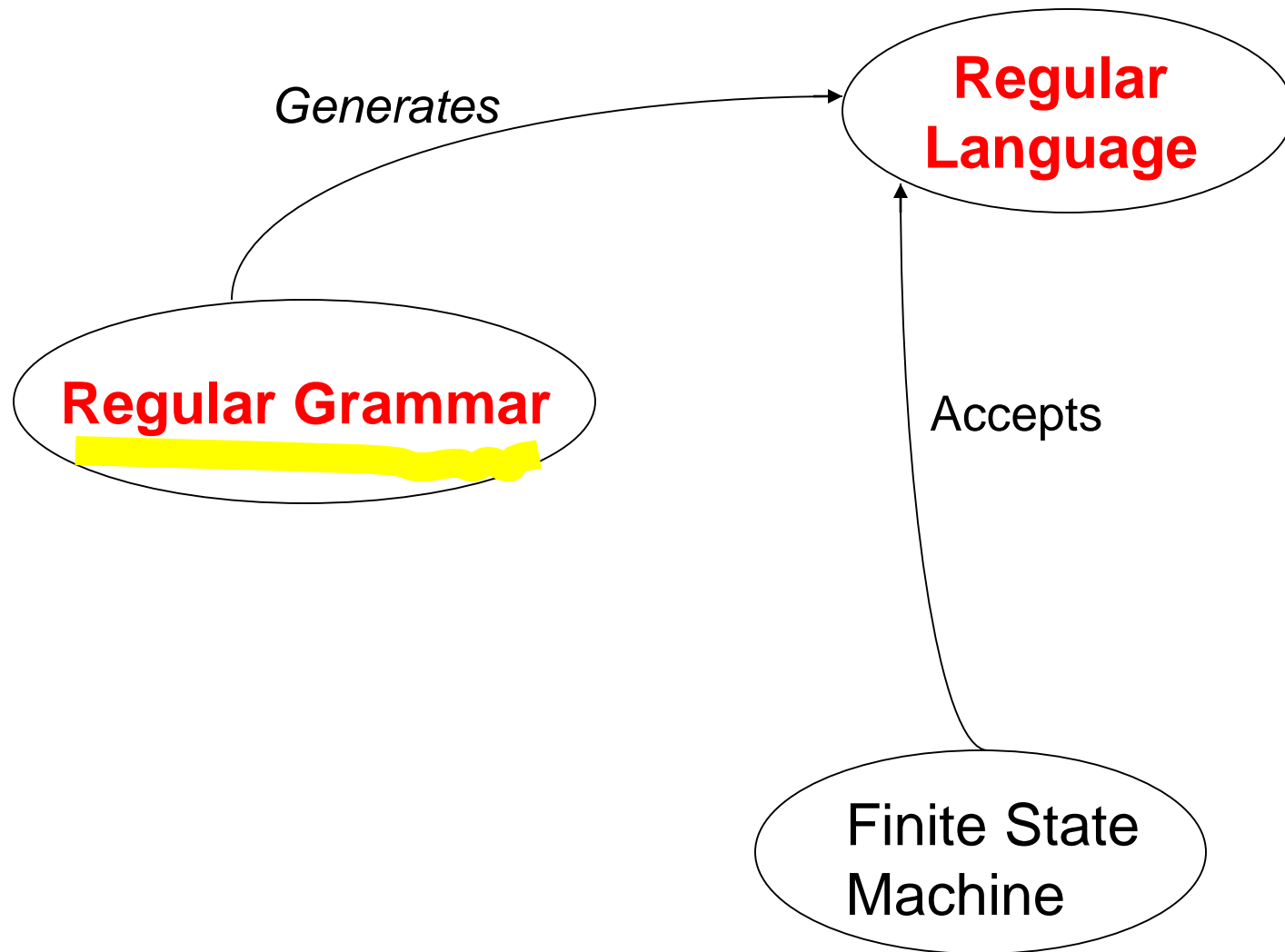
# Languages, Grammars & Automata



# Languages, Grammars & Automata



# Regular Languages



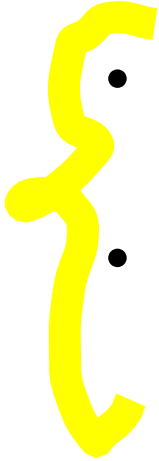
# Definition of Regular Grammars

A **regular grammar** or **right-linear grammar**  $G$  is a quadruple  $(V, \Sigma, R, S)$ , where:

- $V$  is the rule alphabet, which contains nonterminals and terminals,
- $\Sigma$  (the set of terminals) is a subset of  $V$ ,
- $R$  (the set of rules) is a finite set of rules of the form  $X \rightarrow Y$ ,
- $S$  (the start symbol) is a nonterminal.

# Regular Grammars or Right-Linear Grammars

In a regular grammar, all rules in  $R$  must:

- 
- have a left hand side that is a single nonterminal
  - have a right hand side that is:
    - $\epsilon$ , or
    - a single terminal, or
    - a single terminal followed by a single nonterminal.

Legal:  $S \rightarrow a$ ,  $S \rightarrow \epsilon$ , and  $T \rightarrow aS$

Not legal:  $S \rightarrow aSa$  and  $aSa \rightarrow T$

# Example: RG

$G = \{\{S, T\}, \{a, b\}, R, S\}$ , where:

$$R = \left\{ \begin{array}{l} S \rightarrow \varepsilon \\ S \rightarrow aT \\ S \rightarrow bT \\ T \rightarrow a \\ T \rightarrow b \\ T \rightarrow aS \\ T \rightarrow bS \end{array} \right\}$$



# Example 7.1

$$L = \{w \in \{a, b\}^* : |w| \text{ is even}\}$$

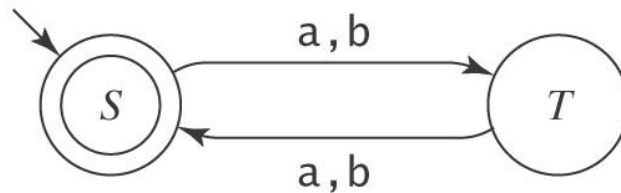


RE?

$$((aa) \cup (ab) \cup (ba) \cup (bb))^*$$



FSM?



RG?

$S \rightarrow \varepsilon$   
 $S \rightarrow aT$   
 $S \rightarrow bT$   
 $T \rightarrow aS$   
 $T \rightarrow bS$

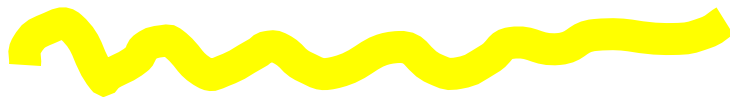
# Equivalence of Regular Languages and Regular Grammars

*Theorem 7.1* The class of languages that can be defined/generated with regular grammars is exactly the regular languages.

***Proof Idea:***

Proof by Construction

**RL = RG**



# Regular Languages and Regular Grammars

**Regular grammar  $\rightarrow$  FSM:**

*grammartofsm*( $G = (V, \Sigma, R, S)$ ) =

1. Create in  $M$  a separate state for each nonterminal in  $V$ .
2. Start state is the state corresponding to  $S$ .
3. If there are any rules in  $R$  of the form  $X \rightarrow w$ , for some  $w \in \Sigma$ , create a new state labeled #.
4. For each rule of the form  $X \rightarrow w Y$ , add a transition from  $X$  to  $Y$  labeled  $w$ .
5. For each rule of the form  $X \rightarrow w$ , add a transition from  $X$  to # labeled  $w$ .
6. For each rule of the form  $X \rightarrow \varepsilon$ , mark state  $X$  as accepting.
7. Mark state # as accepting.

**FSM  $\rightarrow$  Regular grammar:** Similarly.

# Example 7.2

$L = \{w \in \{a, b\}^* : w \text{ ends with the pattern } aaaa\}.$

RE?

$(a \cup b)^* aaaa$

RG:

$S \rightarrow aS$

$S \rightarrow bS$

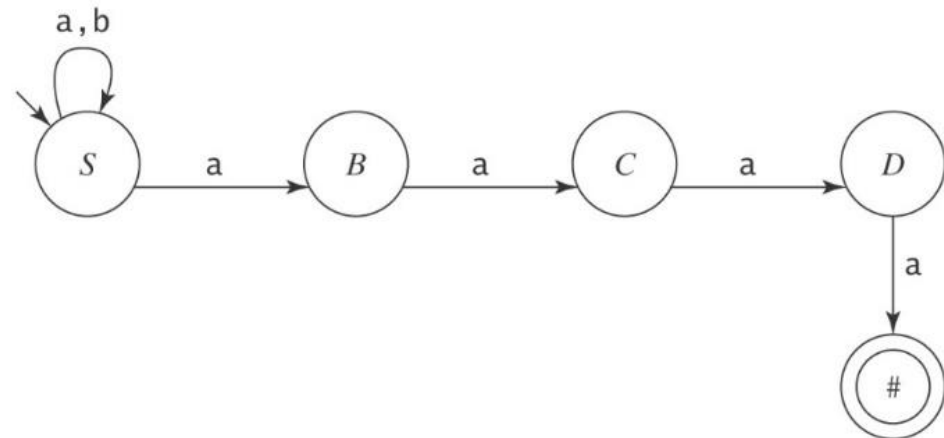
$S \rightarrow aB$

$B \rightarrow aC$

$C \rightarrow aD$

$D \rightarrow a$

FSM?

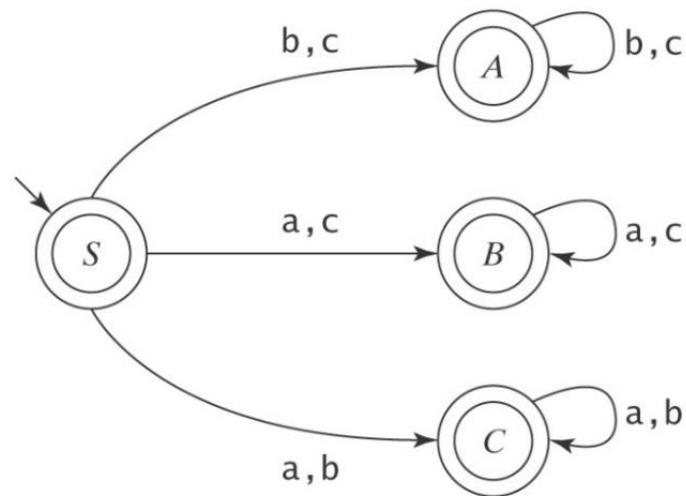


# Example 7.3

RG:

$S \rightarrow \varepsilon$	$A \rightarrow bA$	$C \rightarrow aC$
$S \rightarrow aB$	$A \rightarrow cA$	$C \rightarrow bC$
$S \rightarrow aC$	$A \rightarrow \varepsilon$	$C \rightarrow \varepsilon$
$S \rightarrow bA$	$B \rightarrow aB$	
$S \rightarrow bC$	$B \rightarrow cB$	
$S \rightarrow cA$	$B \rightarrow \varepsilon$	
$S \rightarrow cB$		

FSM?



# Reading Assignment

## Chapter 7:

Sections

7.1

7.2

# In-Class Exercises

## Chapter 7:

1 – c & e

2 - a

5